

## 2014 ACC/AHA Guideline on Perioperative Cardiovascular Evaluation and Management of Patients Undergoing Noncardiac Surgery: Executive Summary: A Report of the American College of Cardiology/American Heart Association Task Force on Practice Guidelines

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# 2014 ACC/AHA Guideline on Perioperative Cardiovascular Evaluation and Management of Patients Undergoing Noncardiac Surgery: Executive Summary

## A Report of the American College of Cardiology/American Heart Association Task Force on Practice Guidelines

Developed in Collaboration With the American College of Surgeons, American Society of Anesthesiologists, American Society of Echocardiography, American Society of Nuclear Cardiology, Society for Cardiovascular Angiography and Interventions, and Society of Cardiovascular Anesthesiologists

Endorsed by the Society of Hospital Medicine

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## Preamble

The American College of Cardiology (ACC) and the American Heart Association (AHA) are committed to the prevention and management of cardiovascular diseases through professional education and research for clinicians, providers, and patients. Since 1980, the ACC and AHA have shared a responsibility to translate scientific evidence into clinical practice guidelines (CPGs) with recommendations to standardize and improve cardiovascular health. These CPGs, based on systematic methods to evaluate and classify evidence, provide a cornerstone of quality cardiovascular care.

In response to published reports from the Institute of Medicine (1, 2) and the ACC/AHA's mandate to evaluate new knowledge and maintain relevance at the point of care, the ACC/AHA Task Force on Practice Guidelines (Task Force) began modifying its methodology. This modernization effort is published in the 2012 Methodology Summit Report (3) and 2014 perspective article (4). This perspective (4) recounts the history of the collaboration, changes over time, current policies, and planned initiatives to meet the needs of an evolving health-care environment. Recommendations on value in proportion to resource utilization will be incorporated as high-quality comparative-effectiveness data become available (5). The relationships between CPGs and data standards, appropriate use criteria, and performance measures are addressed elsewhere (4).

**Intended Use**—CPGs provide recommendations applicable to patients with or at risk of developing cardiovascular disease. The focus is on medical practice in the United States, but CPGs developed in collaboration with other organizations may have a broader target. Although CPGs may be used to inform regulatory or payer decisions, the intent is to improve quality of care and be aligned with the patient's best interest.

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**Evidence Review**—Guideline writing committee (GWC) members are charged with reviewing the literature; weighing the strength and quality of evidence for or against particular tests, treatments, or procedures; and estimating expected health outcomes when data exist. In analyzing the data and developing CPGs, the GWC uses evidence-based methodologies developed by the Task Force (6). A key component of the ACC/AHA CPG methodology is the development of recommendations on the basis of all available evidence. Literature searches focus on randomized controlled trials (RCTs) but also include registries, nonrandomized comparative and descriptive studies, case series, cohort studies, systematic reviews, and expert opinion. Only selected references are cited in the CPG. To ensure that CPGs remain current, new data are reviewed biannually by the GWCs and the Task Force to determine if recommendations should be updated or modified. In general, a target cycle of 5 years is planned for full revision (1).

The Task Force recognizes the need for objective, independent Evidence Review Committees (ERCs) to address key clinical questions posed in the PICOTS format (P=population; I=intervention; C=comparator;

O=outcome; T=timing; S=setting). The ERCs include methodologists, epidemiologists, clinicians, and biostatisticians who systematically survey, abstract, and assess the quality of the evidence base (3, 4). Practical considerations, including time and resource constraints, limit the ERCs to addressing key clinical questions for which the evidence relevant to the guideline topic lends itself to systematic review and analysis when the systematic review could impact the sense or strength of related recommendations. The GWC develops recommendations on the basis of the systematic review and denotes them with superscripted “SR” (i.e., <sup>SR</sup>) to emphasize support derived from formal systematic review.

**Guideline-Directed Medical Therapy**—Recognizing advances in medical therapy across the spectrum of cardiovascular diseases, the Task Force designated the term “guideline-directed medical therapy” (GDMT) to represent recommended medical therapy as defined mainly by Class I measures—generally a combination of lifestyle modification and drug- and device-based therapeutics. As medical science advances, GDMT evolves, and hence GDMT is preferred to “optimal medical therapy.” For GDMT and all other recommended drug treatment regimens, the reader should confirm the dosage with product insert material and carefully evaluate for contraindications and possible drug interactions. Recommendations are limited to treatments, drugs, and devices approved for clinical use in the United States.

**Class of Recommendation and Level of Evidence**—Once recommendations are written, the Class of Recommendation (COR; i.e., the strength the GWC assigns to the recommendation, which encompasses the anticipated magnitude and judged certainty of benefit in proportion to risk) is assigned by the GWC. Concurrently, the Level of Evidence (LOE) rates the scientific evidence supporting the effect of the intervention on the basis of the type, quality, quantity, and consistency of data from clinical trials and other reports (Table 1) (4).

**Relationships With Industry and Other Entities**—The ACC and AHA exclusively sponsor the work of GWCs, without commercial support, and members volunteer their time for this activity. The Task Force makes every effort to avoid actual, potential, or perceived conflicts of interest that might arise through relationships with industry or other entities (RWI). All GWC members and reviewers are required to fully disclose current industry relationships or personal interests, from 12 months before initiation of the writing effort. Management of RWI involves selecting a balanced GWC and requires that both the chair and a majority of GWC members have no relevant RWI (see Appendix 1 for the definition of relevance). GWC members are restricted with regard to writing or voting on sections to which RWI apply. In addition, for transparency, GWC members’ comprehensive disclosure information is available as an online supplement (<http://circ.ahajournals.org/lookup/suppl/doi:10.1161/CIR.000000000000105/-/DC1>). Comprehensive disclosure information for the Task Force is also available at <http://www.cardiosource.org/en/ACC/About->

[ACC/Who-We-Are/Leadership/Guidelines-and-Documents-Task-Forces.aspx](#). The Task Force strives to avoid bias by selecting experts from a broad array of backgrounds representing different geographic regions, genders, ethnicities, intellectual perspectives/biases, and scopes of clinical practice. Selected organizations and professional societies with related interests and expertise are invited to participate as partners or collaborators.

**Individualizing Care in Patients With Associated Conditions and Comorbidities**—The ACC and AHA recognize the complexity of managing patients with multiple conditions, compared with managing patients with a single disease, and the challenge is compounded when CPGs for evaluation or treatment of several coexisting illnesses are discordant or interacting (7). CPGs attempt to define practices that meet the needs of patients in most, but not all, circumstances and do not replace clinical judgment.

**Clinical Implementation**—Management in accordance with CPG recommendations is effective only when followed; therefore, to enhance the patient’s commitment to treatment and compliance with lifestyle adjustment, clinicians should engage the patient to participate in selecting interventions on the basis of the patient’s individual values and preferences, taking associated conditions and comorbidities into consideration (e.g., shared decision making). Consequently, there are circumstances in which deviations from these CPGs are appropriate.

The recommendations in this CPG are the official policy of the ACC and AHA until they are superseded by a published addendum, focused update, or revised full-text CPG. The reader is encouraged to consult the full-text CPG (8) for additional guidance and details about perioperative cardiovascular evaluation and noncardiac surgery, because the executive summary contains only the recommendations.

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*Jeffrey L. Anderson, MD, FACC, FAHA*  
*Chair, ACC/AHA Task Force on Practice Guidelines*

**Table 1. Applying Classification of Recommendations and Level of Evidence**

|   |                                    | SIZE OF TREATMENT EFFECT   |   |  |   |  |                 |           |                     |             |                   |               |                                    |                     |
|---|------------------------------------|--|---|--|---|--|-----------------|-----------|---------------------|-------------|-------------------|---------------|------------------------------------|---------------------|
|   |                                    | CLASS I  | CLASS IIa   | CLASS IIb  | CLASS III No Benefit or CLASS III Harm  |  |                 |           |                     |             |                   |               |                                    |                     |
|   |                                    | Benefit >>> Risk<br>Procedure/Treatment <b>SHOULD</b> be performed/administered  | Benefit >> Risk<br>Additional studies with <i>focused objectives</i> needed<br><b>IT IS REASONABLE</b> to perform procedure/administer treatment  | Benefit ≥ Risk<br>Additional studies with <i>broad objectives</i> needed; additional registry data would be helpful<br>Procedure/Treatment <b>MAY BE CONSIDERED</b>                                      | <table border="1"> <thead> <tr> <th></th> <th>Procedure/ Test</th> <th>Treatment</th> </tr> </thead> <tbody> <tr> <td>COR III: No Benefit</td> <td>Not Helpful</td> <td>No Proven Benefit</td> </tr> <tr> <td>COR III: Harm</td> <td>Excess Cost w/o Benefit or Harmful</td> <td>Harmful to Patients</td> </tr> </tbody> </table> |  | Procedure/ Test | Treatment | COR III: No Benefit | Not Helpful | No Proven Benefit | COR III: Harm | Excess Cost w/o Benefit or Harmful | Harmful to Patients |
|   | Procedure/ Test                    | Treatment  |   |  |   |  |                 |           |                     |             |                   |               |                                    |                     |
| COR III: No Benefit                                   | Not Helpful                        | No Proven Benefit  |   |  |   |  |                 |           |                     |             |                   |               |                                    |                     |
| COR III: Harm   | Excess Cost w/o Benefit or Harmful | Harmful to Patients  |   |  |   |  |                 |           |                     |             |                   |               |                                    |                     |
| ESTIMATE OF CERTAINTY (PRECISION) OF TREATMENT EFFECT | LEVEL A                            | <ul style="list-style-type: none"> <li>Recommendation that procedure or treatment is useful/effective</li> <li>Sufficient evidence from multiple randomized trials or meta-analyses</li> </ul> | <ul style="list-style-type: none"> <li>Recommendation in favor of treatment or procedure being useful/effective</li> <li>Some conflicting evidence from multiple randomized trials or meta-analyses</li> </ul>      | <ul style="list-style-type: none"> <li>Recommendation's usefulness/efficacy less well established</li> <li>Greater conflicting evidence from multiple randomized trials or meta-analyses</li> </ul>      | <ul style="list-style-type: none"> <li>Recommendation that procedure or treatment is not useful/effective and may be harmful</li> <li>Sufficient evidence from multiple randomized trials or meta-analyses</li> </ul>   |  |                 |           |                     |             |                   |               |                                    |                     |
|   | LEVEL B                            | <ul style="list-style-type: none"> <li>Recommendation that procedure or treatment is useful/effective</li> <li>Evidence from single randomized trial or nonrandomized studies</li> </ul>       | <ul style="list-style-type: none"> <li>Recommendation in favor of treatment or procedure being useful/effective</li> <li>Some conflicting evidence from single randomized trial or nonrandomized studies</li> </ul> | <ul style="list-style-type: none"> <li>Recommendation's usefulness/efficacy less well established</li> <li>Greater conflicting evidence from single randomized trial or nonrandomized studies</li> </ul> | <ul style="list-style-type: none"> <li>Recommendation that procedure or treatment is not useful/effective and may be harmful</li> <li>Evidence from single randomized trial or nonrandomized studies</li> </ul>   |  |                 |           |                     |             |                   |               |                                    |                     |
|   | LEVEL C                            | <ul style="list-style-type: none"> <li>Recommendation that procedure or treatment is useful/effective</li> <li>Only expert opinion, case studies, or standard of care</li> </ul>               | <ul style="list-style-type: none"> <li>Recommendation in favor of treatment or procedure being useful/effective</li> <li>Only diverging expert opinion, case studies, or standard of care</li> </ul>                | <ul style="list-style-type: none"> <li>Recommendation's usefulness/efficacy less well established</li> <li>Only diverging expert opinion, case studies, or standard of care</li> </ul>                   | <ul style="list-style-type: none"> <li>Recommendation that procedure or treatment is not useful/effective and may be harmful</li> <li>Only expert opinion, case studies, or standard of care</li> </ul>   |  |                 |           |                     |             |                   |               |                                    |                     |
| Suggested phrases for writing recommendations         |                                    | should<br>is recommended<br>is indicated<br>is useful/effective/beneficial   | is reasonable<br>can be useful/effective/beneficial<br>is probably recommended<br>or indicated  | may/might be considered<br>may/might be reasonable<br>usefulness/effectiveness is unknown/unclear/uncertain or not well established  | COR III: No Benefit<br>is not recommended<br>is not indicated<br>should not be performed/administered/other<br>is not useful/beneficial/effective   | COR III: Harm<br>potentially harmful<br>causes harm associated with excess morbidity/mortality<br>should not be performed/administered/other |                 |           |                     |             |                   |               |                                    |                     |
| Comparative effectiveness phrases <sup>†</sup>        |                                    | treatment/strategy A is recommended/indicated in preference to treatment B<br>treatment A should be chosen over treatment B  | treatment/strategy A is probably recommended/indicated in preference to treatment B<br>it is reasonable to choose treatment A over treatment B  |  |   |  |                 |           |                     |             |                   |               |                                    |                     |

A recommendation with Level of Evidence B or C does not imply that the recommendation is weak. Many important key clinical questions addressed in the guidelines do not lend themselves to clinical trials. Although randomized trials are unavailable, there may be a very clear clinical consensus that a particular test or therapy is useful or effective.

\*Data available from clinical trials or registries about the usefulness/efficacy in different subpopulations, such as sex, age, history of diabetes mellitus, history of prior myocardial infarction, history of heart failure, and prior aspirin use.

†For comparative-effectiveness recommendations (Class I and IIa; Level of Evidence A and B only), studies that support the use of comparator verbs should involve direct comparisons of the treatments or strategies being evaluated.

## 1. Introduction

### 1.1. Methodology and Evidence Review

The recommendations listed in this CPG are, whenever possible, evidence based. In April 2013, an extensive evidence review was conducted, which included a literature review through July 2013. Other selected references

published through May 2014 were also incorporated by the GWC. Literature included was conducted in human subjects, published in English, and indexed in MEDLINE (through PubMed), EMBASE, the Cochrane Library, Agency for Healthcare Research and Quality Reports, and other selected databases relevant to this CPG. The relevant data are included in evidence tables in the Data Supplement available online at (<http://circ.ahajournals.org/lookup/suppl/doi:10.1161/CIR.000000000000105/-/DC2>). Key search words included but were not limited to the following: *anesthesia protection; arrhythmia; atrial fibrillation; atrioventricular block; bundle branch block; cardiac ischemia; cardioprotection; cardiovascular implantable electronic device; conduction disturbance; dysrhythmia; electrocardiography; electrocautery; electromagnetic interference; heart disease; heart failure; implantable cardioverter-defibrillator; intraoperative; left ventricular ejection fraction; left ventricular function; myocardial infarction; myocardial protection; National Surgical Quality Improvement Program; pacemaker; perioperative; perioperative pain management; perioperative risk; postoperative; preoperative; preoperative evaluation; surgical procedures; ventricular premature beats; ventricular tachycardia; and volatile anesthetics.*

An independent ERC was commissioned to perform a systematic review of a critical question, the results of which were incorporated into this CPG. See the systematic review report published in conjunction with this CPG (9) and its respective data supplements

(<http://circ.ahajournals.org/lookup/suppl/doi:10.1161/CIR.000000000000104/-/DC2>).

## **1.2. Organization of the GWC**

The GWC was composed of clinicians with content and methodological expertise, including general cardiologists, subspecialty cardiologists, anesthesiologists, a surgeon, a hospitalist, and a patient representative/lay volunteer. The GWC included representatives from the ACC, AHA, American College of Surgeons, American Society of Anesthesiologists, American Society of Echocardiography, American Society of Nuclear Cardiology, Heart Rhythm Society, Society for Cardiovascular Angiography and Interventions, Society of Cardiovascular Anesthesiologists, and Society for Vascular Medicine.

## **1.3. Document Review and Approval**

This document was reviewed by 2 official reviewers each from the ACC and the AHA; 1 reviewer each from the American College of Surgeons, American Society of Anesthesiologists, American Society of Echocardiography, American Society of Nuclear Cardiology, HRS, Society for Cardiovascular Angiography and Interventions, Society of Cardiovascular Anesthesiologists, Society of Hospital Medicine, and Society for Vascular Medicine; and 24 individual content reviewers (including members of the ACC Adult Congenital and Pediatric Cardiology Section Leadership Council, ACC Electrophysiology Section Leadership Council, ACC Heart Failure and Transplant Section Leadership Council, ACC Interventional Section Leadership Council, and ACC Surgeons’

Council). Reviewers' RWI information was distributed to the GWC and is published in this document ([Appendix 2](#)).

This document was approved for publication by the governing bodies of the ACC and the AHA and endorsed by the American College of Surgeons, American Society of Anesthesiologists, American Society of Echocardiography, American Society of Nuclear Cardiology, Society for Cardiovascular Angiography and Interventions, Society of Cardiovascular Anesthesiologists, and Society of Hospital Medicine.

#### 1.4. Scope of the CPG

The focus of this CPG is the perioperative cardiovascular evaluation and management of the adult patient undergoing noncardiac surgery. This includes preoperative risk assessment and cardiovascular testing, as well as (when indicated) perioperative pharmacological (including anesthetic) management and perioperative monitoring that includes devices and biochemical markers. This CPG is intended to inform all the medical professionals involved in the care of these patients. The preoperative evaluation of the patient undergoing noncardiac surgery can be performed for multiple purposes, including 1) assessment of perioperative risk (which can be used to inform the decision to proceed or the choice of surgery and which includes the patient's perspective), 2) determination of the need for changes in management, and 3) identification of cardiovascular conditions or risk factors requiring longer-term management. Changes in management can include the decision to change medical therapies, the decision to perform further cardiovascular interventions, or recommendations about postoperative monitoring. This may lead to recommendations and discussions with the perioperative team about the optimal location and timing of surgery (e.g., ambulatory surgery center versus outpatient hospital, or inpatient admission) or alternative strategies.

The key to optimal management is communication among all of the relevant parties (i.e., surgeon, anesthesiologist, primary caregiver, and consultants) and the patient. The goal of preoperative evaluation is to promote patient engagement and facilitate shared decision making by providing patients and their providers with clear, understandable information about perioperative cardiovascular risk in the context of the overall risk of surgery.

The Task Force has chosen to make recommendations about care management on the basis of available evidence from studies of patients undergoing noncardiac surgery. Extrapolation from data from the nonsurgical arena or cardiac surgical arena was made only when no other data were available and the benefits of extrapolating the data outweighed the risks.

During the initiation of the writing effort, concern was expressed by Erasmus University about the scientific integrity of studies led by Poldermans (10). The GWC reviewed 2 reports from Erasmus University published on the Internet (10, 11), as well as other relevant articles on this body of scientific investigation (12-14). The 2012 report from Erasmus University concluded that the conduct in the DECREASE (Dutch Echocardiographic Cardiac Risk Evaluation Applying Stress Echocardiography) IV and V trials "was in several respects negligent

and scientifically incorrect” and that “essential source documents are lacking” to make conclusions about other studies led by Poldermans (10). Additionally, Erasmus University was contacted to ensure that the GWC had up-to-date information. On the basis of the published information, discussions between the Task Force and GWC leadership ensued to determine how best to treat any study in which Poldermans was the senior investigator (i.e., either the first or last author). The Task Force developed the following framework for this document:

1. The ERC will include the DECREASE trials in the sensitivity analysis, but the systematic review report will be based on the published data on perioperative beta blockade, with data from all DECREASE trials excluded.
2. The DECREASE trials and other derivative studies by Poldermans should not be included in the CPG data supplements and evidence tables.
3. If nonretracted DECREASE publications and/or other derivative studies by Poldermans are relevant to the topic, they can only be cited in the text with a comment about the finding compared with the current recommendation but should not form the basis of that recommendation or be used as a reference for the recommendation.

The Task Force and GWC believe that it is crucial for the sake of transparency to include the nonretracted publications in the text of the document. This is particularly important because further investigation is occurring simultaneously with deliberation of the CPG recommendations. Because of the availability of new evidence and the international impact of the controversy about the DECREASE trials, the ACC/AHA and European Society of Cardiology/European Society of Anesthesiology began revising their respective CPGs concurrently. The respective GWCs performed their literature reviews and analyses independently and then developed their recommendations. Once peer review of both CPGs was completed, the GWCs chose to discuss their respective recommendations for beta-blocker therapy and other relevant issues. Any differences in recommendations were discussed and clearly articulated in the text; however, the GWCs aligned a few recommendations to avoid confusion within the clinical community, except where international practice variation was prevalent.

In developing this CPG, the GWC reviewed prior published CPGs and related statements. Table 2 lists these publications and statements deemed pertinent to this effort and is intended for use as a resource. However, because of the availability of new evidence, the current CPG may include recommendations that supersede those previously published.

**Table 2. Associated CPGs and Statements**

| <b>Title</b>   | <b>Organization</b> | <b>Publication Year (Reference)</b> |
|--|---------------------|-------------------------------------|
| <b>CPGs</b>  |                     |                                     |
| Management of patients with atrial fibrillation                          | AHA/ACC/HRS         | 2014 (15)                           |
| Management of valvular heart disease                                     | AHA/ACC             | 2014 (16)                           |
| Management of heart failure  | ACC/AHA             | 2013 (17)                           |
| Performing a comprehensive transesophageal echocardiographic examination | ASE/SCA             | 2013 (18)                           |
| Management of ST-elevation myocardial infarction                         | ACC/AHA             | 2013 (19)                           |

|  |   |                        |
|--|---|------------------------|
| Focused update: diagnosis and management of patients with stable ischemic heart disease  | ACC/AHA/AATS/PCNA/SCAI/STS                | 2014 (20)              |
| Focused update incorporated into the 2007 guidelines for the management of patients with unstable angina/non–ST-elevation myocardial infarction* | ACC/AHA                                   | 2012 (21)              |
| Red blood cell transfusion   | AABB                                      | 2012 (22)              |
| Management of patients with peripheral artery disease: focused update and guideline  | ACC/AHA                                   | 2011 (23)<br>2006 (24) |
| Diagnosis and treatment of hypertrophic cardiomyopathy   | ACC/AHA                                   | 2011 (25)              |
| Coronary artery bypass graft surgery   | ACC/AHA                                   | 2011 (26)              |
| Percutaneous coronary intervention   | ACC/AHA/SCAI                              | 2011 (27)              |
| Perioperative transesophageal echocardiography   | American Society of Anesthesiologists/SCA | 2010 (28)              |
| Management of adults with congenital heart disease   | ACC/AHA                                   | 2008 (29)              |
| <b>Statements</b>  |   |                        |
| Perioperative beta blockade in noncardiac surgery: a systematic review   | ACC/AHA                                   | 2014 (9)               |
| Basic perioperative transesophageal echocardiography examination   | ASE/SCA                                   | 2013 (30)              |
| Practice advisory for preanesthesia evaluation   | American Society of Anesthesiologists     | 2012 (31)              |
| Cardiac disease evaluation and management among kidney and liver transplantation candidates  | AHA/ACC                                   | 2012 (32)              |
| Inclusion of stroke in cardiovascular risk prediction instruments  | AHA/American Stroke Association           | 2012 (33)              |
| Perioperative management of patients with implantable defibrillators, pacemakers and arrhythmia monitors: facilities and patient management      | HRS/American Society of Anesthesiologists | 2011(34)               |

\*The 2012 UA/NSTEMI CPG (21) is considered policy at the time of publication of this CPG; however, a fully revised CPG is in development, with publication expected in 2014.

AABB indicates American Association of Blood Banks; AATS, American Association for Thoracic Surgery; ACC, American College of Cardiology; AHA, American Heart Association; ASE, American Society of Echocardiography; CPG, clinical practice guideline; HRS, Heart Rhythm Society; PCNA, Preventive Cardiovascular Nurses Association; SCAI, Society for Cardiovascular Angiography and Interventions; SCA, Society of Cardiovascular Anesthesiologists; STEMI, ST-elevation myocardial infarction; STS, Society of Thoracic Surgeons; and UA/NSTEMI, unstable angina/non–ST-elevation myocardial infarction.

### 1.5. Definitions of Urgency and Risk

In describing the temporal necessity of operations in this CPG, the GWC developed the following definitions by consensus. An *emergency* procedure is one in which life or limb is threatened if not in the operating room, where there is time for no or very limited or minimal clinical evaluation, typically within <6 hours. An *urgent* procedure is one in which there may be time for a limited clinical evaluation, usually when life or limb is threatened if not in the operating room, typically between 6 and 24 hours. A *time-sensitive* procedure is one in which a delay of >1 to 6 weeks to allow for an evaluation and significant changes in management will negatively affect outcome. Most oncologic procedures would fall into this category. An *elective* procedure is one in which the procedure could be delayed for up to 1 year. Individual institutions may use slightly different definitions, but this framework could be mapped to local categories. A *low-risk* procedure is one in which the combined surgical and patient characteristics predict a risk of a major adverse cardiac event (MACE) of death or myocardial infarction (MI) of <1%. Selected examples of low-risk procedures include cataract and plastic

surgery (35, 36). Procedures with a risk of MACE of  $\geq 1\%$  are considered *elevated risk*. Many previous risk-stratification schema have included intermediate- and high-risk classifications. Because recommendations for intermediate- and high-risk procedures are similar, classification into 2 categories simplifies the recommendations without loss of fidelity. Additionally, a risk calculator has been developed that allows more precise calculation of surgical risk, which can be incorporated into perioperative decision making (37). Approaches to establishing low and elevated risk are developed more fully in Section 3 in the full-text CPG.

## 2. Clinical Risk Factors: Recommendations

### 2.1. Valvular Heart Disease

See the 2014 valvular heart disease CPG for the complete set of recommendations and specific definitions of disease severity (38).

#### Class I

1. It is recommended that patients with clinically suspected moderate or greater degrees of valvular stenosis or regurgitation undergo preoperative echocardiography if there has been either 1) no prior echocardiography within 1 year or 2) a significant change in clinical status or physical examination since last evaluation (39). (*Level of Evidence: C*)
2. For adults who meet standard indications for valvular intervention (replacement and repair) on the basis of symptoms and severity of stenosis or regurgitation, valvular intervention before elective noncardiac surgery is effective in reducing perioperative risk (38). (*Level of Evidence: C*)

#### Class IIa

1. Elevated-risk elective noncardiac surgery with appropriate intraoperative and postoperative hemodynamic monitoring is reasonable to perform in patients with asymptomatic severe aortic stenosis (40-50). (*Level of Evidence: B*)
2. Elevated-risk elective noncardiac surgery with appropriate intraoperative and postoperative hemodynamic monitoring is reasonable in adults with asymptomatic severe MR. (*Level of Evidence: C*)
3. Elevated-risk elective noncardiac surgery with appropriate intraoperative and postoperative hemodynamic monitoring is reasonable in adults with asymptomatic severe aortic regurgitation and a normal left ventricular ejection fraction. (*Level of Evidence: C*)

#### Class IIb

1. Elevated-risk elective noncardiac surgery using appropriate intraoperative and postoperative hemodynamic monitoring may be reasonable in asymptomatic patients with severe mitral stenosis if valve morphology is not favorable for percutaneous mitral balloon commissurotomy. (*Level of Evidence: C*)

### 2.2. Other Clinical Risk Factors

See Section 5.8 for intraoperative/postoperative cardiovascular implantable electronic device (CIED) management.

#### Class I

1. **Before elective surgery in a patient with a CIED, the surgical/procedure team and clinician following the CIED should communicate in advance to plan perioperative management of the CIED. (Level of Evidence: C)**
2. **Chronic pulmonary vascular targeted therapy (i.e., phosphodiesterase type 5 inhibitors, soluble guanylate cyclase stimulators, endothelin receptor antagonists, and prostanoids) should be continued unless contraindicated or not tolerated in patients with pulmonary hypertension who are undergoing noncardiac surgery. (Level of Evidence: C)**

#### **Class IIa**

1. **Unless the risks of delay outweigh the potential benefits, preoperative evaluation by a pulmonary hypertension specialist before noncardiac surgery can be beneficial for patients with pulmonary hypertension, particularly for those with features of increased perioperative risk (51).\* (Level of Evidence: C)**

\*Features of increased perioperative risk in patients with pulmonary hypertension include: 1) diagnosis of Group 1 pulmonary hypertension (i.e., pulmonary arterial hypertension), 2) other forms of pulmonary hypertension associated with high pulmonary pressures (pulmonary artery systolic pressures >70 mm Hg) and/or moderate or greater right ventricular dilatation and/or dysfunction and/or pulmonary vascular resistance >3 Wood units, and 3) World Health Organization/New York Heart Association class III or IV symptoms attributable to pulmonary hypertension (52-58).



### **3. Approach to Perioperative Cardiac Testing**

#### **3.1. Multivariate Risk Indices: Recommendations**

##### **Class IIa**

1. **A validated risk-prediction tool can be useful in predicting the risk of perioperative MACE in patients undergoing noncardiac surgery (59-61). (Level of Evidence: B)**

##### **Class III: No Benefit**

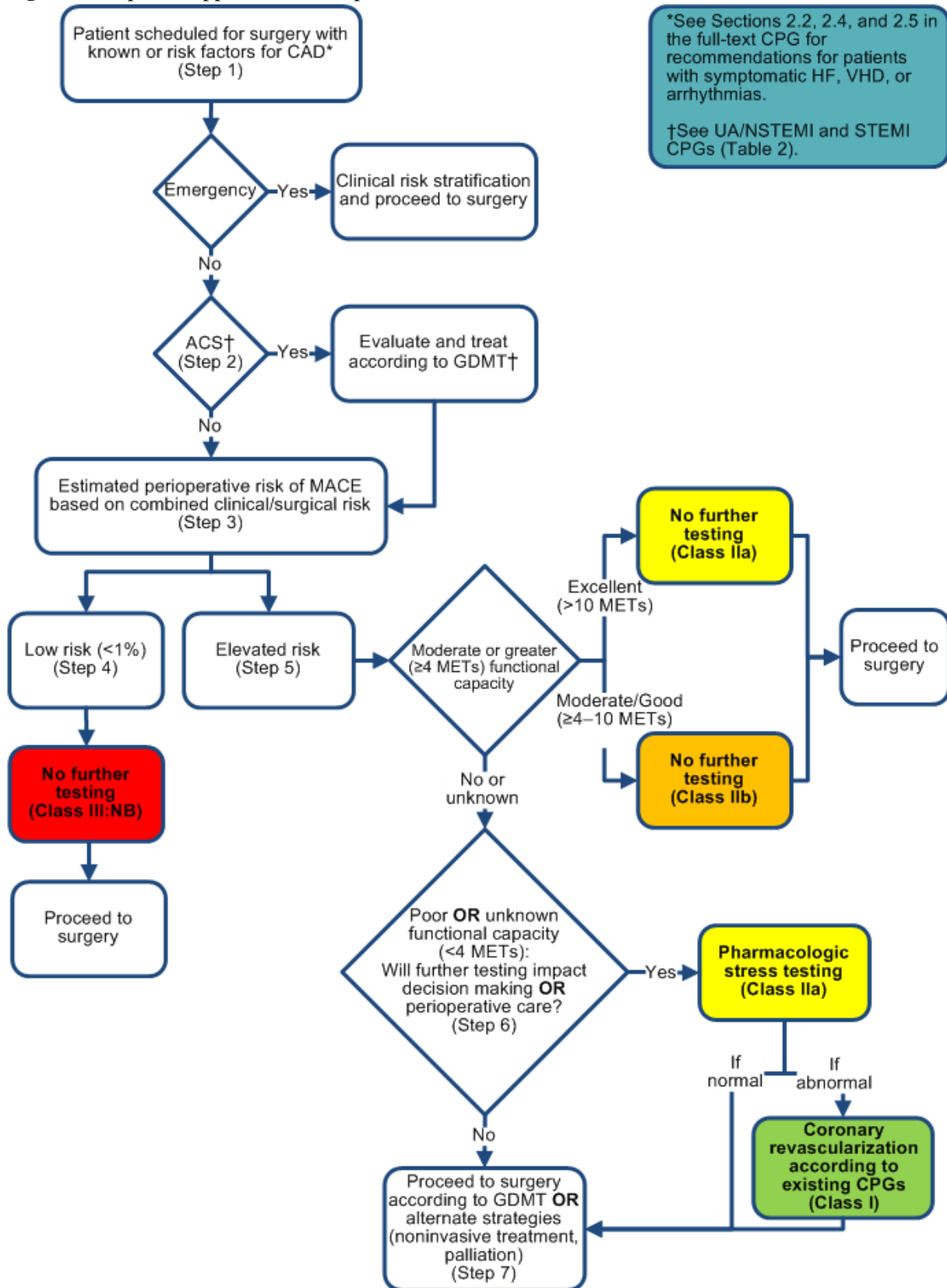
1. **For patients with a low risk of perioperative MACE, further testing is not recommended before the planned operation (35, 36). (Level of Evidence: B)**

#### **3.2. Stepwise Approach to Perioperative Cardiac Assessment: Treatment Algorithm**

See Figure 1 for a stepwise approach to perioperative cardiac assessment for CAD.

The GWC developed an algorithmic approach to perioperative cardiac assessment on the basis of the available evidence and expert opinion, the rationale of which is outlined throughout the CPG. The algorithm incorporates the perspectives of clinicians caring for the patient to provide informed consent and help guide perioperative management to minimize risk. It is also crucial to incorporate the patient's perspective with regard to the assessment of the risk of surgery or alternative therapy and the risk of any GDMT or coronary and valvular interventions before noncardiac surgery. Patients may elect to forgo a surgical intervention if the risk of perioperative morbidity and mortality is extremely high; soliciting this information from the patient before surgery is a key part of shared decision making.

**Figure 1.** Stepwise Approach to Perioperative Cardiac Assessment for CAD



Colors correspond to the Classes of Recommendations in Table 1.

**Step 1:** In patients scheduled for surgery with risk factors for or known CAD, determine the urgency of surgery. If an emergency, then determine the clinical risk factors that may influence perioperative management and proceed to surgery with appropriate monitoring and management strategies based on the clinical assessment (see Section 2.5 in the full-text CPG for more information on CAD). (For patients with symptomatic HF, VHD, or arrhythmias, see Sections 2.2, 2.4, and 2.5 in the full-text CPG for information on evaluation and management.)

**Step 2:** If the surgery is urgent or elective, determine if the patient has an ACS. If yes, then refer patient for cardiology evaluation and management according to GDMT according to the UA/NSTEMI and STEMI CPGs (19, 21).

**Step 3:** If the patient has risk factors for stable CAD, then estimate the perioperative risk of MACE on the basis of the combined clinical/surgical risk. This estimate can use the American College of Surgeons NSQIP risk calculator (<http://www.surgicalriskcalculator.com>) or incorporate the RCRI (62) with an estimation of surgical risk. For example, a patient undergoing very low-risk surgery (e.g., ophthalmologic surgery), even with multiple risk factors, would have a low risk of MACE, whereas a patient undergoing major vascular surgery with few risk factors would have an elevated risk of MACE (see Section 3 in the full-text CPG).

**Step 4:** If the patient has a low risk of MACE (<1%), then no further testing is needed, and the patient may proceed to surgery (Section 3 in the full-text CPG).

**Step 5:** If the patient is at elevated risk of MACE, then determine functional capacity with an objective measure or scale such as the DASI (63). If the patient has moderate, good, or excellent functional capacity ( $\geq 4$  METs), then proceed to surgery without further evaluation (Section 4.1 in the full-text CPG).

**Step 6:** If the patient has poor (<4 METs) or unknown functional capacity, then the clinician should consult with the patient and perioperative team to determine whether further testing will impact patient decision making (e.g., decision to perform original surgery or willingness to undergo CABG or PCI, depending on the results of the test) or perioperative care. If yes, then pharmacological stress testing is appropriate. In those patients with unknown functional capacity, exercise stress testing may be reasonable to perform. If the stress test is abnormal, consider coronary angiography and revascularization depending on the extent of the abnormal test. The patient can then proceed to surgery with GDMT or consider alternative strategies, such as noninvasive treatment of the indication for surgery (e.g., radiation therapy for cancer) or palliation. If the test is normal, proceed to surgery according to GDMT (Section 4.3).

**Step 7:** If testing will not impact decision making or care, then proceed to surgery according to GDMT or consider alternative strategies, such as noninvasive treatment of the indication for surgery (e.g., radiation therapy for cancer) or palliation.

ACS indicates acute coronary syndrome; CABG, coronary artery bypass graft; CAD, coronary artery disease; CPG, clinical practice guideline; DASI, Duke Activity Status Index; GDMT, guideline-directed medical therapy; HF, heart failure; MACE, major adverse cardiac event; MET, metabolic equivalent; NB, No Benefit; NSQIP, National Surgical Quality Improvement Program; PCI, percutaneous coronary intervention; RCRI, Revised Cardiac Risk Index; STEMI, ST-elevation myocardial infarction; UA/NSTEMI, unstable angina/non-ST-elevation myocardial infarction; and VHD, valvular heart disease.

## **4. Supplemental Preoperative Evaluation: Recommendations**

See Table 3 for a summary of recommendations for supplemental preoperative evaluation.

### **4.1. The 12-Lead Electrocardiogram**

#### **Class IIa**

- 1. Preoperative resting 12-lead electrocardiogram (ECG) is reasonable for patients with known coronary heart disease, significant arrhythmia, peripheral arterial disease, cerebrovascular disease, or other significant structural heart disease, except for those undergoing low-risk surgery (64-66). (Level of Evidence: B)**

**Class IIb**

1. Preoperative resting 12-lead ECG may be considered for asymptomatic patients without known coronary heart disease, except for those undergoing low-risk surgery (59, 65-67). (*Level of Evidence: B*)

**Class III: No Benefit**

1. Routine preoperative resting 12-lead ECG is not useful for asymptomatic patients undergoing low-risk surgical procedures (36, 68). (*Level of Evidence: B*)

## 4.2. Assessment of Left Ventricular Function

**Class IIa**

1. It is reasonable for patients with dyspnea of unknown origin to undergo preoperative evaluation of left ventricular (LV) function. (*Level of Evidence: C*)
2. It is reasonable for patients with heart failure (HF) with worsening dyspnea or other change in clinical status to undergo preoperative evaluation of LV function. (*Level of Evidence: C*)

**Class IIb**

1. Reassessment of LV function in clinically stable patients with previously documented LV dysfunction may be considered if there has been no assessment within a year. (*Level of Evidence: C*)

**Class III: No Benefit**

1. Routine preoperative evaluation of LV function is not recommended (69-71). (*Level of Evidence: B*)

## 4.3. Exercise Testing

**Class IIa**

1. For patients with elevated risk and excellent ( $>10$  metabolic equivalents [METs]) functional capacity, it is reasonable to forgo further exercise testing with cardiac imaging and proceed to surgery (72-76). (*Level of Evidence: B*)

**Class IIb**

1. For patients with elevated risk and unknown functional capacity, it may be reasonable to perform exercise testing to assess for functional capacity if it will change management (75-77). (*Level of Evidence: B*)
2. Cardiopulmonary exercise testing may be considered for patients undergoing elevated risk procedures in whom functional capacity is unknown (78-86). (*Level of Evidence: B*)
3. For patients with elevated risk and moderate to good ( $\geq 4$  METs to 10 METs) functional capacity, it may be reasonable to forgo further exercise testing with cardiac imaging and proceed to surgery (72-74). (*Level of Evidence: B*)
4. For patients with elevated risk and poor ( $<4$  METs) or unknown functional capacity, it may be reasonable to perform exercise testing with cardiac imaging to assess for myocardial ischemia if it will change management. (*Level of Evidence: C*)

**Class III: No Benefit**

1. Routine screening with noninvasive stress testing is not useful for patients at low risk for noncardiac surgery (87, 88). (*Level of Evidence: B*)

#### 4.4. Noninvasive Pharmacological Stress Testing Before Noncardiac Surgery

##### Class IIa

1. It is reasonable for patients who are at an elevated risk for noncardiac surgery and have poor functional capacity (<4 METs) to undergo noninvasive pharmacological stress testing (either dobutamine stress echocardiogram or pharmacological stress myocardial perfusion imaging) if it will change management (89-93). (Level of Evidence: B)

##### Class III: No Benefit

1. Routine screening with noninvasive stress testing is not useful for patients undergoing low-risk noncardiac surgery (88, 94). (Level of Evidence: B)

#### 4.5. Preoperative Coronary Angiography

##### Class III: No Benefit

1. Routine preoperative coronary angiography is not recommended. (Level of Evidence: C)

**Table 3. Summary of Recommendations for Supplemental Preoperative Evaluation**

| Recommendations   | COR             | LOE | References  |
|---|-----------------|-----|-------------|
| <b><i>The 12-lead ECG</i></b>   |                 |     |             |
| Preoperative resting 12-lead ECG is reasonable for patients with known coronary heart disease or other significant structural heart disease, except for low-risk surgery        | IIa             | B   | (64-66)     |
| Preoperative resting 12-lead ECG may be considered for asymptomatic patients, except for low-risk surgery   | IIb             | B   | (59, 65-67) |
| Routine preoperative resting 12-lead ECG is not useful for asymptomatic patients undergoing low-risk surgical procedures  | III: No Benefit | B   | (36, 68)    |
| <b><i>Assessment of LV function</i></b>   |                 |     |             |
| It is reasonable for patients with dyspnea of unknown origin to undergo preoperative evaluation of LV function  | IIa             | C   | N/A         |
| It is reasonable for patients with HF with worsening dyspnea or other change in clinical status to undergo preoperative evaluation of LV function                               | IIa             | C   | N/A         |
| Reassessment of LV function in clinically stable patients may be considered   | IIb             | C   | N/A         |
| Routine preoperative evaluation of LV function is not recommended   | III: No Benefit | B   | (69-71)     |
| <b><i>Exercise stress testing</i></b>   |                 |     |             |
| For patients with elevated risk and excellent functional capacity, it is reasonable to forgo further exercise testing and proceed to surgery                                    | IIa             | B   | (72-76)     |
| For patients with elevated risk and unknown functional capacity it may be reasonable to perform exercise testing to assess for functional capacity if it will change management | IIb             | B   | (75-77)     |
| Cardiopulmonary exercise testing may be considered for patients undergoing elevated risk procedures   | IIb             | B   | (78-86)     |
| For patients with elevated risk and moderate to good functional capacity, it may be reasonable to forgo further exercise testing and proceed to surgery                         | IIb             | B   | (72-74)     |
| For patients with elevated risk and poor or unknown functional capacity it may be reasonable to perform exercise testing with cardiac imaging to assess for myocardial ischemia | IIb             | C   | N/A         |
| Routine screening with noninvasive stress testing is not useful for   | III: No Benefit | B   | (87, 88)    |

|   |                 |   |          |
|---|-----------------|---|----------|
| low-risk noncardiac surgery   |                 |   |          |
| <b><i>Noninvasive pharmacological stress testing before noncardiac surgery</i></b>  |                 |   |          |
| It is reasonable for patients at elevated risk for noncardiac surgery with poor functional capacity to undergo either DSE or MPI if it will change management | IIa             | B | (89-93)  |
| Routine screening with noninvasive stress testing is not useful for low-risk noncardiac surgery   | III: No Benefit | B | (88, 94) |
| <b><i>Preoperative coronary angiography</i></b>   |                 |   |          |
| Routine preoperative coronary angiography is not recommended  | III: No Benefit | C | N/A      |

COR indicates Class of Recommendation; DSE, dobutamine stress echocardiogram; ECG, electrocardiogram; HF, heart failure; LOE, Level of Evidence; LV, left ventricular; MPI, myocardial perfusion imaging; and N/A, not applicable.

## 5. Perioperative Therapy: Recommendations

See Table 4 for a summary of recommendations for perioperative therapy.

### 5.1. Coronary Revascularization Before Noncardiac Surgery

#### Class I

1. **Revascularization before noncardiac surgery is recommended in circumstances in which revascularization is indicated according to existing CPGs (95, 96). (Level of Evidence: C)** (See Table A in Appendix 3 for related recommendations.)

#### Class III: No Benefit

1. **It is not recommended that routine coronary revascularization be performed before noncardiac surgery exclusively to reduce perioperative cardiac events (97). (Level of Evidence: B)**

Patients undergoing risk stratification surgery before elective noncardiac procedures and whose evaluation recommends coronary artery bypass graft surgery should undergo coronary revascularization before an elevated-risk surgical procedure (98). The cumulative mortality and morbidity risks of both the coronary revascularization procedure and the noncardiac surgery should be weighed carefully in light of the individual patient's overall health, functional status, and prognosis. The indications for preoperative surgical coronary revascularization are identical to those recommended in the 2011 coronary artery bypass graft surgery CPG and the 2011 percutaneous coronary intervention (PCI) CPG and the accumulated data on which those conclusions were based (95, 96) (See Table A in Appendix 3 for the related recommendations).

The role of preoperative PCI in reducing untoward perioperative cardiac complications is uncertain given the available data. Performing PCI before noncardiac surgery should be limited to 1) patients with left main disease whose comorbidities preclude bypass surgery without undue risk and 2) patients with unstable coronary artery disease who would be appropriate candidates for emergency or urgent revascularization (95, 96). Patients with ST-elevation MI or non-ST-elevation acute coronary syndrome benefit from early invasive management (96). In such patients, in whom noncardiac surgery is time sensitive despite an increased risk in the perioperative period, a strategy of balloon angioplasty or bare-metal stent (BMS) implantation should be considered.

## 5.2. Timing of Elective Noncardiac Surgery in Patients With Previous PCI

### Class I

1. Elective noncardiac surgery should be delayed 14 days after balloon angioplasty (*Level of Evidence: C*) and 30 days after BMS implantation (99-101) (*Level of Evidence B*).
2. Elective noncardiac surgery should optimally be delayed 365 days after drug-eluting stent (DES) implantation (102-105). (*Level of Evidence: B*)

### Class IIa

1. In patients in whom noncardiac surgery is required, a consensus decision among treating clinicians as to the relative risks of surgery and discontinuation or continuation of antiplatelet therapy can be useful. (*Level of Evidence: C*)

### Class IIb\*

1. Elective noncardiac surgery after DES implantation may be considered after 180 days if the risk of further delay is greater than the expected risks of ischemia and stent thrombosis (102, 106). (*Level of Evidence: B*)

### Class III: Harm

1. Elective noncardiac surgery should not be performed within 30 days after BMS implantation or within 12 months after DES implantation in patients in whom dual antiplatelet therapy will need to be discontinued perioperatively (99-105, 107). (*Level of Evidence: B*)
2. Elective noncardiac surgery should not be performed within 14 days of balloon angioplasty in patients in whom aspirin will need to be discontinued perioperatively. (*Level of Evidence: C*)

\*Because of new evidence, this is a new recommendation since the publication of the 2011 PCI CPG (96).

## 5.3. Perioperative Beta-Blocker Therapy

See the ERC systematic review report, "Perioperative Beta Blockade in Noncardiac Surgery: A Systematic Review for the 2014 ACC/AHA Guideline on Perioperative Cardiovascular Evaluation and Management of Patients Undergoing Noncardiac Surgery" for the complete evidence review on perioperative beta-blocker therapy (9). These recommendations have been designated with a <sup>SR</sup> to emphasize the rigor of support from the ERC's systematic review.

As noted in the Scope of this CPG (Section 1.4), the recommendations in Section 5.3 are based on a separately commissioned review of the available evidence, the results of which were used to frame our decision making.

Full details are provided in the ERC's systematic review report (9) and data supplements

(<http://circ.ahajournals.org/lookup/suppl/doi:10.1161/CIR.000000000000104/-/DC2>). However, 3 key findings

were powerful influences on this CPG's recommendations:

1. The systematic review suggests that preoperative use of beta blockers was associated with a reduction in cardiac events in the studies examined, but few data support the effectiveness of preoperative administration of beta blockers to reduce risk of surgical death.
2. Consistent and clear associations exist between beta-blocker administration and adverse outcomes, such as bradycardia and stroke.

3. These findings were quite consistent even when the DECREASE studies (108, 109) in question or the POISE (Perioperative Ischemic Study Evaluation) study (110) were excluded. Stated alternatively, exclusion of these studies did not substantially affect estimates of risk or benefit.

#### Class I

1. **Beta blockers should be continued in patients undergoing surgery who have been on beta blockers chronically (111-117). (Level of Evidence: B)<sup>SR</sup>**

#### Class IIa

1. **It is reasonable for the management of beta blockers after surgery to be guided by clinical circumstances, independent of when the agent was started (110, 117, 118). (Level of Evidence: B)<sup>SR</sup>**

#### Class IIb

1. **In patients with intermediate- or high-risk myocardial ischemia noted in preoperative risk stratification tests, it may be reasonable to begin perioperative beta blockers (119). (Level of Evidence: C)<sup>SR</sup>**
2. **In patients with 3 or more RCRI risk factors (e.g., diabetes mellitus, HF, coronary artery disease, renal insufficiency, cerebrovascular accident), it may be reasonable to begin beta blockers before surgery (117). (Level of Evidence: B)<sup>SR</sup>**
3. **In patients with a compelling long-term indication for beta-blocker therapy but no other RCRI risk factors, initiating beta blockers in the perioperative setting as an approach to reduce perioperative risk is of uncertain benefit (111, 117, 120). (Level of Evidence: B)<sup>SR</sup>**
4. **In patients in whom beta-blocker therapy is initiated, it may be reasonable to begin perioperative beta blockers long enough in advance to assess safety and tolerability, preferably more than 1 day before surgery (110, 121-123). (Level of Evidence: B)<sup>SR</sup>**

#### Class III: Harm

1. **Beta-blocker therapy should not be started on the day of surgery (110). (Level of Evidence: B)<sup>SR</sup>**

If well tolerated, continuing beta blockers in patients who are currently receiving them for longitudinal reasons, particularly when longitudinal treatment is provided according to GDMT, such as for MI, is recommended (see Table B in Appendix 3 for applicable recommendations from the 2011 secondary prevention CPG (124)). This recommendation is consistent with the Surgical Care Improvement Project National Measures (CARD-2) as of November 2013 (125). Particular attention should be paid to the need to modify or temporarily discontinue beta blockers as clinical circumstances (e.g., hypotension, bradycardia (126), bleeding (118)) dictate.

The risks and benefits of perioperative beta blocker use appear to be favorable in patients who have intermediate- or high-risk myocardial ischemia noted on preoperative stress testing (119, 127). The decision to begin beta blockers should be influenced by whether a patient is at risk for stroke (128-130) and whether the patient has other relative contraindications (such as uncompensated HF). Observational data suggest that patients appear to benefit from use of beta blockers in the perioperative setting if they have  $\geq 3$  RCRI risk factors. It may be reasonable to begin beta blockers long enough in advance of the operative date that clinical effectiveness and tolerability can be assessed (110, 121-123). Starting the medication 2 to 7 days before surgery may be preferred, but few data support the need to start beta blockers >30 days beforehand (121-123).

## 5.4. Perioperative Statin Therapy

### Class I

1. Statins should be continued in patients currently taking statins and scheduled for noncardiac surgery (131-134). (*Level of Evidence: B*)

### Class IIa

1. Perioperative initiation of statin use is reasonable in patients undergoing vascular surgery (135). (*Level of Evidence: B*)

### Class IIIb

1. Perioperative initiation of statins may be considered in patients with clinical indications according to GDMT who are undergoing elevated-risk procedures. (*Level of Evidence: C*)

## 5.5. Alpha-2 Agonists

### Class III: No Benefit

1. Alpha-2 agonists for prevention of cardiac events are not recommended in patients who are undergoing noncardiac surgery (136-140). (*Level of Evidence: B*)



## 5.6. Angiotensin-Converting Enzyme Inhibitors

### Class IIa

1. Continuation of angiotensin-converting enzyme inhibitors or angiotensin-receptor blockers perioperatively is reasonable (141, 142). (*Level of Evidence: B*)
2. If angiotensin-converting enzyme inhibitors or angiotensin-receptor blockers are held before surgery, it is reasonable to restart as soon as clinically feasible postoperatively. (*Level of Evidence: C*)

## 5.7. Antiplatelet Agents OF THE AMERICAN HEART ASSOCIATION

Please see Figure 2 for an algorithm for antiplatelet management in patients with PCI and noncardiac surgery.

### Class I

1. In patients undergoing urgent noncardiac surgery during the first 4 to 6 weeks after BMS or DES implantation, dual antiplatelet therapy should be continued unless the relative risk of bleeding outweighs the benefit of the prevention of stent thrombosis. (*Level of Evidence: C*)
2. In patients who have received coronary stents and must undergo surgical procedures that mandate the discontinuation of P2Y<sub>12</sub> platelet receptor–inhibitor therapy, it is recommended that aspirin be continued if possible and the P2Y<sub>12</sub> platelet receptor–inhibitor be restarted as soon as possible after surgery. (*Level of Evidence: C*)
3. Management of the perioperative antiplatelet therapy should be determined by a consensus of the surgeon, anesthesiologist, cardiologist, and patient, who should weigh the relative risk of bleeding with those of prevention of stent thrombosis. (*Level of Evidence: C*)

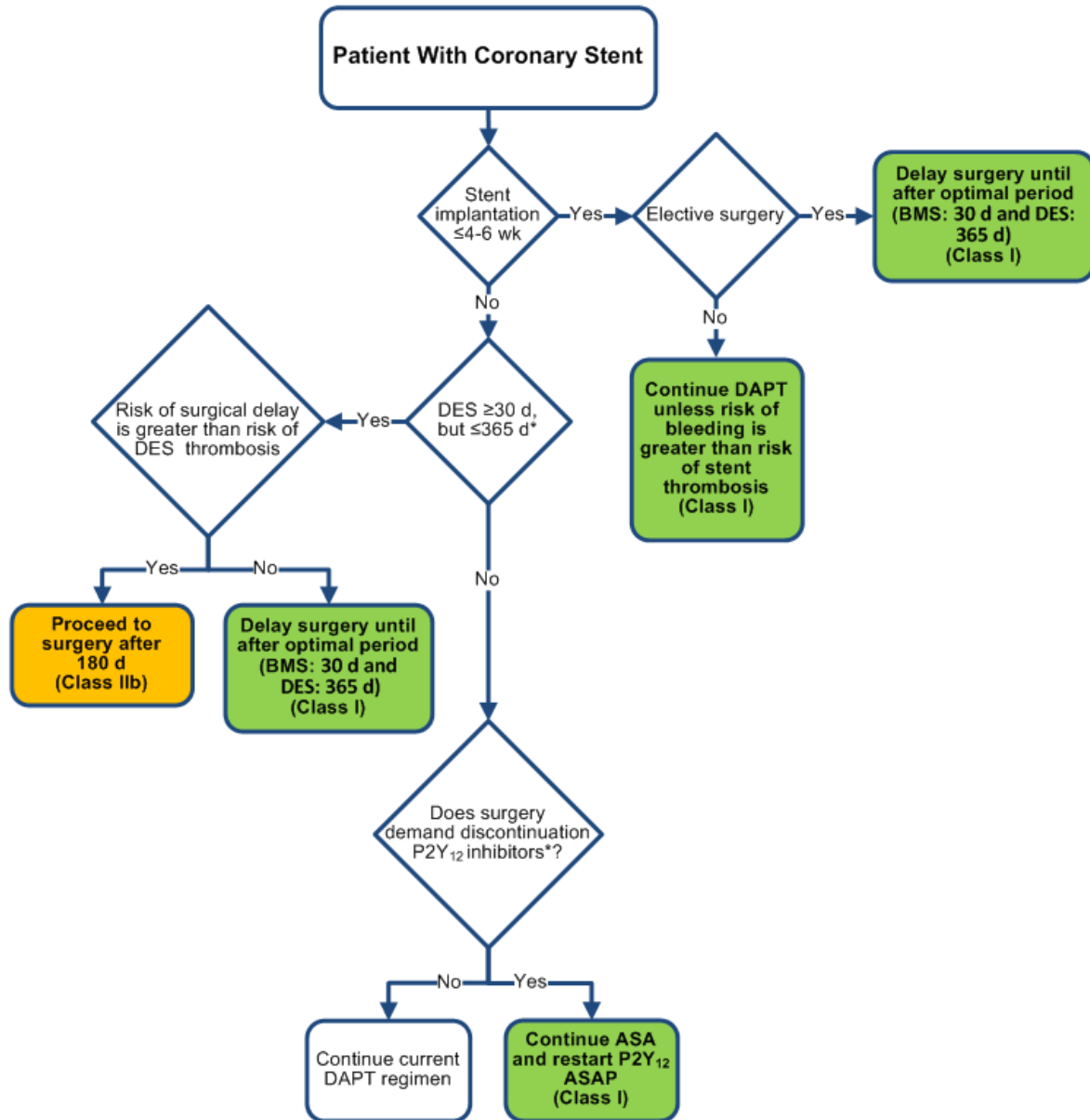
### Class IIIb

1. In patients undergoing nonemergency/nonurgent noncardiac surgery who have not had previous coronary stenting, it may be reasonable to continue aspirin when the risk of potential increased cardiac events outweighs the risk of increased bleeding (143, 144). (*Level of Evidence: B*)

**Class III: No Benefit**

- 1. Initiation or continuation of aspirin is not beneficial in patients undergoing elective noncardiac noncarotid surgery who have not had previous coronary stenting (143) (Level of Evidence: B), unless the risk of ischemic events outweighs the risk of surgical bleeding (Level of Evidence: C).**

**Figure 2.** Proposed Algorithm for Antiplatelet Management in Patients With PCI and Noncardiac Surgery



Colors correspond to the Classes of Recommendations in Table 1.

\*Assuming patient is currently on DAPT.

ASA indicates aspirin; ASAP, as soon as possible; BMS, bare-metal stent; DAPT, dual antiplatelet therapy; DES, drug-eluting stent; and PCI, percutaneous coronary intervention.

## 5.8. Perioperative Management of Patients With CIEDs

### Class I

1. Patients with implantable cardioverter-defibrillators who have preoperative reprogramming to inactivate tachytherapy should be on cardiac monitoring continuously during the entire period of inactivation, and external defibrillation equipment should be readily available. Systems should be in place to ensure that implantable cardioverter-defibrillators are reprogrammed to active therapy before discontinuation of cardiac monitoring and discharge from the facility (145). (*Level of Evidence: C*)

**Table 4. Summary of Recommendations for Perioperative Therapy**

| Recommendations   | COR             | LOE                               | References      |
|---|-----------------|-----------------------------------|-----------------|
| <b>Coronary revascularization before noncardiac surgery</b>   |                 |                                   |                 |
| Revascularization before noncardiac surgery is recommended when indicated by existing CPGs  | I               | C                                 | (95, 96)        |
| Coronary revascularization is not recommended before noncardiac surgery exclusively to reduce perioperative cardiac events  | III: No Benefit | B                                 | (97)            |
| <b>Timing of elective noncardiac surgery in patients with previous PCI</b>  |                 |                                   |                 |
| Noncardiac surgery should be delayed after PCI  | I               | C: 14 d after balloon angioplasty | N/A             |
|   |                 | B: 30 d after BMS implantation    | (99-101)        |
| Noncardiac surgery should be delayed 365 d after DES implantation   | I               | B                                 | (102-105)       |
| A consensus decision as to the relative risks of discontinuation or continuation of antiplatelet therapy can be useful  | IIa             | C                                 | N/A             |
| Elective noncardiac surgery after DES implantation may be considered after 180 d  | IIb*            | B                                 | (102, 106)      |
| Elective noncardiac surgery should not be performed in patients in whom DAPT will need to be discontinued perioperatively within 30 d after BMS implantation or within 12 mo after DES implantation | III: Harm       | B                                 | (99-105, 107)   |
| Elective noncardiac surgery should not be performed within 14 d of balloon angioplasty in patients in whom aspirin will need to be discontinued perioperatively                                     | III: Harm       | C                                 | N/A             |
| <b>Perioperative beta-blocker therapy</b>   |                 |                                   |                 |
| Continue beta blockers in patients who are on beta blockers chronically   | I               | B <sup>SR†</sup>                  | (111-117)       |
| Guide management of beta blockers after surgery by clinical circumstances   | IIa             | B <sup>SR</sup>                   | (110, 117, 118) |
| In patients with intermediate- or high-risk preoperative tests, it may be reasonable to begin beta blockers   | IIb             | C <sup>SR</sup>                   | (119)           |
| In patients with ≥3 RCRI factors, it may be reasonable to begin beta blockers before surgery  | IIb             | B <sup>SR</sup>                   | (117)           |
| Initiating beta blockers in the perioperative setting as an approach to reducing perioperative risk is of uncertain benefit in those with a long-term indication but no other RCRI risk factors     | IIb             | B <sup>SR</sup>                   | (111, 117, 120) |
| It may be reasonable to begin perioperative beta blockers long enough in advance to assess safety and tolerability, preferably >1 d before surgery  | IIb             | B <sup>SR</sup>                   | (110, 121-123)  |
| Beta-blocker therapy should not be started on the d of surgery  | III: Harm       | B <sup>SR</sup>                   | (110)           |

| <b>Perioperative statin therapy</b>   |                 |   |            |
|---|-----------------|---|------------|
| Continue statins in patients currently taking statins   | I               | B   | (131-134)  |
| Perioperative initiation of statin use is reasonable in patients undergoing vascular surgery  | IIa             | B   | (135)      |
| Perioperative initiation of statins may be considered in patients with a clinical risk factor who are undergoing elevated-risk procedures   | IIb             | C   | N/A        |
| <b>Alpha-2 agonists</b>   |                 |   |            |
| Alpha-2 agonists are not recommended for prevention of cardiac events   | III: No Benefit | B   | (136-140)  |
| <b>ACE inhibitors</b>   |                 |   |            |
| Continuation of ACE inhibitors or ARBs is reasonable perioperatively  | IIa             | B   | (141, 142) |
| If ACE inhibitors or ARBs are held before surgery, it is reasonable to restart as soon as clinically feasible postoperatively   | IIa             | C   | N/A        |
| <b>Antiplatelet agents</b>  |                 |   |            |
| Continue DAPT in patients undergoing urgent noncardiac surgery during the first 4 to 6 wk after BMS or DES implantation, unless the risk of bleeding outweighs the benefit of stent thrombosis prevention                     | I               | C   | N/A        |
| In patients with stents undergoing surgery that requires discontinuation of P2Y <sub>12</sub> inhibitors, continue aspirin and restart the P2Y <sub>12</sub> platelet receptor-inhibitor as soon as possible after surgery    | I               | C   | N/A        |
| Management of perioperative antiplatelet therapy should be determined by consensus of treating clinicians and the patient   | I               | C   | N/A        |
| In patients undergoing nonemergency/nonurgent noncardiac surgery without prior coronary stenting, it may be reasonable to continue aspirin when the risk of increased cardiac events outweighs the risk of increased bleeding | IIb             | B   | (143, 144) |
| Initiation or continuation of aspirin is not beneficial in patients undergoing elective noncardiac noncarotid surgery who have not had previous coronary stenting   | III: No Benefit | B   | (143)      |
|   |                 | C: If risk of ischemic events outweighs risk of surgical bleeding | N/A        |
| <b>Perioperative management of patients with CIEDs</b>  |                 |   |            |
| Patients with ICDs should be on a cardiac monitor continuously during the entire period of inactivation, and external defibrillation equipment should be available. Ensure that ICDs are reprogrammed to active therapy       | I               | C   | (145)      |

\*Because of new evidence, this is a new recommendation since the publication of the 2011 PCI CPG (96).

†These recommendations have been designated with a <sup>SR</sup> to emphasize the rigor of support from the ERC's systematic review.

ACE indicates angiotensin-converting-enzyme; ARB, angiotensin-receptor blocker; BMS, bare-metal stent; CIED, cardiovascular implantable electronic device; COR, Class of Recommendation; CPG, clinical practice guideline; DAPT, dual antiplatelet therapy; DES, drug-eluting stent; ERC, Evidence Review Committee; ICD, implantable cardioverter-defibrillator; LOE, Level of Evidence; N/A, not applicable; PCI, percutaneous coronary intervention; RCRI, Revised Cardiac Risk Index; and <sup>SR</sup>, systematic review.

## 6. Anesthetic Consideration and Intraoperative Management: Recommendations

See Table 5 for a summary of recommendations for anesthetic consideration and intraoperative management.

### 6.1. Choice of Anesthetic Technique and Agent

#### Class IIa

1. Use of either a volatile anesthetic agent or total intravenous anesthesia is reasonable for patients undergoing noncardiac surgery, and the choice is determined by factors other than the prevention of myocardial ischemia and MI (146, 147). (*Level of Evidence: A*)
2. Neuraxial anesthesia for *postoperative* pain relief can be effective in patients undergoing abdominal aortic surgery to decrease the incidence of perioperative MI (148). (*Level of Evidence: B*)

#### Class IIb

1. Perioperative epidural analgesia may be considered to decrease the incidence of *preoperative* cardiac events in patients with a hip fracture (149). (*Level of Evidence: B*)

### 6.2. Intraoperative Management



#### Class IIa

1. The emergency use of perioperative transesophageal echocardiogram is reasonable in patients with hemodynamic instability undergoing noncardiac surgery to determine the cause of hemodynamic instability when it persists despite attempted corrective therapy, if expertise is readily available. (*Level of Evidence: C*)

#### Class IIb

1. Maintenance of normothermia may be reasonable to reduce perioperative cardiac events in patients undergoing noncardiac surgery (150, 151). (*Level of Evidence: B*)
2. Use of hemodynamic assist devices may be considered when urgent or emergency noncardiac surgery is required in the setting of acute severe cardiac dysfunction (i.e., acute MI, cardiogenic shock) that cannot be corrected before surgery. (*Level of Evidence: C*)
3. The use of pulmonary artery catheterization may be considered when underlying medical conditions that significantly affect hemodynamics (i.e., HF, severe valvular disease, combined shock states) cannot be corrected before surgery. (*Level of Evidence: C*)

#### Class III: No Benefit

1. Routine use of pulmonary artery catheterization in patients, even those with elevated risk, is not recommended (152-154). (*Level of Evidence: A*)
2. Prophylactic intravenous nitroglycerin is not effective in reducing myocardial ischemia in patients undergoing noncardiac surgery (137, 155, 156). (*Level of Evidence: B*)
3. The routine use of intraoperative transesophageal echocardiogram during noncardiac surgery to screen for cardiac abnormalities or to monitor for myocardial ischemia is not recommended in patients without risk factors or procedural risks for significant hemodynamic, pulmonary, or neurologic compromise. (*Level of Evidence: C*)

**Table 5. Summary of Recommendations for Anesthetic Consideration and Intraoperative Management**

| Recommendations  | COR             | LOE | References      |
|--|-----------------|-----|-----------------|
| <b>Choice of anesthetic technique and agent</b>  |                 |     |                 |
| Use of either a volatile anesthetic agent or total intravenous anesthesia is reasonable for patients undergoing noncardiac surgery   | IIa             | A   | (146, 147)      |
| Neuraxial anesthesia for <i>postoperative</i> pain relief can be effective to reduce MI in patients undergoing abdominal aortic surgery                                    | IIa             | B   | (148)           |
| Preoperative epidural analgesia may be considered to decrease the incidence of <i>preoperative</i> cardiac events in patients with hip fracture                            | IIb             | B   | (149)           |
| <b>Intraoperative nitroglycerin</b>  |                 |     |                 |
| Emergency use of perioperative TEE in patients with hemodynamic instability is reasonable in patients undergoing noncardiac surgery if expertise is readily available      | IIa             | C   | N/A             |
| Maintenance of normothermia may be reasonable to reduce perioperative cardiac events   | IIb             | B   | (150, 151)      |
| Use of hemodynamic assist devices may be considered when urgent or emergency noncardiac surgery is required in the setting of acute severe cardiac dysfunction             | IIb             | C   | N/A             |
| The use of pulmonary artery catheterization may be considered when underlying medical conditions that significantly affect hemodynamics cannot be corrected before surgery | IIb             | C   | N/A             |
| Routine use of pulmonary artery catheterization is not recommended   | III: No Benefit | A   | (152-154)       |
| Prophylactic intravenous nitroglycerin is not effective in reducing myocardial ischemia in patients undergoing noncardiac surgery  | III: No Benefit | B   | (137, 155, 156) |
| Routine use of intraoperative TEE during noncardiac surgery is not recommended   | III: No Benefit | C   | N/A             |

COR indicates Class of Recommendation; LOE, Level of Evidence; MI, myocardial infarction; N/A, not applicable; and TEE, transesophageal echocardiogram.

## 7. Surveillance and Management for Perioperative MI: Recommendations

### Class I

1. Measurement of troponin levels is recommended in the setting of signs or symptoms suggestive of myocardial ischemia or MI (157, 158). (*Level of Evidence: A*)
2. Obtaining an ECG is recommended in the setting of signs or symptoms suggestive of myocardial ischemia, MI, or arrhythmia (158, 159). (*Level of Evidence: B*)

### Class IIb

1. The usefulness of postoperative screening with troponin levels in patients at high risk for perioperative MI, but without signs or symptoms suggestive of myocardial ischemia or MI, is uncertain in the absence of established risks and benefits of a defined management strategy (160-166). (*Level of Evidence: B*)
2. The usefulness of postoperative screening with ECGs in patients at high risk for perioperative MI, but without signs or symptoms suggestive of myocardial ischemia, MI, or arrhythmia, is uncertain in the absence of established risks and benefits of a defined management strategy (158, 159, 167-169). (*Level of Evidence: B*)

### Class III: No Benefit

1. Routine postoperative screening with troponin levels in unselected patients without signs or symptoms suggestive of myocardial ischemia or MI is not useful for guiding perioperative management (157, 158). (*Level of Evidence: B*)

## 8. Future Research Directions

Current recommendations for perioperative cardiovascular evaluation and management for noncardiac surgery are based largely on clinical experience and observational studies, with few prospective RCTs. The GWC recommends that future research on perioperative evaluation and management span the spectrum from RCTs to regional and national registries to focus on patient outcomes.

Diagnostic cardiovascular testing continues to evolve, with newer imaging modalities being developed, such as coronary calcium scores, computed tomography angiography, and cardiac magnetic resonance imaging. The value of these modalities in preoperative screening is uncertain and warrants further study.

The use of perioperative beta blockers in beta-blocker-naïve patients undergoing noncardiac surgery remains controversial because of uncertainty about the following issues: 1) optimal duration for the initiation of beta blockers before elective noncardiac surgery; 2) optimal dosing and titration protocol perioperatively to avoid hemodynamic instability, including hypotension and bradycardia; and 3) which elevated-risk patient subsets would benefit the most from initiation of perioperative beta blocker. RCTs are needed to demonstrate when to start beta-blocker therapy before noncardiac surgery, the optimal type and dose, and titration protocol.

The evidence base for the predictive value of biomarkers in the perioperative period has grown. However, the utility of this information in influencing management and outcome is unknown and is currently undergoing investigation. The results of these investigations could lead to changes in recommendations in the future.

To implement the recommendations of the current perioperative CPGs effectively, a “perioperative team approach” is needed. The perioperative team is intended to engage clinicians with appropriate expertise; enhance communication of the benefits, risks, and alternatives; and include the patient’s preferences, values, and goals. Future research will also be needed to understand how information on perioperative risk is incorporated into patient decision making.

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**Key Words:** AHA Scientific Statements ▪ adrenergic beta-antagonists ▪ anesthesia and analgesia ▪ diagnostic techniques, cardiovascular ▪ monitoring, intraoperative ▪ perioperative care ▪ troponin ▪ platelet aggregation inhibitors ▪ referral and consultation.



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**Appendix 1. Author Relationships With Industry and Other Entities (Relevant)—2014 ACC/AHA Guideline on Perioperative Cardiovascular Evaluation and Management of Patients Undergoing Noncardiac Surgery** (March 2013)

| Committee Member                       | Employment   | Consultant   | Speaker's Bureau | Ownership/ Partnership / Principal | Personal Research  | Institutional, Organizational, or Other Financial Benefit             | Expert Witness | Voting Recusals by Section*  |
|--|--|--|------------------|------------------------------------|--|---|----------------|--|
| Lee A. Fleisher<br>(Chair)             | University of Pennsylvania Health System Department of Anesthesiology and Critical Care—Chair  | None   | None             | None                               | None   | None  | None           | None   |
| Kirsten E. Fleischmann<br>(Vice Chair) | UCSF School of Medicine, Division of Cardiology—Professor of Clinical Medicine   | None   | None             | None                               | None   | None  | None           | None   |
| Andrew D. Auerbach                     | UCSF Division of Hospital Medicine—Professor of Medicine in Residence  | None   | None             | None                               | None   | None  | None           | None   |
| Susan A. Barnason                      | University of Nebraska Medical Center, College of Nursing—Professor and Director of the Doctor of Nursing Practice Program   | None   | None             | None                               | None   | None  | None           | None   |
| Joshua A. Beckman                      | Harvard Medical School—Associate Professor of Medicine; Brigham and Women's Hospital Cardiovascular Fellowship Program—Director  | <ul style="list-style-type: none"> <li>• AstraZeneca</li> <li>• Bristol-Myers Squibb†</li> <li>• Novartis†</li> <li>• Merck</li> </ul> | None             | None                               | None   | <ul style="list-style-type: none"> <li>• Boston Scientific</li> </ul> | None           | 6.1, 6.1.1, 6.2.1, 6.2.2, 6.2.4, 6.2.5, 6.2.6, 6.3, 6.4, 7.3, 7.4, and 7.7 |
| Biykem Bozkurt                         | Winters Center for Heart Failure Research, Baylor College of Medicine—The Mary and Gordon Cain Chair, Professor of Medicine, and Director; Michael E. DeBakey VA Med Center Cardiology Section—Chief | None   | None             | None                               | <ul style="list-style-type: none"> <li>• Forest Pharmaceuticals (PD)†</li> </ul> | <ul style="list-style-type: none"> <li>• Novartis</li> </ul>          | None           | 6.2.1, 6.2.2, and 6.2.5  |

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|                         |  |   |      |      |      |           |      |   |
|-------------------------|--|---|------|------|------|-----------|------|---|
| Victor G. Davila-Roman  | Washington University School of Medicine Anesthesiology and Radiology Cardiovascular Division—Professor of Medicine  | <ul style="list-style-type: none"> <li>• ValveXchange †</li> <li>• Boston Scientific†</li> <li>• St. Jude Medical†</li> </ul> | None | None | None | None      | None | 2.4, 2.4.1, 2.4.2, 2.4.3, 5.7, 6.1, 6.1.1, 6.3, 6.4, 7.4, and 7.7 |
| Marie D. Gerhard-Herman | Harvard Medical School—Associate Professor   | None  | None | None | None | None      | None | None  |
| Thomas A. Holly         | Northwestern University Feinberg School of Medicine—Medical Director, Nuclear Cardiology; Associate Professor of Medicine and Radiology; Program Director, Cardiovascular Disease Fellowship | None  | None | None | None | Astellas‡ | None | 5.5.1 and 5.7   |
| Garvan C. Kane          | Mayo Clinic, Division of Cardiovascular Diseases—Codirector and Echocardiography Laboratory Consultant; Associate Professor of Medicine  | None  | None | None | None | None      | None | None  |
| Joseph E. Marine        | Johns Hopkins University School of Medicine—Associate Professor of Medicine; Associate Director of Electrophysiology; Associate Division Chief of Cardiology                                 | None  | None | None | None | None      | None | None  |
| M. Timothy Nelson       | University of New Mexico—Professor; Program Director and Vice Chair of Education, Department of Surgery; Executive Medical Director, Adult Inpatient Services                                |   | None | None | None | None      | None | None  |



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|                                       |   |      |      |      |      |                         |      |      |
|---------------------------------------|---|------|------|------|------|-------------------------|------|------|
| Crystal C. Spencer                    | Spencer Meador Johnson—<br>Lawyer   | None | None | None | None | None                    | None | None |
| Annemarie Thompson                    | Duke University School of<br>Medicine—Professor of<br>Anesthesiology  | None | None | None | None | None                    | None | None |
| Henry H. Ting                         | Mayo Clinic—Professor of<br>Medicine; Mayo Clinic<br>Quality Academy—<br>Director; Mayo School for<br>Continuous Professional<br>Development—Associate<br>Dean  | None | None | None | None | None                    | None | None |
| Barry F. Uretsky                      | University of Arkansas for<br>Medical Sciences—<br>Clinical Professor of<br>Medicine, Director of<br>Interventional Cardiology  | None | None | None | None | • St. Jude<br>Medical†§ | None | None |
| Duminda N. Wijesundera<br>(ERC Chair) | Li Ka Shing Knowledge<br>Institute of St. Michael’s<br>Hospital—Scientist;<br>Toronto General<br>Hospital—Staff,<br>Department of Anesthesia<br>and Pain Management;<br>University of Toronto—<br>Assistant Professor,<br>Department of Anesthesia<br>and Institute of Health<br>Policy Management and<br>Evaluation; Institute for<br>Clinical Evaluative<br>Sciences—Adjunct<br>Scientist | None | None | None | None | None                    | None | None |

This table represents the relationships of committee members with industry and other entities that were determined to be relevant to this document. These relationships were reviewed and updated in conjunction with all meetings and/or conference calls of the writing committee during the document development process. The table does not necessarily reflect relationships with industry at the time of publication. A person is deemed to have a significant interest in a business if the interest represents ownership of ≥5% of the voting stock or share of the business entity, or ownership of ≥\$10,000 of the fair market value of the business entity; or if funds received by the person from the business entity exceed 5% of the person’s gross income for the previous year. Relationships that exist with no financial benefit are also included for the purpose of transparency. Relationships in this table are modest unless otherwise noted.

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\*Writing committee members are required to recuse themselves from voting on sections to which their specific relationships with industry and other entities may apply. Section numbers pertain to those in the full-text CPG.

†Significant relationship.

‡No financial benefit.

§Dr. Uretsky's relationship with St. Jude Medical began just before balloting of the recommendations and was not relevant during the writing stage.

ACC indicates American College of Cardiology; AHA, American Heart Association; CPG, clinical practice guideline; ERC, Evidence Review Committee; PI, principal investigator; UCSF, University of California, San Francisco; and VA, Veterans Affairs.



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**Appendix 2. Reviewer Relationships With Industry and Other Entities (Relevant)—2014 ACC/AHA Guideline on Perioperative Cardiovascular Evaluation and Management of Patients Undergoing Noncardiac Surgery** (June 2014)

| Reviewer           | Representation  | Employment   | Consultant   | Speaker's Bureau  | Ownership/ Partnership/ Principal | Personal Research   | Institutional, Organizational, or Other Financial Benefit  | Expert Witness |
|--------------------|---|--|--|---|-----------------------------------|---|--|----------------|
| Kim Eagle          | Official Reviewer—AHA                                       | Albion Walter Hewlett—Professor of Internal Medicine   | None   | None  | None                              | <ul style="list-style-type: none"> <li>• GORE</li> <li>• Medtronic</li> </ul> | None   | None           |
| Dipti Itchhaporia  | Official Reviewer—ACC Board of Trustees                     | Hoag Memorial Hospital Presbyterian—Robert and Georgia Roth Chair for Excellence in Cardiac Care; Director of Disease Management | None   | None  | None                              |   | None   | None           |
| Mary Lough         | Official Reviewer—AHA                                       | Stanford Hospital and Clinics—Critical Care Clinical Nurse Specialist  | None   | None  | None                              | None  |  | None           |
| G. B. John Mancini | Official Reviewer—ACC Board of Governors                    | Vancouver Hospital Research Pavilion—Professor of Medicine   | <ul style="list-style-type: none"> <li>• Merck</li> <li>• Pfizer</li> <li>• Servier</li> </ul> | None  | None                              | <ul style="list-style-type: none"> <li>• Merck*</li> </ul>                    | <ul style="list-style-type: none"> <li>• Miraculins*</li> </ul>                                  | None           |
| Frank W. Sellke    | Official Reviewer—ACC/AHA Task Force on Practice Guidelines | Brown Medical School, Rhode Island Hospital—Professor; Chief of Cardiothoracic Surgery   | None   | None  | None                              | None  | <ul style="list-style-type: none"> <li>• CSL Behring</li> <li>• The Medicines Company</li> </ul> | None           |
| Michael Baker      | Organizational Reviewer—ASE                                 | Vanderbilt University—Assistant Professor of Medicine  | None   | None  | None                              | None  | <ul style="list-style-type: none"> <li>• Medtronic†</li> </ul>                                   | None           |
| Michael England    | Organizational Reviewer—ASA                                 | Tufts University School of Medicine—Division Chief,  | None   | <ul style="list-style-type: none"> <li>• Hospira</li> </ul> | None                              | None  | None   | None           |

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|                     |                              |   |                |               |      |      |          |   |
|---------------------|------------------------------|---|----------------|---------------|------|------|----------|---|
|                     |                              | Cardiac Anesthesiology; Assistant Professor   |                |               |      |      |          |   |
| Leonard Feldman     | Organizational Reviewer—SHM  | Johns Hopkins School of Medicine—Director, Medicine-Pediatrics Urban Health Residency Program; Assistant Professor of Pediatrics; Assistant Professor of Medicine | None           | None          | None | None | None     | <ul style="list-style-type: none"> <li>• Defendant, pulmonary embolism, 2013</li> <li>• Defendant, aortic dissection, 2013</li> <li>• Defendant, stroke, 2013</li> <li>• Defendant, sudden cardiac death, 2013</li> </ul> |
| Jason Kovacic       | Organizational Reviewer—SCAI | Mount Sinai School of Medicine—Assistant Professor of Medicine  | • AstraZeneca* | • AstraZeneca | None | None | None     | None  |
| Martin London       | Organizational Reviewer—SCA  | University of California, San Francisco Medical Center—Professor of Clinical Anesthesia   | None           | None          | None | None | None     | None  |
| Rupa Mehta Sanghani | Organizational Reviewer—ASNC | University of Chicago Medicine—Director, Cardiac Rehabilitation; Assistant Professor of Medicine  | • Astellas     | • Astellas    | None | None | None     | None  |
| Reena Pande         | Organizational Reviewer—SVM  | Brigham and Women's Hospital, Prevention Brigham and Women's Hospital—Associate Physician; Harvard Medical School, Professor                                      | None           | None          | None | None | None     | None  |
| Jeanne Poole        | Organizational               | University of   | • Biotronik    | None          | None | None | • Boston | None  |

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|                          |   |  |   |      |  |   |   |   |
|--------------------------|---|--|---|------|--|---|---|---|
|                          | Reviewer—<br>HRS  | Washington—<br>Professor of Medicine,<br>Division of<br>Cardiology   | <ul style="list-style-type: none"> <li>• Boston Scientific*</li> <li>• Medtronic</li> <li>• St. Jude Medical</li> </ul> |      |  |   | Scientific<br>• Medtronic   |   |
| Russell Postier          | Organizational<br>Reviewer—<br>ACS  | University of<br>Oklahoma Health<br>Sciences Center—<br>John A. Schilling<br>Professor and<br>Chairman,<br>Department of Surgery   | None  | None | None   | None  | None  | None  |
| M. Obadah N. Al-Chekakie | Content<br>Reviewer—<br>ACC Board of<br>Governors                                 | Cheyenne Regional<br>Medical Group—<br>Physician   | None  | None | None   | None  | None  | None  |
| Jeffrey L. Anderson      | Content<br>Reviewer—<br>ACC/AHA<br>Task Force on<br>Practice<br>Guidelines        | Intermountain Medical<br>Center—Associate<br>Chief of Cardiology   | <ul style="list-style-type: none"> <li>• Sanofi-aventis</li> <li>• The Medicines Company</li> </ul>                     | None | None   | None  | None  | None  |
| H. Vernon Anderson       | Content<br>Reviewer—<br>ACC<br>Interventional<br>Section<br>Leadership<br>Council | University of Texas<br>Cardiology Division—<br>Professor of Medicine   | None  | None | None   | None  | <ul style="list-style-type: none"> <li>• MedPlace Medical Devices (DSMB)</li> </ul> | None  |
| Hugh Calkins             | Content<br>Reviewer   | Johns Hopkins<br>Hospital—Professor of<br>Medicine; Director of<br>Electrophysiology   | None  | None | None   | <ul style="list-style-type: none"> <li>• St. Jude Medical*</li> </ul> | None  | None  |
| Steven Cohn              | Content<br>Reviewer   | University of Miami—<br>Professor of Clinical<br>Medicine; University<br>of Miami Hospital—<br>Director, Medical<br>Consultation Service;<br>University Health<br>Preoperative | None  | None | <ul style="list-style-type: none"> <li>• AstraZeneca*</li> <li>• Bristol-Myers Squibb*</li> <li>• GlaxoSmith Kline*</li> </ul> | None  | None  | <ul style="list-style-type: none"> <li>• Defendant, venous thromboemboli pulmonary embolism, 2013</li> <li>• Defendant, preoperative</li> </ul> |

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|--------------------------|--|--|--|--------------------------------------|-----------------------|---|--|---|
|                          |  | Assessment Center—<br>Medical Director   |  |                                      | • Merck*<br>• Pfizer* |   |  | evaluation,<br>2013   |
| George<br>Crossley       | Content<br>Reviewer—<br>ACC<br>Electrophysiology<br>Section<br>Leadership<br>Council                         | St. Thomas Heart—<br>Medical Director,<br>Cardiac Services   | • Boston<br>Scientific<br>• Medtronic* | • Medtronic*<br>• Sanofi-<br>aventis | None                  | None  | None   | • Defendant,<br>pacemaker<br>complication,<br>2012<br>• Defendant,<br>EP procedure<br>complication,<br>2013 |
| P.J.<br>Devereaux        | Content<br>Reviewer  | McMaster<br>University—Associate<br>Professor,<br>Departments of<br>Clinical Epidemiology<br>and Biostatistics;<br>Juravinski Hospital<br>and Cancer Centre—<br>Head of Cardiology<br>and the Perioperative<br>Cardiovascular<br>Service | None                                   | None                                 | None                  | • Abbott<br>Diagnostics*<br>• Bayer*<br>• Boehringer<br>Ingelheim*<br>• Roche<br>Diagnostics*<br>• Stryker* | • Canadian Heart<br>Perioperative<br>Guideline Chair | None  |
| Richard<br>Lange         | Content<br>Reviewer  | University of Texas<br>Health Science Center<br>at San Antonio—<br>Professor of Medicine   | None                                   | None                                 | None                  | None  | None   | None  |
| Maria Lantin-<br>Hermoso | Content<br>Reviewer—<br>ACC<br>Congenital and<br>Pediatric<br>Cardiology<br>Section<br>Leadership<br>Council | Baylor College of<br>Medicine—Associate<br>Professor, Department<br>of Pediatrics, Section<br>of Cardiology; Texas<br>Children’s Hospital—<br>Attending Physician  | None                                   | None                                 | None                  | None  | None   | None  |
| Srinivas<br>Murali       | Content<br>Reviewer—<br>ACC Board of<br>Governors  | Temple University<br>School of Medicine—<br>Professor of Medicine;<br>Director, Division of  | • Actelion<br>• Bayer<br>• Gilead      | • Actelion                           | None                  | • Cardiokinetics<br>• CVRx  | None   | None  |

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|                     |  |  |  |      |      |   |   |      |
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| E. Magnus Ohman     | Content Reviewer—ACC/AHA Task Force on Practice Guidelines                   | Duke University Medical Center—Professor of Medicine; Director, Program for Advanced Coronary Disease                                  | <ul style="list-style-type: none"> <li>• Abiomed*</li> <li>• AstraZeneca</li> <li>• Daiichi-Sankyo*</li> <li>• Gilead Sciences</li> <li>• Janssen Pharmaceuticals*</li> <li>• Pozen</li> <li>• Sanofi-aventis*</li> <li>• The Medicines Company</li> </ul> | None | None | <ul style="list-style-type: none"> <li>• Eli Lilly*</li> <li>• Gilead Sciences*</li> </ul>                                    | None  | None |
| Gurusher Panjra     | Content Reviewer—ACC Heart Failure and Transplant Section Leadership Council | George Washington Heart and Vascular Institute—Assistant Professor of Medicine; Director, Heart Failure and Mechanical Support Program | None   | None | None | None  | None  | None |
| Susan J. Pressler   | Content Reviewer—ACC/AHA Task Force on Practice Guidelines                   | University of Michigan School of Nursing—Professor   | None   | None | None | None  | <ul style="list-style-type: none"> <li>• Pfizer†</li> </ul> | None |
| Pasala Ravichandran | Content Reviewer—ACC Surgeons' Council                                       | Oregon Health and Science University—Associate Professor   | None   | None | None | None  | None  | None |
| Ezra Amsterdam      | Content Reviewer   | University of California Davis   | None   | None | None | None  | None  | None |



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|                   |  | Medical Center<br>Division of<br>Cardiology—<br>Professor   |  |      |      |                        |              |      |
| John Erwin        | Content<br>Reviewer  | Scott and White<br>Hospital and Clinic—<br>Senior Staff<br>Cardiologist,<br>Associate Professor of<br>Medicine  | None   | None | None | • Eli Lilly<br>(PI)*   | None         | None |
| Samuel<br>Gidding | Content<br>Reviewer—<br>ACC/AHA<br>Task Force on<br>Practice<br>Guidelines | Nemours/Alfred I.<br>DuPont Hospital for<br>Children—Chief,<br>Division of Pediatric<br>Cardiology  | None   |      |      | • GlaxoSmith<br>Kline* | None         |      |
| Robert<br>Hendel  | Content<br>Reviewer  | University of Miami<br>School of Medicine—<br>Director Cardiac<br>Imaging and<br>Outpatient Services  | • Adenosine<br>Therapeutics<br>• Astellas<br>• Bayer | None | None | None                   | None         | None |
| Glenn Levine      | Content<br>Reviewer  | Baylor College of<br>Medicine—Associate<br>Professor of Medicine  | None   | None | None | None                   | None         | None |
| Karen Mauck       | Content<br>Reviewer  | Mayo Clinic<br>Minnesota—Associate<br>Professor of Medicine   | None   | None | None | None                   | None         | None |
| Win-Kuang<br>Shen | Content<br>Reviewer—<br>ACC/AHA<br>Task Force on<br>Practice<br>Guidelines | Mayo Clinic<br>Arizona—Professor of<br>Medicine   | None   | None | None | None                   | None         | None |
| Ralph<br>Verdino  | Content<br>Reviewer  | Hospital of the<br>University of<br>Pennsylvania—<br>Associate Professor of<br>Medicine; Director,<br>Cardiology<br>Electrophysiology<br>Fellowship Program | • Biotronik<br>• Medtronic<br>• St. Jude<br>Medical* | None | None | None                   | • LifeWatch* | None |

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| L. Samuel Wann | Content Reviewer | Columbia St. Mary's Cardiovascular Physicians—Clinical Cardiologist  | None | None | None | None | None | None |
| Clyde W. Yancy | Content Reviewer | Northwestern University, Feinberg School of Medicine—Magerstadt Professor of Medicine; Chief, Division of Cardiology | None | None | None | None | None | None |

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ACC indicates American College of Cardiology; ACS, American College of Surgeons; AHA, American Heart Association; ASA, American Society of Anesthesiologists; ASE, American Society of Echocardiography; ASNC, American Society of Nuclear Cardiology; DSMB, data safety monitoring board; EP, electrophysiology; HRS, Heart Rhythm Society; PI, principal investigator; SCA, Society of Cardiovascular Anesthesiologists; SCAI, Society for Cardiovascular Angiography and Interventions; SHM, Society of Hospital Medicine; and SVM, Society for Vascular Medicine.

## Appendix 3. Related Recommendations From Other CPGs

**Table A. Left Main CAD Revascularization Recommendations From the 2011 CABG and PCI CPGs**

| Anatomic Setting   | COR  | LOE | References                        |
|--|--|-----|-----------------------------------|
| <b>UPLM or complex CAD</b>   |  |     |                                   |
| CABG and PCI   | I—Heart Team approach recommended  | C   | (170-172)                         |
| CABG and PCI   | IIa—Calculation of the STS and SYNTAX scores   | B   | (170, 173-180)                    |
| <b>UPLM*</b>   |  |     |                                   |
| CABG   | I  | B   | (181-187)                         |
| PCI  | IIa—For SIHD when both of the following are present:<br>2. Anatomic conditions associated with a low risk of PCI procedural complications and a high likelihood of good long-term outcome (e.g., a low SYNTAX score of $\leq 22$ , ostial, or trunk left main CAD)<br>3. Clinical characteristics that predict a significantly increased risk of adverse surgical outcomes (e.g., STS-predicted risk of operative mortality $\geq 5\%$ )   | B   | (173, 176, 180, 188-206)          |
|  | IIa—For UA/NSTEMI if not a CABG candidate  | B   | (173, 194-197, 202, 203, 205-207) |
|  | IIa—For STEMI when distal coronary flow is TIMI flow grade $< 3$ and PCI can be performed more rapidly and safely than CABG  | C   | (191, 208, 209)                   |
|  | IIb—For SIHD when <i>both</i> of the following are present:<br>2. Anatomic conditions associated with a low-to-intermediate risk of PCI procedural complications and intermediate-to-high likelihood of good long-term outcome (e.g., low-intermediate SYNTAX score of $< 33$ , bifurcation left main CAD)<br>3. Clinical characteristics that predict an increased risk of adverse surgical outcomes (e.g., moderate-severe COPD, disability from prior stroke, or prior cardiac surgery; STS-predicted risk of operative mortality $> 2\%$ ) | B   | (173, 176, 180, 188-206, 210)     |
|  | III: Harm—For SIHD in patients (versus performing CABG) with unfavorable anatomy for PCI and who are good candidates for CABG  | B   | (173, 176, 180-187, 189, 190)     |
| <b>3-vessel disease with or without proximal LAD artery disease*</b> |  |     |                                   |
| CABG   | I  | B   | (183, 187, 211-214)               |
|  | IIa—It is reasonable to choose CABG over PCI in patients with complex 3-vessel CAD (e.g., SYNTAX $> 22$ ) who are good candidates for CABG   | B   | (190, 205, 213, 215, 216)         |
| PCI  | IIb—Of uncertain benefit   | B   | (183, 204, 211, 213, 217)         |
| <b>2-vessel disease with proximal LAD artery disease*</b>            |  |     |                                   |
| CABG   | I  | B   | (183, 187, 211-214)               |
| PCI  | IIb—Of uncertain benefit   | B   | (183, 211, 213, 217)              |
| <b>2-vessel disease without proximal LAD artery disease*</b>         |  |     |                                   |
| CABG   | IIa—With extensive ischemia  | B   | (218-221)                         |
|  | IIb—Of uncertain benefit without extensive ischemia  | C   | (213)                             |
| PCI  | IIb—Of uncertain benefit   | B   | (183, 211, 213, 217)              |
| <b>1-vessel proximal LAD artery disease</b>                          |  |     |                                   |
| CABG   | IIa—With LIMA for long-term benefit  | B   | (187, 213, 222, 223)              |
| PCI  | IIb—Of uncertain benefit   | B   | (183, 211, 213, 217)              |

| 1-vessel disease without proximal LAD artery involvement             |   |   |                                    |
|--|---|---|------------------------------------|
| CABG   | III: Harm                                     | B | (187, 211, 218, 219, 224-227)      |
| PCI  | III: Harm                                     | B | (187, 211, 218, 219, 224-227)      |
| LV dysfunction   |   |   |                                    |
| CABG   | IIa—EF 35% to 50%                             | B | (187, 228-232)                     |
| CABG   | IIb—EF <35% without significant left main CAD | B | (187, 228-234)                     |
| PCI  | Insufficient data                             |   | N/A                                |
| Survivors of sudden cardiac death with presumed ischemia-mediated VT |   |   |                                    |
| CABG   | I   | B | (235-237)                          |
| PCI  | I   | C | (236)                              |
| No anatomic or physiological criteria for revascularization          |   |   |                                    |
| CABG   | III: Harm                                     | B | (187, 211, 218, 219, 224-227, 238) |
| PCI  | III: Harm                                     | B | (187, 211, 218, 219, 224-227, 238) |

\*In patients with multivessel disease who also have diabetes mellitus, it is reasonable to choose CABG (with LIMA) over PCI (220, 239-246) (Class IIa; LOE: B).

CABG indicates coronary artery bypass graft; CAD, coronary artery disease; COPD, chronic obstructive pulmonary disease; COR, Class of Recommendation; CPG, clinical practice guideline; EF, ejection fraction; LAD, left anterior descending; LIMA, left internal mammary artery; LOE, Level of Evidence; LV, left ventricular; N/A, not applicable; PCI, percutaneous coronary intervention; SIHD, stable ischemic heart disease; STEMI, ST-elevation myocardial infarction; STS, Society of Thoracic Surgeons; SYNTAX, Synergy Between Percutaneous Coronary Intervention With TAXUS and Cardiac Surgery; TIMI, Thrombolysis In Myocardial Infarction; UA/NSTEMI, unstable angina/non-ST-elevation myocardial infarction; UPLM, unprotected left main disease; and VT, ventricular tachycardia.

Reproduced from Levine et al. (96) and Hillis et al. (95).

**Table B. GDMT Recommendations for Beta Blockers From 2011 Secondary Prevention CPG**

|                      |   |
|----------------------|---|
| <b>Beta Blockers</b> | <b>Class I</b>  |
|                      | 1. Beta-blocker therapy should be used in all patients with LV systolic dysfunction (EF ≤40%) with HF or prior MI, unless contraindicated. (Use should be limited to carvedilol, metoprolol succinate, or bisoprolol, which have been shown to reduce mortality.) (247-249). (Level of Evidence: A) |
|                      | 2. Beta-blocker therapy should be started and continued for 3 years in all patients with normal LV function who have had MI or ACS (250-252). (Level of Evidence: B)  |
|                      | <b>Class IIa</b>  |
|                      | 1. It is reasonable to continue beta blockers >3 years as chronic therapy in all patients with normal LV function who have had MI or ACS (250-252). (Level of Evidence: B)  |
|                      | 2. It is reasonable to give beta-blocker therapy in patients with LV systolic dysfunction (EF ≤40%) without HF or prior MI. (Level of Evidence: C)  |

ACS indicates acute coronary syndrome; CPG, clinical practice guideline; EF, ejection fraction; GDMT, guideline-directed medical therapy; HF, heart failure; LV, left ventricular; and MI, myocardial infarction.

Reproduced from Smith Jr et al. (124).

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# Circulation

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**Author Relationships With Industry and Other Entities (Comprehensive)—2014 ACC/AHA Guideline on Perioperative Cardiovascular Evaluation and Management of Patients Undergoing Noncardiac Surgery (March 2013)**

| <b>Committee Member</b>                         | <b>Employment</b>  | <b>Consultant</b> | <b>Speaker's Bureau</b> | <b>Ownership/ Partnership /Principal</b> | <b>Personal Research</b>   | <b>Institutional, Organizational, or Other Financial Benefit</b>   | <b>Expert Witness</b>  |
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\*Significant relationship.

†No financial benefit.

‡Dr. Uretsky's relationship with St. Jude Medical began just before balloting of the recommendations and was not relevant during the writing stage.

AAAHC indicates Accreditation Association for Ambulatory Health Care; ACC, American College of Cardiology; AHA, American Heart Association; AHRQ, Agency for Healthcare Research and Quality; CI, coinvestigator; DSMB, data safety monitoring board; NIH, National Institutes of Health; NHLBI, National Heart, Lung, and Blood Institute; PI, primary investigator; and VA, Veterans Affairs.

# 2014 ACC/AHA Guideline on Perioperative Cardiovascular Evaluation and Management of Patients Undergoing Noncardiac Surgery

## Data Supplement

(Section numbers correspond to the full-text guideline.)

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Data Supplement 1. Coronary Artery Disease (Section 2.1)

| Study Name, Author, Year                                    | Aim of Study   | Study Type  | Study Size (N)  | Study Intervention Group (n)   | Study Comparator Group (n) | Patient Population  |  | Study Intervention | Study Comparator  | Endpoints   |                             |  | P Values, OR: HR: RR & 95% CI:   | Study Limitations & Adverse Events   |
|---|--|---|---|--|----------------------------|---|--|--------------------|---|---|-----------------------------|--|--|--|
|   |  |   |   |  |                            | Inclusion Criteria  | Exclusion Criteria                               |                    |   | Primary Endpoint (Efficacy) and Results   | Safety Endpoint and Results | Secondary Endpoint and Results   |  |  |
| Wijeyesundera DN, et al., 2012 (1) <a href="#">22893606</a> | To evaluate the outcomes of pts who underwent elective intermediate-to high-risk noncardiac surgery after stent implantation | Cohort study, secondary analysis of prospective clinical registry (2003–2009) | 8,116 stent pts, who had stents within 10 y prior to noncardiac surgery | N/A  | N/A                        | Surgeries included: AAA repair, carotid endarterectomy, peripheral bypass, total hip or knee replacement, large bowel resection, partial liver resection, Whipple, pneumonectomy, pulmonary lobectomy, gastrectomy, esophagectomy, total abdominal hysterectomy, radical prostatectomy, nephrectomy, and cystectomy | N/A  | N/A                | Stent pts <2 y after stent compared to those pts >2 y after stent at time of noncardiac surgery | Overall mortality for pts who previously had stent was 1.2% (n=100) at 30 d and 5.2% (n=419) at 1 y | N/A                         | The overall risk of MACE at 30 d was 2.1% (n=170) and at 1 y was 9.8% (n=798). MACE was highest when major elective noncardiac surgery was performed within 45 d after coronary stent.   | N/A  | Event rates are low, limiting statistical power. Administrative databases may not adequately capture all in-hospital complications.  |
| Mashour GA, et al., 2011 (2) <a href="#">21478735</a>       | Assess the incidence and predictors of periop stroke and its role in mortality in noncardiac, non-neurosurgical surgery      | Secondary analysis of ACS NSQIP   | 523,059 pt data sets (deidentified from NSQIP database)                 | NSQIP participants from 250 participating U.S. medical centers for 4 y (2005–2008) | N/A                        | General surgery, orthopedic, urology, otolaryngology, plastics, thoracic, minor vascular, and gynecology cases  | Cardiac, major vascular, and neurosurgical cases | N/A                | N/A   | The incidence of periop stroke was 0.1%   | N/A                         | 1. Multivariate analyses indicated MI within 6 mo of surgery and was an independent risk factor for periop stroke (OR: 13.2; CI: 8.9–19.7; p<0.001).<br>2. Multivariate analyses indicated HTN (requiring medication) and was an | MI within 6 mo of surgery was an independent risk factor for periop stroke (OR: 13.2; CI: 8.9–19.7; p<0.001). HTN was an independent risk factor for periop stroke (OR: 3.8; CI: | Observational study does not allow for additional data collection for pts exhibiting primary outcome. In addition, the data definitions are clinically relevant, but could not be modified for purposes of |

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|  |  |                            |  |  |             |   |     |     |   |  |          | independent risk factor for periop stroke.  | 3.1–4.7; p<0.001).  | this study.  |
| Healy KO, et al., 2010 (3) <a href="#">20412467</a>  | To evaluate the impact of LVEF on periop outcomes and long-term mortality in pts with HF undergoing intermediate- to high-risk surgery | Retrospective chart review | 174 pts  | Pts diagnosed with HF who underwent intermediate- or high-risk noncardiac surgery from 2001–2004 | N/A         | Diagnosis with HF; intermediate- or high-risk noncardiac surgery (including PVD surgery, aortic repair, carotid endarterectomy, head & neck, intraperitoneal, noncardiac intrathoracic, orthopedic or prostate surgery)   | N/A | N/A | Pts with HF compared by LVEF (>50% normal; 40%–50% mildly reduced; 30%≥40% moderately reduced; <30% severely reduced) | 1. 30.5% (n=53) had ≥1 periop events: death (n=14, 8.1%); MI (n=26, 14.9%); HF exacerbation (n=44, 25.3%)<br>2. Severely reduced LVEF (<30%) independently associated with adverse events.   | N/A      | N/A   | 1. Multivariate analyses for LVEF was an independent predictor of periop events including mortality (OR: 4.88; CI: 1.78–14.40). | Small, retrospective chart review from single institution.   |
| Ferret BS, et al., 2011 (4) <a href="#">21474039</a> | To critically appraise guidelines on imaging of asymptomatic CAD   | Systematic review          | 14 guidelines included in the review (published between 2003–2010) | N/A  | N/A         | 1. Used IOM definition of clinical practice guidelines.<br>2. Contained recommendations on imaging of asymptomatic CAD aimed to prevent first coronary event.<br>3. Involved healthy persons (adults).<br>4. Produced on behalf of national or international medical specialty society. | N/A | N/A | N/A   | 1. 8 of 14 studies recommended against or concluded that there was insufficient evidence to recommend testing of asymptomatic CAD.<br>2. In 6 of the guidelines testing was indicated for pts with a priori elevated risk level based on absolute CAD risk or multiple risk factors (e.g., Framingham risk score). | N/A      | 1. 1 guideline recommended CT calcium scoring solely in an intermediate CAD risk population.<br>2. Guidelines unanimously did not advocate CT calcium scoring for low or high CAD risk pts. | N/A   | Only guidelines developed by national or international medical specialty organizations were reviewed |
| Wijesundera  | To determine   | Cohort study               | Adult pts  | Pts who had  | Pts who did | Adults >40 y of   | N/A | N/A | N/A   | 1. Hospital  | 1. Preop | Effects of  | Mortality:  | 1. Did not   |

|   |   |  |  |   |  |   |  |  |  |  |   |  |   |   |
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| DN, et al., 2010 (5) <a href="#">20110306</a> | the association of noninvasive cardiac stress testing before elective intermediate- to high-risk noncardiac surgery with survival and hospital stay |  | from acute care hospitals in Ontario, Canada | noninvasive stress testing before surgical procedure (n=23,060) | not undergo stress testing before surgical procedure (n=247,090) | age, who had elective surgery from 1994–2004. Surgical procedures that had intermediate- to high-risk for periop cardiac complications. |  |  |  | mortality reduced among pts who had stress testing. 2. Hospital LOS reduced for pts who had stress testing prior to surgery. | stress testing was associated with harm in low-risk pts (RCRI: 0 points; HR: 1.35; 95% CI: 1.05–1.74). 2. Improved survival in intermediate-risk pts (RCRI: 1–2 points; HR: 0.92; 95% CI: 0.85–0.99) and high-risk pts (RCRI: 3–6 points; HR: 0.80; 95% CI: 0.67–0.97). | testing on mortality varied with RCRI class (p=0.005). | RR: 0.85; 95% CI: 0.73–0.98; p<0.03. Hospital LOS: difference of -0.24 d; 95% CI: 0.07–0.43; p<0.001. | compare outcomes form different stress tests (e.g., exercise treadmill, nuclear perfusion). 2. Observational design demonstrates association between preop testing and survival cannot determine causation. |
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AAA indicates abdominal aortic aneurysm; ACS, American College of Surgeons; CAD, coronary artery disease; CI: confidence interval; CT, computed tomography; HF, heart failure; HR, hazard ratio; HTN, hypertension; IOM, Institute of Medicine; LOS, length of stay; LVEF, left ventricular ejection fraction; MACE, major adverse cardiac event; MI, myocardial infarction; n, subgroup from N; N/A, not applicable; NSQIP, National Surgical Quality Improvement Program; OR: odds ratio; periop, perioperative; preop, preoperative; pt, patient; pts, patients; PVD, peripheral vascular disease; RCRI, Revised Cardiac Risk Index; and RR, relative risk.

### Data Supplement 2. Influence of Age and Sex (Section 2.1)

| Study Name, Author, Year                              | Aim of Study   | Study Type                         | Study Size (N)   | Study Intervention Group (n) | Study Comparator Group (n) | Patient Population  |                    | Study Intervention | Study Comparator | Endpoints  |                             |   | P Values, OR: HR: RR & 95% CI:  | Study Limitations & Adverse Events  |
|---|--|------------------------------------|--|------------------------------|----------------------------|---|--------------------|--------------------|------------------|--|-----------------------------|---|---|---|
|   |  |                                    |  |                              |                            | Inclusion Criteria  | Exclusion Criteria |                    |                  | Primary Endpoint (Efficacy) and Results  | Safety Endpoint and Results | Secondary Endpoint and Results  |   |   |
| Bateman BT, et al., 2009 (6) <a href="#">19194149</a> | To conduct an analysis of AIS to determine incidence, risk factors, and effect of outcome on periop AIS in | Secondary analysis of NIS database | n=131,067 hemicolectomy surgical pts; n=201,235 total hip replacement surgical pts; n=39,339 | N/A                          | N/A                        | Common noncardiac surgeries: hemicolectomy, total hip replacements, and segmental/ lobar lung | N/A                | N/A                | N/A              | AIS incidence: hemicolectomy 935 cases— 0.7% (95% CI: 0.7%–0.8%); total hip replacement 420 cases— | N/A                         | 1. Higher incidence of AIS among pts ≥65 y of age. 2. Higher incidence of AIS among | 1. Among pts >65 y of age, AIS incidence: hemicolectomy 1.0% (95% CI: 0.9%–1.0%); total hip replacement | Limited by range of variables that could be explored as risk factors for AIS. Use of database may |

|   |   |                                 |   |   |      |  |  |     |  |   |     |  |  |   |
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|   | noncardiac surgical pts   |                                 | pulmonary lobectomy/segment resection surgical pts      |   |      | resection  |  |     |  | 0.2% (95% CI: 0.2%–0.2%); lobectomy/segmental lung resection 242 cases—0.6% (95% CI: 0.7%–0.9%) |     | female pts and female sex was an independent risk factor for AIS.  | 0.3% (95% CI: 0.3%–0.3%); lobectomy/segmental lung resection 0.8% (95% CI: 0.7%–0.9); 2. Female sex independent risk factor (OR: 1.21; CI: 1.07–1.36; p<0.001).                                | underestimate morbidity and mortality.  |
| Mashour GA, et al., 2011 (2) <a href="#">21478735</a> | Assess the incidence and predictors of periop stroke and its role in mortality in noncardiac, non-neurosurgical surgery | Secondary analysis of ACS NSQIP | 523,059 pt data sets (deidentified from NSQIP database) | NSQIP participants from 250 participating U.S. medical center for 4 y (2005–2008)   | N/A  | General surgery, orthopedic, urology, otolaryngology, plastics, thoracic, minor vascular, and gynecology cases | Cardiac, major vascular, and neurosurgical cases | N/A | Age dichotomized into 62 y of age and ≥62 y of age | The incidence of periop stroke was 0.1%   | N/A | 1. Multivariate analyses indicated age ≥62 y of age was an independent risk factor for periop stroke.<br>2. Multivariate analyses indicated male sex was an independent risk factor for periop stroke. | 1. Older age was an independent risk factor for periop stroke (OR: 6.6; CI: 5.4–8.2; p<0.001).<br>2. Male sex was an independent risk factor for periop stroke (OR: 1.2; CI: 1.0–1.5; p=0.02). | Observational study does not allow for additional data collection for pts exhibiting primary outcome. In addition the data definitions are clinically relevant, but could not be modified for purposes of this study. |
| Rogers SO, et al., 2007 (7) <a href="#">17544079</a>  | To develop and test a risk model for venous thromboembolic events. To develop and validate a risk index for VTE.        | Secondary analysis of the PSS   | 183,069 pt records                                      | Records from 128 VA and 14 private sector academic medical centers in general and peripheral vascular surgery subspecialties from 2002– | None | VTE defined as either PE or DVT  | N/A  | N/A | N/A  | VTE occurred in 1,162 pts   | N/A | Female sex was 1 of 15 independent factors associated with an increased risk of VTE compared to males  | Female sex as independent risk factor for VTE (OR: 1.370; CI: 1.118–1.680).  | Models limited by variables that are not part of NSQIP database that might impact the rates of VTE  |

|   |   |                                       |         |  |     |   |                                       |     |   |   |   |     |  |  |
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|   |   |                                       |         | 2004   |     |   |                                       |     |   |   |   |     |  |  |
| Dasgupta M, et al., 2009 (8) <a href="#">18068828</a> | To examine if frailty is associated with an increased risk of postop complications  | Exploratory, prospective, descriptive | 125     | N/A  | N/A | ≥70 y of age, undergoing elective noncardiac surgery  | Day surgery procedures, active cancer | N/A | N/A   | Occurrence of an in-hospital, postop complication (unrelated to surgical technique). Adverse events occurred in 31/125 pts (25%). Both age (p<0.0074) and EFS scores (p<0.00042), indicators of frailty, were independently associated with being discharge to an institution and having a prolonged LOS. | N/A   | N/A | OR was 1.14 for age (95% CI: 1.05–1.24) and 1.22 for EFS score (95% CI: 1.02–1.6)                          | Method of outcome identification using chart review. Single center study. Limited sample size. |
| Healy KO, et al., 2010 (3) <a href="#">20412467</a>   | To evaluate the impact of LVEF on periop outcomes and long-term mortality in pts with HF undergoing intermediate- to high-risk noncardiac surgery | Retrospective chart review            | 174 pts | Pts diagnosed with HF who underwent intermediate- or high-risk noncardiac surgery from 2001–2004 | N/A | Diagnosis with HF; intermediate- or high-risk noncardiac surgery (including PVD surgery, aortic repair, carotid endarterectomy, head & neck, intraperitoneal, noncardiac intrathoracic, orthopedic or prostate surgery) | N/A                                   | N/A | Pts with HF compared by LVEF (>50% normal, 40%–50% mildly reduced, 30%–40% moderately reduced, <30% severely reduced) | N/A   | ≥80 y of age independently associated with adverse events | N/A | Multivariate analyses for older age as an independent predictor of periop events (OR: 3.84; CI: 1.70–8.17) | Small, retrospective chart review from single institution                                      |

ACS indicates American College of Surgeons; AIS, acute ischemic stroke; CI, confidence interval; DVT, deep vein thrombosis; EFS, Edmonton Frail Scale; HF, heart failure; HR, hazard ratio; LOS, length of stay; LVEF, left ventricular ejection fraction; n, subgroup from N; N/A, not applicable; NIS, Nationwide Inpatient Sample; NSQIP, National Surgical Quality Improvement Program; OR, odds ratio; PE, pulmonary embolism; periop, perioperative; postop, postoperative; PSS, protein secondary structure; pts, patients; PVD, peripheral vascular disease; RR, relative risk; VA, Veterans Affairs; and VTE, venous thromboembolism.

**Data Supplement 3. HF and Cardiomyopathy (Sections 2.2 and 2.3)**

| Study Name, Author, Year                                 | Aim of Study  | Study Type    | Study Size (N)  | Study Intervention Group (n) | Study Comparator Group (n) | Patient Population  |   | Study Intervention | Study Comparator                   | Endpoints  |                             |   | P Values, OR: HR: RR & 95% CI:   |
|--|---|---------------|---|------------------------------|----------------------------|---|---|--------------------|------------------------------------|--|-----------------------------|---|--|
|  |   |               |   |                              |                            | Inclusion Criteria  | Exclusion Criteria  |                    |                                    | Primary Endpoint (Efficacy) and Results                                | Safety Endpoint and Results | Secondary Endpoint and Results  |  |
| Impact of HF on Periop and Postop Outcomes               |   |               |   |                              |                            |   |   |                    |                                    |  |                             |   |  |
| Hammill BG, et al., 2008 (9) <a href="#">18362586</a>    | To determine operative mortality and 30-d all-cause readmission among pts with HF, CAD, or neither who underwent major noncardiac surgery | Retrospective | 159,327 procedures  | N/A                          | N/A                        | Pts >65 y of age with Medicare FFS coverage, and underwent major noncardiac procedures from 2000–2004           | Pts with end-stage renal disease and pts who did not have at least 1 y of Medicare FFS eligibility before surgery | N/A                | Pts with HF or CAD against neither | Operative mortality and 30-d all-cause readmission                     | N/A                         | Pts with HF were at significantly higher risk for both outcomes compared with pts with CAD                            | Adjusted HR of mortality and readmission for pts with HF, compared with pts with neither HF nor CAD, were 1.63 (95% CI: 1.52–1.74) and 1.51 (95% CI: 1.45–1.58), respectively  |
| Hernandez AF, et al., 2004 (10) <a href="#">15464326</a> | To evaluate mortality and readmission rates of pts with HF after major noncardiac surgery   | Retrospective | 1,532 pts with HF and 1,757 pts with CAD who underwent major noncardiac surgery. 44,512 pts in control group with major noncardiac surgery. | N/A                          | N/A                        | >65 y of age; 1997–1998 5% sample of Medicare beneficiaries, pts with HF who underwent major noncardiac surgery | ?   | N/A                | Pts with HF or CAD against neither | Operative mortality (death before discharge or within 30 d of surgery) | ?                           | Risk-adjusted 30-d readmission rate 0   | The risk-adjusted operative mortality (death before discharge or within 30 d of surgery) for HF 11.7%, CAD 6.6%, and control 6.2% (HF vs. CAD, p<0.001; CAD vs. control; p=0.518). The risk-adjusted 30-d readmission rate for was HF 20.0%, CAD 14.2%, and control 11.0% (p<0.001). |
| van Diepen S, et al., 2011 (11) <a href="#">21709059</a> | To compare the postop mortality of pts with HF, AF, or CAD undergoing major and minor noncardiac  | Retrospective | Nonischemic HF (n=7,700), ischemic HF (n=12,249), CAD (n=13,786), or AF (n=4,312)   | N/A                          | N/A                        | Pts who underwent noncardiac surgery between April 1, 1999–September 31, 2006, in Alberta, Canada               | ?   | N/A                | ?                                  | The main outcome was 30-d postop mortality.                            | ?                           | Among pts undergoing minor surgical procedures, the 30-d postop mortality was 8.5% in NIHF, 8.1% in IHF, 2.3% in CAD, | Unadjusted 30-d postop mortality was 9.3% in NIHF, 9.2% in IHF, 2.9% in CAD, and 6.4% in AF (each vs. CAD, p<0.0001). After multivariable  |

|   |  |  |  |     |     |  |   |     |   |   |   |   |   |
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|   | surgery  |  |  |     |     |  |   |     |   |   |   | and 5.7% in AF (p<0.0001)   | adjustment, postop mortality remained higher in pts with NIHF, IHF, and AF than in those with CAD (NIHF vs. CAD, OR: 2.92; 95% CI: 2.44–3.48; IHF vs. CAD, OR: 1.98; 95% CI: 1.70–2.31; AF vs. CAD, OR: 1.69; 95% CI: 1.34–2.14).   |
| Xu-Cai YO, et al., 2008 (12)<br><a href="#">18315993</a>                | To evaluate modern surgical outcomes in pts with stable HF undergoing elective major noncardiac surgery and to compare the experience of pts with HF who have reduced vs. preserved LVEF | Retrospective                          | 557 pts with HF (192 LVEF ≤40% and 365 LVEF>40%) and 10,583 controls | N/A | N/A | Pts who underwent systematic evaluation by hospitalists in a preop clinic before having major elective noncardiac surgery between January 1, 2003–March 31, 2006 | ? | N/A | Mortality in HF with reduced EF or preserved EF vs. control pts | 1-mo postop mortality and 1-y mortality | ? | Unadjusted differences in mean hospital LOS among pts with HF vs. controls (5.7 vs. 4.3 d; p<0.001) and 1-mo readmission (17.8% vs. 8.5%; p<0.001) were also markedly attenuated in propensity-matched groups | Unadjusted 1-mo postop mortality in pts with both types of HF vs. controls was 1.3% vs. 0.4% (p=0.009), but NS in propensity-matched groups (p=0.09). Crude 1-y HR (p<0.01) for mortality were 1.71 (95% CI: 1.5–2.0) for both types of HF, 2.1 (95% CI: 1.7–2.6) in pts with HF who had LVEF ≤40%, and 1.4 (95% CI: 1.2–1.8) in those who had LVEF >40%; however, the differences were NS in propensity-matched groups (p=0.43). |
| Impact of LVEF on Periop and Postop Outcomes                            |  |  |  |     |     |  |   |     |   |   |   |   |   |
| Meta-analysis Global Group in Chronic Heart Failure (MAGGIC), 2012 (13) | To determine whether survival in pts with HF-PEF is similar to those pts with HF-REF   | Meta-analysis using individual pt data | 41,972 pts (10,347 with HF-PEF and 31,625 with HF-REF)               | N/A | N/A | 31 studies including pts with HF   | ? | N/A | Deaths per 1,000-pt y   | Mortality in HF-PEF vs. HF-REF          | ? | The risk of death did not increase notably until EF fell below 40%.   | Pts with HF-PEF had lower mortality than those with HF-REF (adjusted for age, sex, etiology, and Hx of HTN, diabetes mellitus, and AF; HR: 0.68; 95% CI: 0.64–0.71)   |

|   |   |               |  |     |     |   |   |     |  |                                     |  |  |  |
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| <a href="#">21821849</a>                              |   |               |  |     |     |   |   |     |  |                                     |  |  |  |
| <a href="#">Kazmers A., et al., 1988 (14) 3047443</a> | To determine periop (30-d) and subsequent outcome after major vascular surgery in those with severe cardiac dysfunction, defined by LVEF ≤35% | Retrospective | 35 pts who required 47 major vascular procedures | N/A | N/A | From August 1, 1984–January 1, 1988, pts with LVEF ≤35% who required vascular surgery | ? | N/A | Mortality according to LVEF  | Cumulative mortality                | ?  | ?  | Survival for those with an LVEF ≤29% was significantly worse than for those with an LVEF >29% (p<0.012). The cumulative mortality rate was 59% LVEF ≤29% and 18% in those with LVEF >29% (p<0.029)   |
| <a href="#">Kazmers A., et al., 1988 (15) 3348731</a> | To determine periop and long-term mortality according to LVEF in pts undergoing carotid endarterectomy  | Retrospective | 73 pts before 82 carotid operations              | N/A | N/A | Pts who had radionuclide ventriculography before carotid endarterectomy               | ? | N/A | Periop and long-term mortality in pts with LVEF <35% vs. LVEF >35% | Periop and cumulative 1-y mortality | Periop cardiac complications were more frequent with LVEF ≤35% , occurring in 43% vs. 9% in pts with LVEF >35%                                   | ?  | There was no statistical difference in periop mortality, but cumulative mortality differed, being 57% (4/7) in those with EF of ≤35% vs. 11% (7/66) in pts with LVEF >35%  |
| <a href="#">McCann RL, Wolfe WG, 1989 2778886</a>     | To evaluate the influence of LVEF on both periop and long-term morbidity and mortality  | Retrospective | 104  | N/A | N/A | Preop LVEF measured in 104 of 208 pts undergoing elective AAA                         | ? | N/A | 19 pts with LVEF <35% was compared to 85 pts with LVEF >35%        | Periop and cumulative mortality     | ?  | ?  | The periop mortality was not significantly different (low EF, 5%; high EF, 2%). The cumulative life-table survival of the 2 groups was not statistically different. 4-y actuarial survival 0.74 in low EF compared to 0.63 (p=NS) in the high EF group |
| <a href="#">Healy KO, et al., 2010 (3) 20412467</a>   | To determine impact of LVEF on outcome in pts with HF undergoing noncardiac surgery   | Retrospective | 174  | ?   | ?   | 174 subjects who underwent intermediate- or high-risk noncardiac surgery              | ? | ?   | ?  | 30-d and long-term mortality        | Adverse periop events occurred in 53 (30.5%) of subjects, including 14 (8.1%) deaths within 30 d, 26 (14.9%) MI, and 44 (25.3%) HF exacerbations | Among the factors associated with adverse periop outcomes in the first 30-d were advanced age (e.g., >80 y), diabetes mellitus, and a severely decreased EF (e.g., <30%) | Long-term mortality was high and Cox proportional hazards analysis demonstrated that EF was an independent risk factor for long term mortality   |

| Role of HF in CV Risk Indices                            |   |                    |           |     |     |  |   |   |   |   |   |  |  |
|--|---|--------------------|-----------|-----|-----|--|---|---|---|---|---|--|--|
| Goldman L, et al., 1977 (15, 16) <a href="#">904659</a>  | To determine which preop factors affect the development of cardiac complications after major noncardiac operations          | Prospective cohort | 1,001 pts | N/A | N/A | ?  | ? | ? | ? | Postop fatality and life-threatening complication | ? | 36 of the 39 pts manifesting ≥1 life-threatening cardiac complications had pulmonary edema. 9 independent significant correlates of life-threatening and fatal cardiac complications: preop S3 or JVD; MI in the preceding 6 mo; >5 PVC/min; rhythm other than sinus or presence of PACs on preop ECG; >70 y of age; intraperitoneal, intrathoracic or aortic operation; emergency operation; important valvular AS; and poor general medical condition. | Clinical signs of HF including an S3 gallop or JVD were the most significant predictors of postop life-threatening or fatal cardiac complications. In the final analysis, signs of HF carried the highest weight in the original CRI. 10 of the 19 postop cardiac fatalities occurred in the 18 pts at highest risk.   |
| Detsky AS, et al., 1986 (15, 17) <a href="#">3772593</a> | To validate a previously derived multifactorial index in their clinical setting and to test a modified version of the index | Prospective cohort | 455       | ?   | ?   | 455 consecutive pts referred to the general medical consultation service for cardiac risk assessment prior to noncardiac surgery | ? | ? | ? | Major cardiac complications                       | ? |  | The interobserver agreement for S3 and JVD was poor ( $\kappa$ statistic, 0.42 and 0.50, respectively). Therefore, to make the diagnosis of HF more objective and reproducible preoperatively, grouped HF into 2 categories as the presence of alveolar pulmonary edema within 1 wk or ever. Definition was stricter; HF still had a major role in predicting events and being a |

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|--|--|--------------------|-------|-----|-----|---|---|---|---|--|---|---|---|
|  |  |                    |       |     |     |   |   |   |   |  |   |   | major outcome. Of the 43 serious events, there were 10 new or worsened episodes of HF without alveolar pulmonary edema, and 5 episodes of alveolar pulmonary edema.   |
| Lee TH, et al., 1999 (15, 18) <a href="#">10477528</a> | To develop and validate an index for risk of cardiac complications | Prospective cohort | 4,315 | N/A | N/A | 4,315 pts ≥50 y of age undergoing elective major noncardiac procedures in a tertiary-care teaching hospital | ? | ? | ? | The main outcome measures were major cardiac complications | ? | ? | HF was both an important predictor and a key complication. Outcome required a formal reading of pulmonary edema on the chest x-ray. In the validation set, it provided the highest OR (4.3) for major cardiac complications. 6 independent predictors of complications were identified in RCRI: high-risk type of surgery, Hx of ischemic heart disease, Hx of CHF, Hx of cerebrovascular disease, preop treatment with insulin, and preop serum creatinine >2.0 mg/dL. |

AAA indicates abdominal aortic aneurysm; AF, atrial fibrillation; AS, aortic stenosis; CAD, coronary artery disease; CHF, congestive heart failure; CI, confidence interval; CRI, Cardiac Risk Index; CV, cardiovascular; ECG, electrocardiogram; EF, ejection fraction; FFS, fee-for-service; HF, heart failure; HF-PEF, heart failure with preserved ejection fraction; HF-REF, heart failure with reduced ejection fraction; HR, hazard ratio; HTN, hypertension; Hx, history; IHF, ischemic heart failure; JVD, jugular venous distention; LOS, length of stay; LVEF, left ventricular ejection fraction; MI, myocardial infarction; n, subgroup of N; N/A, not applicable; NIHF, nonischemic heart failure; NS, nonsignificant; OR, odds ratio; PAC, pulmonary artery catheterization; periop, perioperative; postop, postoperative; pts, patients; PVC, premature ventricular contraction; preop, preoperative; RCRI, Revised Cardiac Risk Index; RR, relative risk; and S3, third heart sound.

**Data Supplement 4. Valvular Heart Disease (Section 2.4)**

| Study Name, Author, Year                                | Aim of Study   | Study Type   | Study Size (N) | Study Intervention Group (n) | Study Comparator Group (n) | Patient Population   |                                       | Study Intervention | Study Comparator             | Endpoints   |                             |   | P Values, OR: HR: RR & 95% CI:  | Study Limitations & Adverse Events                                |
|---|--|--|----------------|------------------------------|----------------------------|--|---------------------------------------|--------------------|------------------------------|---|-----------------------------|---|---|---|
|   |  |  |                |                              |                            | Inclusion Criteria   | Exclusion Criteria                    |                    |                              | Primary Endpoint (Efficacy) and Results   | Safety Endpoint and Results | Secondary Endpoint and Results                                      |   |   |
| Agarwal S, et al., 2013 (19) <a href="#">23481524</a>   | Postop outcomes after nonemergent noncardiac surgery in pts with moderate or severe AS | Retrospective cohort; age, sex, and propensity score matched control | 3,170          | 634                          | 2,536                      | Moderate AS (AVA=1.0–1.5 cm <sup>2</sup> ) or severe AS (AVA<1.0 cm <sup>2</sup> ) | Emergent surgery                      | N/A                | Pts without AS               | Composite of 30-d mortality and postop MI   | N/A                         | 30-d mortality, long-term mortality, postop MI, HF, stroke, and LOS | Moderate AS 4.4% vs. control 1.7% (OR: 2.6; p=0.002); Severe AS 5.7% vs. control 2.7% (OR: 2.1; p=0.02) | Retrospective, single center                                      |
| Calleja AM, et al., 2010 (20) <a href="#">20381670</a>  | Postop outcomes after noncardiac surgery in pts with asymptomatic, severe AS           | Retrospective; age- and sex-matched control                          | 90             | 30                           | 60                         | Severe AS (AVA<1.0 cm <sup>2</sup> )   | Symptomatic AS, moderate or severe AR | N/A                | Pts with mild-to-moderate AS | Composite of in-hospital death, MI, HF, ventricular arrhythmias, and intraoperative hypotension requiring vasopressor | N/A                         | Intraoperative hypotension requiring vasopressor                    | AS 33% vs. control 23% (OR: 1.4; p=0.06)  | Retrospective, single center, small sample size                   |
| Leibowitz D, et al., 2009 (21) <a href="#">19287130</a> | Postop outcomes after hip fracture surgery in pts with severe AS                       | Retrospective; age-matched control                                   | 120            | 32                           | 88                         | Severe AS (AVA<1.0 cm <sup>2</sup> )   | N/A                                   | N/A                | Pts without AS               | 30-d mortality  | N/A                         | Composite of 30-d mortality, ACS, and pulmonary edema               | AS 6.2% vs. control 6.8% (OR: 0.9; p=NS)  | Retrospective, single center, small sample size                   |
| Zahid M, et al., 2005 (22) <a href="#">16054477</a>     | Postop outcomes after noncardiac surgery in pts with AS from NHDS database             | Retrospective; age and surgical risk-matched control                 | 15,433         | 5,149                        | 10,284                     | AS   | N/A                                   | N/A                | Pts without AS               | Composite of in-hospital mortality and MI   | N/A                         | In-hospital MI  | AS 8.3% vs. control 7.2%, (OR: 1.2; p=0.01)   | Retrospective, claims database                                    |
| Torsher LC, et al., 1998 (23) <a href="#">9485135</a>   | Postop outcomes after noncardiac surgery in pts with severe AS                         | Retrospective; no control  | 19             | 19                           | N/A                        | Severe AS (mean gradient >50 mm Hg)  | N/A                                   | N/A                | N/A                          | In-hospital mortality   | N/A                         | N/A   | AS 10.5%  | Retrospective, no control group, single center, small sample size |
| Lai HC, et al., 2010                                    | Postop outcomes after noncardiac   | Retrospective; age, sex, and   | 334            | 167                          | 167                        | Moderate-to-severe AR or   | Pt is already intubated,              | N/A                | Pts without AR               | In-hospital mortality   | NA                          | Postop MI, stroke,  | AR 9.0% vs. control 1.8%  | Retrospective, single center,                                     |

|   |  |   |       |     |       |                                    |  |     |                |   |     |  |  |   |
|---|--|---|-------|-----|-------|------------------------------------|--|-----|----------------|---|-----|--|--|---|
| (24)<br><a href="#">19930243</a>                        | surgery in pts with moderate-severe or severe chronic AR   | surgical risk-matched control                                 |       |     |       | severe AR                          | surgery performed with local anesthesia                          |     |                |   |     | pulmonary edema, intubation >24 h, and major arrhythmia                    | (OR: 5.0; p=0.008)                           | small sample size   |
| Bajaj NS, et al., 2013 (25)<br><a href="#">23587300</a> | Postop outcomes after nonemergent noncardiac surgery in pts with moderate-to-severe or severe MR | Retrospective; age, sex, and propensity score matched control | 1,470 | 298 | 1,172 | Moderate-to-severe MR or severe MR | Emergent surgery   | N/A | Pts without MR | Composite of 30-d mortality and postop MI, HF, and stroke | N/A | 30-d mortality, postop MI, HF, stroke, and AF                              | MR 22.2% vs. control 16.4% (OR: 1.4; p=0.02) | Retrospective, single center                                      |
| Lai HC, et al., 2007 (26)<br><a href="#">17576968</a>   | Postop outcomes after noncardiac surgery in pts with moderate-to-severe or severe MR             | Retrospective; no control                                     | 84    | 84  | N/A   | Moderate-to-severe MR or severe MR | Pt is already intubated, surgery performed with local anesthesia | N/A | N/A            | In-hospital mortality                                     | N/A | Postop MI, stroke, pulmonary edema, intubation >24 h, and major arrhythmia | MR 11.9%                                     | Retrospective, no control group, single center, small sample size |

ACS, acute coronary syndrome; AF, atrial fibrillation; AR, aortic regurgitation; AS, aortic stenosis; AVA, aortic valve area; CI, confidence interval; HF, heart failure; HR, hazard ratio; LOS, length of stay; MI, myocardial infarction; MR, mitral regurgitation; NHDS, National Hospital Discharge Survey; N/A, not applicable; NS, nonsignificant; OR, odds ratio; pts, patients; postop, postoperative, and RR, relative risk.

### Data Supplement 5. Arrhythmias and Conduction Disorders (Section 2.5)

| Study Name, Author, Year                                 | Aim of Study  | Study Type                       | Study Size (N) | Study Intervention Group (n) | Study Comparator Group (n) | Patient Population  |   | Study Intervention | Study Comparator | Endpoints   |                             |   | P Values, OR: HR: RR & 95% CI: | Study Limitations & Adverse Events |
|--|---|----------------------------------|----------------|------------------------------|----------------------------|---|---|--------------------|------------------|---|-----------------------------|---|--------------------------------|------------------------------------|
|  |   |                                  |                |                              |                            | Inclusion Criteria  | Exclusion Criteria  |                    |                  | Primary Endpoint (Efficacy) and Results   | Safety Endpoint and Results | Secondary Endpoint and Results  |                                |                                    |
| Biteker M, et al., 2012 (27)<br><a href="#">22057953</a> | To determine ECG predictors of periop cardiac events in pts undergoing noncardiac/nonvascular surgery | Prospective observational cohort | 660            | 660                          | N/A                        | 660 pts scheduled for elective noncardiac nonvascular surgery expected to stay ≥2 d | Cardiac or vascular surgery, day surgery, emergent surgery, ASA=5 | None               | None             | Abnormal ECG (p=0.019) and AF (p<0.001) predicted PCE on univariate analysis but not multivariate | N/A                         | Pts with PCEs had longer QTc (437 ms) that those without (413 ms) (OR: 1.043/ms; CI: 1.028/ms–1.058/ms) | N/A                            | N/A                                |
| Goldman L, et al., 1977                                  | To develop risk score for cardiac events  | Prospective observational cohort | 1,001          | N/A                          | N/A                        | All pts >40 y of age undergoing general,  | Cardiac or thoracic surgery, no consent                           | None               | None             | Rhythm other than sinus (MDFC 0.283)  | N/A                         | N/A   | p<0.001                        | N/A                                |

|  |   |                                  |       |                  |                  |   |   |      |      |   |     |                                       |   |                               |
|--|---|----------------------------------|-------|------------------|------------------|---|---|------|------|---|-----|---------------------------------------|---|-------------------------------|
| (16)<br><a href="#">904659</a>                           | after noncardiac surgery  |                                  |       |                  |                  | orthopedic, or urologic surgery at MGH over a 7 mo period   |   |      |      | and PVCs >5/min (MDFC 0.279) both predictive of risk of MACE              |     |                                       |   |                               |
| Lee TH, et al., 1999 (18)<br><a href="#">10477528</a>    | To develop revised risk score for cardiac events after noncardiac surgery   | Prospective observational cohort | 4,315 | 2,893 derivation | 1,422 validation | All pts >50 y of age undergoing noncardiac surgery at 1 center over 5 y   | Cardiac surgery, no consent             | None | None | Abnormal rhythm not predictive of risk                                    | N/A | N/A                                   | RR 0.8; CI: 0.3–2.6; p=NS   | No validation cohort          |
| Mahla E, et al., 1998 (28)<br><a href="#">9428844</a>    | To evaluate whether frequency of periop ventricular dysrhythmia independently predicts risk of noncardiac surgery | Prospective observational cohort | 70    | 70               | N/A              | 70 pts scheduled for noncardiac surgery with ventricular couplets or NSVT   | 10 pts excluded for poor Holter quality | None | None | Frequency of VPBs not predictive of outcome                               | N/A | AF did predict worse outcome (p=0.05) | p=NS  | N/A                           |
| Mangano DT, et al., 1992 (29)<br><a href="#">1608143</a> | To determine predictors of long-term adverse cardiac events after noncardiac surgery                              | Prospective observational cohort | 444   | 444              | N/A              | Consecutive pts at high-risk for CAD undergoing noncardiac surgery at SFVAMC who survived initial hospitalization | Cardiac surgery                         | None | None | Preop dysrhythmia did not predict adverse outcome                         | N/A | Preop NSVT did not predict risk       | Dysrhythmia RR: 1.4 (p=0.08); NSVT HR: 0.7 (CI: 0.2–1.9; p=0.40)  | Small study, no control group |
| O'Kelly B, et al., 1992 (30)<br><a href="#">1608140</a>  | To determine incidence and clinical predictors of periop ventricular arrhythmias during noncardiac surgery        | Prospective observational cohort | 230   | 230              | N/A              | Consecutive males with CAD or high risk for CAD undergoing noncardiac surgery at SFVAMC                           | N/A                                     | None | None | Preop ventricular arrhythmia predicted periop and postop VA, but not MACE | N/A | N/A                                   | Periop ventricular arrhythmias OR: 7.3 (95% CI: 3.3–16.0); postop ventricular arrhythmias OR: 6.4 (95% CI: 2.7–15.0), nonfatal MI/cardiac death OR :1.6 (95% CI: 0.4– | No validation cohort          |

AF indicates atrial fibrillation; ASA, aspirin; CAD, coronary artery disease; ECG, electrocardiogram; MACE, major adverse cardiac event; MGH, Massachusetts General Hospital; MI, myocardial infarction; N/A, not applicable; NS, nonsignificant; NSVT, non-sustained ventricular tachycardia; PCE, perioperative cardiovascular events; periop, perioperative; preop, preoperative; pts, patients; PVC, premature ventricular contraction; QTc, corrected QT interval; RR, relative risk; SFVAMC, San Francisco Veterans Affairs Medical Center; VA, ventricular arrhythmia; and VPB, ventricular premature beat.

### Data Supplement 6. Pulmonary Vascular Disease (Section 2.6)

| Study Name, Author, Year                                  | Aim of Study   | Study Type                          | Study Size (N)    | Study Intervention Group (n) | Study Comparator Group (n) | Patient Population  |                            | Study Intervention | Study Comparator   | Endpoints  |                             |   | P Values, OR: HR: RR & 95% CI:   | Study Limitations & Adverse Events                |
|---|--|-------------------------------------|-------------------|------------------------------|----------------------------|---|----------------------------|--------------------|--|--|-----------------------------|---|--|---|
|   |  |                                     |                   |                              |                            | Inclusion Criteria  | Exclusion Criteria         |                    |  | Primary Endpoint (Efficacy) and Results  | Safety Endpoint and Results | Secondary Endpoint and Results  |  |   |
| Ramakrishna G, et al., 2005 (31) <a href="#">15893189</a> | Determine predictors of poor outcome after noncardiac surgery in pts with PH | Retrospective review, single center | 145 (all with PH) | None                         | None                       | Adults with Group 1, 3, or 4 PH; general anesthesia (100%); intermediate-/high-risk surgery (79%) | Cardiac, obstetric surgery | None               | 1) pts who died and 2) pts who had morbid event (HF, cardiac ischemia, stroke, respiratory failure, hepatic dysfunction, renal failure, sepsis, dysrhythmia) vs. those who did not | Death in 7% associated with 1) Hx of PE, 2) RAD on ECG, 3) RVH or RV dysfunction on echo, 4) RVSP/systolic BP ratio, 5) vasopressor use intraoperatively, 6) absence of iNO use intraoperatively | N/A                         | Morbidity in 42% associated with 1) functional class, 2) prior PE, 3) obstructive sleep apnea, 4) 5) vasopressor use intraoperatively | Independent multivariate predictors of postop morbidity: Hx of PE (OR: 7.3; CI: 1.9–38.3; p=0.01); PH symptoms (OR: 2.9; CI: 1.2–7.7; p=0.02); intermediate/high-risk vs. low-risk surgery (OR: 3.03; CI: 1.1–9.4; p=0.04); anesthesia duration >3 h (OR: 2.9; CI: 1.03–4.6; p=0.04) | Retrospective, single center, no comparison group |
| Minai OA, et al., 2006 (32) <a href="#">16768070</a>      | Determine frequency of poor outcome after noncardiac surgery in pts with PH  | Retrospective review, single center | 28 (all with PH)  | None                         | None                       | Adults with Group 1 PH; general anesthesia (79%); intermediate-/high-risk surgery (86%)           | Cardiac, obstetric surgery | None               | 1) pts who died and 2) pts who had morbid event vs. those who did not  | Death in 18%   | N/A                         | Morbidity in 19%  | N/A  | Retrospective, single center, no comparison group |
| Lai HC, et al.,   | Determine  | Retrospective                       | 124 (62)          | None                         | Controls                   | Adults with   | Cardiac,                   | None               | 1) pts who   | Death in 10% vs.   | N/A                         | Morbidity in  | Independent  | Retrospective,                                    |

|   |  |   |                                    |      |   |  |  |      |   |   |     |  |   |   |
|---|--|---|------------------------------------|------|---|--|--|------|---|---|-----|--|---|---|
| 2007<br>(26)<br><a href="#">17576968</a>                    | predictors of poor outcome after noncardiac surgery in pts with PH   | case control study, single center         | PH and 62 non-PH controls)         |      | matched for age, sex, anesthesia, LVEF, surgical risk, and urgency          | Group 1, 2, 3, or 4 PH; general anesthesia (58%); intermediate-/high-risk surgery (65%)                  | obstetric surgery                            |      | died and 2) pts who had morbid event vs. those who did not            | 0% in controls  |     | 24% vs. 3% in controls                     | multivariate predictors of postop mortality: emergency surgery (OR: 45; CI: 1.5–1,315; p=0.03); CAD (OR: 9.9; CI: 1.1–91; p=0.04); PASP (OR: 1.1; CI: 1.0–1.2; p=0.03). Independent multivariate predictors of postop morbidity: Cardiac risk level (OR: 6.8; CI: 1.2–39; p=0.03); CAD (OR: 6.5; CI: 1.4–30; p=0.02). | single center                                     |
| Kaw R, et al., 2011<br>(32, 33)<br><a href="#">21195595</a> | Determine association of PH with periop outcomes   | Retrospective cohort study, single center | 173 (96 PH and 77 non-PH controls) | None | Controls who underwent RHC but had normal PA pressures, otherwise unmatched | Adults with Group 1,2,3, or 4 PH; general anesthesia (100%); intermediate-/high-risk surgery (100%); RHC | Minor procedures, cardiac, obstetric surgery | None | 1) pts who died and 2) pts who had morbid event vs. those who did not | Morbidity/mortality (HF, respiratory failure, sepsis, MI) in 26% vs. 3% in controls | N/A | N/A  | Mortality/morbidity OR: 13.1 (p<0.0001). Independent multivariate predictors of postop morbidity: PH (OR: 15.2; p=0.001); CKD (OR: 3.2; p=0.03); age (OR: 1.04; p=0.09); ASA Class >2 (OR: 4.2; p=0.02); surgical risk class  | Retrospective, single center                      |
| Price LC, et al., 2010<br>(34)<br><a href="#">19897552</a>  | Discuss the anesthetic management and follow-up of well-characterized pts with PAH presenting for noncardiothoracic nonobstetric | N/A                                       | 28 (all with PH)                   | None | None  | Adults with Group 1 or 4 PH; general anesthesia (50%); intermediate-/high-risk surgery (75%)             | Cardiac, obstetric surgery                   | None | 1) pts who died and 2) pts who had morbid event vs. those who did not | Death in 7%   | N/A | Morbidity (HF, respiratory failure) in 29% | Periop complications more likely in FC 3–4 (p=0.14) and with lower 6-min walk distance (p=0.06)   | Retrospective, single center, no comparison group |

|   |  |                                   |                   |      |      |  |                                     |      |   |               |     |                   |  |                     |
|---|--|-----------------------------------|-------------------|------|------|--|-------------------------------------|------|---|---------------|-----|-------------------|--|---------------------|
|   | surgery  |                                   |                   |      |      |  |                                     |      |   |               |     |                   |  |                     |
| Meyer S, et al., 2013 (35) <a href="#">23143546</a> | Assess periop outcomes in pts with PAH undergoing noncardiac surgery | Prospective, multicenter registry | 114 (all with PH) | None | None | Adults with Group 1 PH; general anesthesia (82%) | Minor, cardiac or obstetric surgery | None | 1) pts who died and 2) pts who had morbid event vs. those who did not | Death in 3.5% | N/A | Morbidity in 6.1% | Predictors of postop events: emergency surgery (OR: 2.4; 95% CI: 1.4–3.6; p=0.01); use of vasopressors (OR: 1.5; 95% CI: 1.2–2.7; p=0.03); surgery performed in PH center (OR: 0.2; CI: 0.05–1.0; p=0.06); mRA pressure (OR: 1.1; 95% CI: 1.0–1.3; p=0.01) | No comparison group |

ASA indicates American Society of Anesthesiologists; BP, blood pressure; CAD, coronary artery disease; CI, confidence interval; CKD, chronic kidney disease; ECG, electrocardiogram; FC, functional class; HF, heart failure; HR, hazard ratio; Hx, history; iNO, inhaled nitric oxide; LVEF, left ventricular ejection fraction; MI, myocardial infarction; N/A, not applicable; mRA, mean right atrial; OR, odds ratio; PA, pulmonary artery; PAH, pulmonary arterial hypertension; PASP, pulmonary artery systolic pressure; PE, pulmonary embolism; periop, perioperative; PH, pulmonary hypertension; postop, postoperative; pts, patients; RAD, right-axis deviation; RHC, right heart catheterization; RR, relative risk; RVH, right ventricular hypertrophy; and RVSP, right ventricular systolic pressure.

### Data Supplement 7. Multivariate Risk Indices (Section 3.1)

| Study Name, Author, Year                               | Aim of Study   | Study Type       | Study Size (N) | Study Intervention Group (n) | Study Comparator Group (n) | Patient Population  |  | Study Intervention           | Study Comparator              | Endpoints                               |                             |   | P Values, OR: HR: RR & 95% CI: | Study Limitations & Adverse Events                       |
|--|--|------------------|----------------|------------------------------|----------------------------|---|--|------------------------------|-------------------------------|---|-----------------------------|---|--------------------------------|--|
|  |  |                  |                |                              |                            | Inclusion Criteria  | Exclusion Criteria   |                              |                               | Primary Endpoint (Efficacy) and Results | Safety Endpoint and Results | Secondary Endpoint and Results                                  |                                |  |
| McFalls EO, et al., 2004 (36) <a href="#">15625331</a> | Compare rates of morbidity and mortality with/without coronary artery revascularization before cardiovascular operations | RCT, multicenter | 510            | 258                          | 252                        | Elective vascular procedure, increased risk of cardiac complications, ≥1 major coronary arteries with >70% stenosis | Urgent or emergent vascular procedure, severe coexisting illness, prior revascularization without evidence of recurrent ischemia | CABG or coronary angioplasty | No coronary revascularization | Long-term mortality                     | N/A                         | Periop MI: 7.1% in intervention group vs. 5.0% in control group | NS                             | Only looked at rate of periop MI in vascular surgery pts |
| Davenport  | Compare  | Retrospective    | 427            | 99                           | 328                        | ACS NSQIP   | Pts who died   | EVAR                         | Open AAA repair               | Mortality: 22.2%                        | None                        | Cardiac   | p=0.003                        | Retrospective  |

|   |  |  |        |       |       |   |                         |                      |                         |   |     |  |   |                                   |
|---|--|--|--------|-------|-------|---|-------------------------|----------------------|-------------------------|---|-----|--|---|-----------------------------------|
| DL, et al., 2010 (37) <a href="#">19939609</a>        | outcomes of open vs. endovascular repair of ruptured AAA                               | cohort study using prospectively collected national database NSQIP               |        |       |       | database from 2005–2007 at 173 hospitals. Pts were selected who had ruptured AAA  | before having operation |                      |                         | EVAR vs. 37.2% open   |     | arrest or infarction: 4.0% in EVAR vs. 8.2% in open  | for mortality; p=0.159 for cardiac arrest or infarction | and not randomized.               |
| Jordan SW, et al., 2013 (38) <a href="#">23249982</a> | Comparing outcomes of plastic surgery operations with and without resident involvement | Retrospective cohort study using prospectively collected national database NSQIP | 10,356 | 4,453 | 5,903 | ACS NSQIP database from 2006–2010 with "plastics" listed as primary service to include pts with reconstructive procedures | Cosmetic procedures     | Resident involvement | No resident involvement | Overall complication, wound infection, graft/prosthesis/flap failure, mortality rates | N/A | Cardiac arrest: 0.13% with resident; 0.14% no resident; MI: 0.11% with resident; 0.08% no resident | NS  | Retrospective and not randomized. |

AAA indicates abdominal aortic aneurysm; ACS NSQIP, American College of Surgeons National Surgical Quality Improvement Program; CI, confidence interval; EVAR, endovascular aneurysm repair; CABG, coronary artery bypass graft; HR, hazard ratio; MI, myocardial infarction; N/A, not applicable; NS, nonsignificant; OR, odds ratio; periop, perioperative; pts, patients; RCT, randomized controlled trial, and RR, relative risk.

#### Data Supplement 8. Exercise Capacity and Functional Capacity (Section 4.1)

| Study Name, Author, Year                              | Aim of Study  | Study Type         | Study Size (N) | Patient Population   |                         | Study Intervention   | Endpoints  | P Values, OR: HR: RR & 95% CI:  | Study Limitations & Adverse Events |
|---|---|--------------------|----------------|--|-------------------------|--|--|---|------------------------------------|
|   |   |                    |                | Inclusion Criteria   | Exclusion Criteria      |  |  |   |                                    |
| Leung JM, et al., 2001 (39) <a href="#">11555070</a>  | To determine prevalence and predictors of adverse postop outcomes in older surgical pts undergoing noncardiac surgery | Prospective cohort | 544            | Pts ≥70 y of age undergoing noncardiac surgery at an academic medical center                   | Local anesthesia or MAC | N/A  | 3.7% of pts died and 21% experienced postop complications. Decreased functional status preop was an important predictor of adverse neurological outcomes (OR: 3) | OR: 3 (95% CI: 1.4–6.4) for adverse neurological outcome  | N/A                                |
| Reilly DF, et al., 1999 (40) <a href="#">10527296</a> | To determine the relationship between self-reported exercise tolerance and serious periop complications               | Cohort             | 600            | Consecutive outpts referred to a medical consultation clinic at a tertiary care medical center | N/A                     | Pts were asked to estimate the number of blocks they could walk and stairs they could climb without symptoms | All pts were monitored for 26 serious periop complications. Pts with poor exercise tolerance (<4 blocks or <2 flights) had more complications (20.4% vs. 10.4%). | Likelihood of serious complications was inversely related to the number of blocks that could be walked (p=0.006) or flights of stairs climbed (p=0.01). | N/A                                |
| Older P, et al., 1999 (41) <a href="#">10453862</a>   | To develop an integrated strategy for the identification and subsequent management                                    | Cohort             | 548            | >60 y of age (or younger with known cardiopulmonary disease) scheduled for                     | N/A                     | All pts underwent cardiopulmonary exercise testing. Anaerobic threshold results and hemic ECG                | Mortality was 3.9%. There were no deaths in those assigned to a ward strategy based on their cardiopulmonary parameters.   | N/A   | N/A                                |

|   |  |                      |          |  |     |  |  |   |   |
|---|--|----------------------|----------|--|-----|--|--|---|---|
|   | of high-risk pts in order to reduce both morbidity and mortality                               |                      |          | major intra-abdominal surgery  |     | changes with exercise were used to triage to ICU, HCU, and ward. |  |   |   |
| Wiklund RA, et al., 2001 (42) <a href="#">11393264</a>  | To evaluate METs as a predictor of cardiac complications following elective noncardiac surgery | Retrospective cohort | 5,939    | Pts undergoing preanesthetic assessment within 2 mo of elective noncardiac surgery | N/A | N/A  | 94 pts (1.6%) had cardiac complications, 38% occurred after vascular surgery. Age and ASA Physical Status Class were independent predictors of complications but METs were not once ASA Physical Status Class was included.  | N/A   | ASA Physical Status Class and METs were colinear  |
| Crawford RS, et al., 2010 (43) <a href="#">20141958</a> | To relate preop functional status to periop morbidity and mortality                            | Cohort               | 5,639    | Vascular surgery pts undergoing infrainguinal surgical bypass                      | N/A | N/A  | Dependent pts (18.4%) were older and had more diabetes mellitus, COPD ESRD on dialysis, and critical limb ischemia. Dependent pts had higher mortality (6.1% vs. 1.5%) and complication rates (30.3% vs. 14.2%). Dependent status was an independent predictor of death and major complications. | Serious complications OR: 2 (95% CI: 1.7–2.4) and death OR: 2.3 (95% CI: 1.6–3.4)   | N/A   |
| Goswami S, et al., 2012 (44) <a href="#">23042223</a>   | To determine incidence and risk factors for intraoperative cardiac arrest                      | Cohort               | 362, 767 | Noncardiac surgeries in the ACS NSQIP database                                     | N/A | N/A  | Incidence of intraoperative CA was 7.22 per 10,000. Predictors included being functionally dependent (OR: 2.3) as well as emergency surgery and the amount of transfusions needed.   | Adjusted OR:2.33 (95% CI: 1.69–3.22) for being functionally dependent   | Definition of dependent in NSQIP database based on need for assistance with ADLs rather than METs values. |
| Tsiouris A, et al., 2012 (45) <a href="#">22484381</a>  | To assess the effect of functional status on morbidity or mortality                            | Cohort               | 6,373    | Thoracic surgery pts in 2005-2009 NSQIP database                                   | N/A | N/A  | 812 pts had dependent functional status preoperatively. Mortality was 7.7 times higher in them than in those with nondependent functional status. Complications were also increased.   | OR: 7.7 for mortality in dependent pts preop as compared with nondependent pts (p<0.001). OR: 9.3 for prolonged ventilation and OR: 3.1 for reintubation. | N/A   |

ACS indicates American College of Surgeons; ADLs, activities of daily living; ASA, American Society of Anesthesiologists; CA, cardiac arrest; CI, confidence interval; COPD, chronic obstructive pulmonary disease; ECG, electrocardiogram; ESRD, end-stage renal disease; HCU, high care unit; HR, hazard ratio; ICU, intensive care unit; MAC, monitored anesthesia care; METs, metabolic equivalent; N/A, nonapplicable; NSQIP, National Surgical Quality Improvement Program; OR, odds ratio; periop, perioperative; postop, postoperative, preop, preoperative; pts, patients; and RR, relative risk.

Data Supplement 9. The 12-Lead ECG (Section 5.1)

| Study Name, Author, Year                               | Aim of Study   | Study Type                                     | Study Size (N) | Study Intervention Group (n) | Study Comparator Group (n) | Patient Population   |   | Study Intervention | Study Comparator | Endpoints  |   | P Values, OR: HR: RR & 95% CI:                         | Study Limitations & Adverse Events  |
|--|--|--|----------------|------------------------------|----------------------------|--|---|--------------------|------------------|--|---|--|---|
|  |  |  |                |                              |                            | Inclusion Criteria   | Exclusion Criteria  |                    |                  | Primary Endpoint (Efficacy) and Results  | Secondary Endpoint and Results  |  |   |
| Biteker M, et al., 2012 (27) <a href="#">22057953</a>  | To examine the association of preop ECG abnormalities and periop cardiovascular outcomes in pts undergoing noncardiac, nonvascular surgery | Prospective observational single-center cohort | 660            | N/A                          | N/A                        | Pts >18 y of age undergoing nonday case open surgery   | Emergent cases and day-case surgery, ASA5                                       | None               | None             | PCE 12.1%— Only QTc predicted periop CV events on MVA  | Other ECG abnormalities did not predict CV events                       | N/A  | Small sample size   |
| Carliner NH, et al., 1986 (46) <a href="#">3719447</a> | To determine which ECG abnormalities were most predictive of high-risk surgical pts  | Prospective observational single-center cohort | 198            | N/A                          | N/A                        | Pts >40 y of age undergoing elective thoracic, abdominal, or vascular surgery under GA           | Recent MI, UA, CHF, AS, high-grade VE, uncontrolled HTN                         | None               | None             | Death/MI (3%)— Not reported due to small number of endpoints   | All cardiac events including ischemia (17%)—Only abnormal ECG predicted | Sensitivity 85%, specificity 41%, PPV 22%; p<0.01      | Small sample size, few primary hard endpoints. Individual ECG abnormalities did not predict events. |
| Gold BS, et al., 1992 (47) <a href="#">1739358</a>     | To determine the value of preop ECG in an ambulatory surgical population   | Retrospective single-center cohort             | 751            | N/A                          | N/A                        | All ambulatory surgical pts with preop ECG undergoing surgery                                    | Local anesthesia only   | None               | None             | Any adverse CV event (1.6%)— no ECG abnormality predictive   | N/A   | No ECG abnormality predicted adverse CV events         | Small sample size, few CV events (12/751= 1.6%)   |
| Goldman L, et al., 1977 (16) <a href="#">904659</a>    | To develop multifactorial risk score for cardiac events after noncardiac surgery   | Prospective observational single-center cohort | 1,001          | N/A                          | N/A                        | All pts >40 y of age undergoing general, orthopedic, or urologic surgery at MGH over 7-mo period | Cardiac or thoracic surgery, local anesthesia only, endoscopy, TURP, no consent | None               | None             | Cardiac death (1.9%) or MACE (MI, pulmonary edema, VT— 3.9%)-Rhythm other than sinus or PACs predicted cardiac death | N/A   | Death—OR: 9 (p<0.001); nonfatal MACE—OR: 3.3 (p<0.001) | No validation cohort, older study, ECGs abnormalities not well-classified                           |

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|   |  |  |       |     |     |  |  |      |      | and MACE   |                                 |   |  |
| Jeger RV, et al., 2006 (48) <a href="#">16442922</a>    | To determine whether preop ECG abnormalities predict death/MACE 2 y postop in pts with CAD or high CAD risk                        | Prospective observational single-center cohort | 172   | N/A | N/A | Clinically stable adult pts with documented or suspected CAD undergoing noncardiac surgery | None stated                                    | None | None | Death (23%) or MACE (18%) at 2 y—ST depressions and faster HR predicted mortality  | N/A                             | ST depression—OR: 4.5 (95% CI: 1.9–10.5); faster heart rate—OR: 1.6 (95% CI: 1.1–2.4) | Small sample size  |
| Landesberg G, et al., 1997 (49) <a href="#">9357456</a> | To examine the association between preop ECG abnormalities, periop MI, and postop cardiac complications                            | Prospective observational 2-center cohort      | 405   | N/A | N/A | Adult pts undergoing vascular surgery under GA or epidural                                 | LBBB, LVH with strain                          | None | None | Cardiac death (0.5%) or MI (4.2%)—Only LVH and ST depression >0.5 mm predicted endpoint  | N/A                             | OR: 5.8 (p=0.004)   | Small sample size, limited to vascular surgery   |
| Lee TH, et al., 1999 (15, 18) <a href="#">10477528</a>  | To derive and validate a simple index for the prediction of the risk of cardiac complications in major elective noncardiac surgery | Prospective observational single-center cohort | 4,315 | N/A | N/A | Pts ≥50 undergoing nonemergent noncardiac procedures with expected LOS ≥2 d                | Pt unwilling to consent to full study protocol | None | None | Major cardiac complications—MI, pulmonary edema, VF/SCA, complete AV block (2%)—Pathologic Q-waves (present in 17%) predictive in derivation set, but not ST-T changes | N/A                             | Pathologic Q-waves: RR: 2.4 (CI: 1.3–4.2; p<0.05)                                     | Pt consent required, and pts who did not give consent had much higher event rate (4.8% vs. 1.7%) |
| Liu LL., et al., 2002 (50) <a href="#">12133011</a>     | To determine whether abnormalities on preop ECGs were predictive of postop cardiac complications                                   | Prospective observational single-center cohort | 513   | N/A | N/A | Pts ≥70 undergoing noncardiac surgery  | Local anesthesia or MAC                        | None | None | Death (3.7%) and combined cardiac complications (MI, ischemia, arrhythmia, CHF: 10.1%)—No association between ECG abnormalities and postop cardiac                     | Other noncardiac adverse events | OR: 0.63 (95% CI: 0.28–1.40; p=0.26)  | Small sample size, only age ≥70  |

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|  |  |   |        |       |       |  |   |   |                  | complications  |                              |  |   |
| Payne CJ, et al., 2011 (51) <a href="#">21989644</a>   | To assess the predictive value of a preop 12-lead ECG in pts undergoing major surgery in a population with a high prevalence of cardiovascular disease | Prospective observational single-center cohort      | 345    | N/A   | N/A   | Consecutive adult pts undergoing major vascular surgery or laparotomy  | None stated   | None  | None             | MACE (MI and cardiac death: 13.3%) and all-cause mortality (7.8%) within 6 wk—LV strain and prolonged QTc predictive of MACE on MVA                                | N/A                          | LV strain—HR: 3.93 (CI: 2.14–7.20; p<0.001); Prolonged QTc—HR: 2.38 (CI: 1.32–4.31; p=0.004) | Small sample size; other ECG abnormalities not predictive on MVA  |
| Schein OD, et al., 2000 (52) <a href="#">10639542</a>  | To determine whether routine testing helps reduce the incidence of intraop and postop medical complications  | Prospective randomized multicenter controlled trial | 18,189 | 9,411 | 9,408 | Pts ≥50 scheduled to undergo cataract surgery                          | General anesthesia, MI within 3 mo, any preop testing within 28 d | Routine preop testing=12-lead ECG, CBC, SMA-7 | No preop testing | Adverse medical events (3.1%)—No difference between groups   | Individual cardiac endpoints | RR: 1.00 (CI: 0.9–1.2)   | Limited to single type of low-risk surgery, cardiac events not specifically studied, unable to exclude testing done >28 d |
| Seymour DG, et al., 1983 (53) <a href="#">6869118</a>  | To examine the role of the routine preop ECG in the elderly surgical pt  | Prospective observational single-center cohort      | 222    | N/A   | N/A   | Pts ≥65 undergoing general surgery                                     | None stated   | None  | None             | MI or CHF (12.2%–9.6% in men and 16.1% in women)—Major ECG abnormalities (LVH, Q-waves, ST depression, T-wave abnormalities) predicted events in women but not men | N/A                          | Women: X <sup>2</sup> =4.0 (p<0.05); Men: X <sup>2</sup> =0.17 (p=NS)                        | Small sample size, unusual statistical analysis, included emergency cases (24.3%)   |
| Turnbull JM, et al., 1987 (54) <a href="#">3592875</a> | To investigate the value of traditionally accepted preop investigations in otherwise healthy pts admitted to hospital for open                         | Retrospective 2-center cohort                       | 1,010  | N/A   | N/A   | Adult pts admitted for cholecystectomy and no major medical conditions | Active or ongoing disease on admission, morbid obesity            | None  | None             | Any adverse medical event—ECG not predictive   | N/A                          | PPV=0.040 (p=NS)   | Retrospective, ECG criteria not well-defined, statistical comparisons not rigorous  |

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|   | cholecystectomy  |   |       |     |     |  |                                    |      |      |   |     |   |  |
| Van Klei WA, et al., 2007 (55) <a href="#">17667491</a> | To estimate the value of a preop ECG in addition to pt Hx in the prediction of MI and death during postop stay | Retrospective analysis of a prospective 2-center cohort study | 2,967 | N/A | N/A | Pts ≥50 undergoing noncardiac surgery with expected length of stay >24 h | Lung or liver transplant operation | None | None | Postop MI (2.3%) or death (2.5%)—RBBB predictive of postop MI, LBBB predictive of postop MI and death, other ECG abnormalities not predictive | N/A | RBBB/postop MI—OR: 2.1 (CI: 1.0–4.5; p=0.06); LBBB/postop MI—OR: 3.1 (CI: 1.0–9.9; p=0.05); LBBB/death—OR: 3.5 (CI: 1.3–10; p=0.02) | Retrospective, 20% did not get ECG. In ROC analysis, BBB not additive to risk prediction |

AS indicates aortic stenosis; ASA, American Society of Anesthesiologists; AV, atrioventricular; BBB, bundle branch block; CAD, coronary artery disease; CBC, complete blood count; CHF, congestive heart failure; CI, confidence interval; CV, cardiovascular; ECG, electrocardiogram; GA, general anesthesia; HR, hazard ratio; HTN, hypertension; LBBB, left bundle-branch block; LOS, length of stay; LV, left ventricular; LVH, left ventricular hypertrophy; MACE, major adverse cardiac event; MGH, Massachusetts General Hospital; MI, myocardial infarction; MAC, monitored anesthesia care; MVA, multivariable analysis; N/A, not applicable; NS, nonsignificant; OR, odds ratio; PAC, pulmonary artery catheterization; PCE, perioperative cardiovascular event; periop, perioperative; postop, postoperative; PPV, positive predictive value; preop, preoperative; pts, patients; QTc, corrected QT interval; ROC, receiver operating characteristic; RBBB, right bundle-branch block; RR, relative risk; SCA, sudden cardiac arrest; SMA, sequential multiple analysis; TURP, transurethral resection of the prostate; UA, unstable angina; VE, ventricular ectopy; VF, ventricular fibrillation; and VT, ventricular tachycardia.

#### Data Supplement 10. Assessment of LV Function (Section 5.2)

| Study Name, Author, Year                            | Aim of Study  | Study Type  | Study Size (N)          | Study Intervention Group (n) | Study Comparator Group (n) | Patient Population  |                    | Study Intervention | Study Comparator                         | Endpoints  |                             |  | P Values, OR: HR: RR & 95% CI:                 | Study Limitations & Adverse Events |
|---|---|-------------|-------------------------|------------------------------|----------------------------|---|--------------------|--------------------|--|--|-----------------------------|--|--|------------------------------------|
|   |   |             |                         |                              |                            | Inclusion Criteria  | Exclusion Criteria |                    |  | Primary Endpoint (efficacy) and Results                                | Safety Endpoint and Results | Secondary Endpoint and Results   |  |                                    |
| Baron JF, et al., 1994 (56) <a href="#">8107716</a> | Ability of LVEF (and ischemia by dipyridamole thallium stress) by MUGA to predict periop MACE | Prospective | 457                     | None                         | N/A                        | LVEF by MUGA undergoing elective abdominal aortic surgery | N/A                | None               | Pts with reduced LVEF vs. preserved LVEF | An LVEF <50% predicted cardiac complications (OR 2.1; 95% CI: 1.2–3.7) | N/A                         | EF<50% associated with postop HF (OR 4.6; 95% CI: 1.8–11.8) but not death (OR 1.3; 95% CI: 0.4–4.1), MI (OR 1.5; 95% CI: 0.5–4.4). Sensitivity of low EF to detect HF 25%; specificity 86% | N/A  | N/A                                |
| Kontos MC, et al., 1996                             | Ability of LVEF by TTE to predict   | Prospective | 96 procedures in 87 pts | None                         | N/A                        | LVEF by TTE undergoing moderate- or                       | N/A                | None               | Pts with reduced LVEF (or                | Major cardiac complications (MI, HF, arrhythmia) occurred              | N/A                         | N/A  | Sensitivity of low LVEF by ECG to predict MACE | N/A                                |

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| (57)<br><a href="#">8800025</a>                         | periop MACE and compare to dipyridole thallium stress |             | (56 vascular, 40 general) |      |     | high-risk noncardiac surgery                     |     |      | ischemia on thallium stress) vs. preserved LVEF | in 10 pts. Reduced LVEF preoperatively present in 29%.   |     |  | 86% (95% CI: 60%–96%) and specificity 81% (95% CI: 70%–88%). LVEF by echo more specific than dipyridamole thallium stress for prediction of events. |     |
| Halm EA, et al., 1996 (58)<br><a href="#">8779454</a>   | Ability of LVEF by TTE to predict periop MACE         | Prospective | 339                       | N/A  | N/A | Known or suspected CAD, major noncardiac surgery | N/A | N/A  | N/A   | Postop IEs (cardiac-related death, nonfatal MI, and UA), CHF, and VT. 10 pts (3%) had IEs; 26 (8%) had CHF; and 29 (8%) had VT. In univariate analyses, an EF<40% was associated with all cardiac outcomes combined (OR: 3.5; 95% CI: 1.8–6.7), CHF (OR: 3.0; CI: 1.2–7.4), and VT (OR: 2.6; CI: 1.1–6.2). In multivariable analyses that adjusted for known clinical risk factors, an EF<40% was a significant predictor of all outcomes combined (OR: 2.5; CI: 1.2–5.0) but not CHF (OR: 2.1; CI: 0.7–6.0) or VT [corrected] (OR: 1.8; CI: 0.7–4.7). | N/A | An EF <40% had a sensitivity of 28%-31% and a specificity of 87%-89% for all categories of adverse outcomes.     | N/A   | N/A |
| Rohde LE, et al., 2001 (59)<br><a href="#">11230829</a> | Ability of LVEF by TTE to predict periop MACE         | Prospective | 570                       | None | N/A | LVEF by TTE undergoing major noncardiac surgery  | N/A | None | Pts with reduced LVEF vs. preserved LVEF        | Preop systolic dysfunction was associated with postop MI, cardiogenic pulmonary edema (and major cardiac   | N/A | ECG data added significant information for pts at increased risk for cardiac complications by clinical criteria, | With low LVEF: MI (OR: 2.8; 95% CI: 1.1–7.0), cardiogenic pulmonary edema (OR: 3.2; 95% CI: 1.4–7.0),   | N/A |

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|   |  |               |     |     |     |   |     |     |     | complications |  | but not in otherwise low-risk pts  | and major cardiac complications (OR: 2.4; 95% CI: 1.3-4.5).  |     |
| Healy KO, et al., 2010 (3) <a href="#">20412467</a> | Determine the impact of LVEF on outcome in pts with HF undergoing noncardiac surgery | Retrospective | 174 | N/A | N/A | LVEF assessment in pts with HF undergoing intermediate or high risk noncardiac surgery. | N/A | N/A | N/A | Mortality     | MACE in 53 (31%), including 14 (8%) deaths within 30 d, 26 (14.9%) MI, and 44 (25.3%) HF exacerbations | Among the factors associated with adverse periop outcomes in the first 30 d were advanced age (e.g., >80 y), diabetes and a severely decreased EF (e.g., <30%) | Long-term mortality was high and Cox proportional hazards analysis demonstrated that EF was an independent risk factor for long term mortality | N/A |

CAD indicates coronary artery disease; CHF, congestive heart failure; CI, confidence interval; ECG, echocardiogram; EF, ejection fraction; HF, heart failure; HR, hazard ratio; IE, ischemic event; LV, left ventricular; LVEF, left ventricular ejection fraction; MACE, major adverse cardiac event; MI, myocardial infarction; MUGA, Multigated Acquisition Scan; N/A, not applicable; NS, nonsignificant; OR, odds ratio; periop, perioperative; postop, postoperative; preop, preoperative; pts, patients; RR, relative risk; TTE, transthoracic echocardiogram; UA, unstable angina; and VT, ventricular tachycardia.

### Data Supplement 11. Exercise Stress Testing for Myocardial Ischemia and Functional Capacity (Section 5.3)

| Study Name, Author, Year                             | Aim of Study   | Study Type    | Study Size (N) | Study Intervention Group (n) | Study Comparator Group (n) | Patient Population  |                    | Study Intervention | Study Comparator | Endpoints   |                             |                                | P Values, OR: HR: RR & 95% CI: | Study Limitations & Adverse Events        |
|--|--|---------------|----------------|------------------------------|----------------------------|---|--------------------|--------------------|------------------|---|-----------------------------|--------------------------------|--------------------------------|---|
|  |  |               |                |                              |                            | Inclusion Criteria  | Exclusion Criteria |                    |                  | Primary Endpoint (Efficacy) and Results   | Safety Endpoint and Results | Secondary Endpoint and Results |                                |   |
| Cutler BS, et al., 1981 (60) <a href="#">7223937</a> | Report of continuing experience with the electrocardiographically monitored arterial stress test in pts with peripheral vascular disease | Observational | 130            | N/A                          | N/A                        | Pts undergoing peripheral vascular reconstructive surgery | N/A                | N/A                | N/A              | Lowest risk group was pts who achieved 75% maximum predicted heart rate without MI and no cardiac complications. Highest risk group was 26 pts who had an ischemic response at <75% maximum predicted heart | None                        | None                           | N/A                            | No stats. Event rates we don't see today. |

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|  |   |                        |   |   |   |  |                |  |  | rate, 10 cardiac complications including 7 MIs (5 of which were fatal).  |      |      |  |   |
| Gerson MC, et al., 1985 (61) <a href="#">4062085</a> | To test whether objective assessment of rest and exercise LV function before elective noncardiac surgery is a more sensitive predictor of periop cardiac complications than data from pt Hx, physical exam, X-ray, lab ECG, and stress-rest radionuclide ventriculography | Consecutive series     | Preliminary study: 100 (50 men and 50 women); prospective study: 54 pts (25 men and 29 women) | N/A   | N/A   | Pts aged ≥65 y scheduled for major elective abdominal or noncardiac thoracic surgery | N/A            | N/A                                    | N/A  | Preliminary study: 13 pts (of 100) had a total of 22 major periop complications (cardiac death, VT or VF, MI, CHF) including 6 deaths. When radionuclide variables and clinical variables were entered into multivariate analysis that included preop Hx, physical examination, and x-ray, ECG, and chemical laboratory variables, individually and in combination, only resting radionuclide LV regional wall motion abnormality (p=0.002) and inability to exercise for 2 min to raise the heart rate above 99 bpm (p=0.006) were independent predictors of periop cardiac risk. | None | None | Preliminary study: Pts unable to bicycle at least 2 min to a heart rate >99 bpm had an 11-fold increase in the risk of developing a periop cardiac complication. Prospective study: 10 pts (out of 54) had a total of 12 periop complications including 2 deaths. The inability to bicycle 2 min to a heart rate >99 bpm was the only significant predictor of a periop cardiac complication (p<0.05). Inability to exercise had a sensitivity of 80% and specificity of 53% for prediction of periop cardiac complications. | Small sample size.                        |
| Arous EJ, et al., 1984 (62)                          | To determine the safest treatment option for the pt with  | Retrospective analysis | Out of 808 pts with AAA or peripheral occlusive   | 135 pts with ischemia on stress test: Group 1 (56 | 37 pts with no Hx of MI or symptoms of CAD with | Pts with AAA or peripheral occlusive disease of the                                  | None mentioned | Treadmill exercise (Bruce protocol) to | Pts with no Hx of MI or symptoms of CAD with | Positive exercise test (135): Group 1 (56) standard operation: MI in 15  | None | None | In the positive stress test group, the total incidence of MI,  | High rate of events compared with today's |

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| <a href="#">6610402</a>                                | combined coronary and PVD through a retrospective analysis of the postop course of pts with an ischemic response to treadmill exercise   |             | disease of the lower extremities who underwent ECG monitored stress tests, this study concerns 135 with an ischemic response to exercise and 37 pts with no Hx of MI or symptoms of CAD with normal ECGs at rest | pts) standard operation, Group 2 (23 pts) extra-anatomic bypass, Group 3 (10 pts) CABG and standard operation, and Group 4 (46 pts) no operation | normal ECGs at rest: Group 1 (21), Group 2 (2), Group 3 (4), and Group 4 (10) | lower extremities   |   | at least 75% max predicted heart rate; arm ergometer for those whose claudication precluded adequate treadmill exercise. Ischemia defined as new or additional ST segment depression of at least 1 mm. | normal ECGs at rest | (27%), fatal in 11; Group 2 (23) extra-anatomic bypass: 4 MI (17%), 3 fatal; Group 3 (10) CABG and standard operation: 0 MI; and Group 4 (46) no operation: 10 (22%) late fatal MI (1-5 y). No known CAD: Group 1 (21) 5 MI (24%), 4 fatal; Group 2 (2) 1 nonfatal MI (50%); Group 3 (4) 0 MI; and Group 4 (10) 1 late fatal MI (10%) |      |   | including both the postop and follow-up periods, was significantly reduced when Group 3 was compared with Group 1 (p=0.05).   | standards. Decision on type of surgery influenced by stress test results. Arm ergometry used for some pts, but how many is unclear. Not really a study of ischemia vs. no ischemia on stress test. |
| Carliner NH, et al., 1985 (63) <a href="#">4014040</a> | To determine if preop exercise testing would be useful for predicting risk in pts undergoing a wide variety of major surgical procedures | Prospective | 200  | N/A  | N/A   | Pts over 40 y of age scheduled to undergo elective major noncardiac surgery under general anesthesia. | Documented MI within 6 mo, UA, decompensated HF, hemodynamically significant AS, low-grade 4A and 4B ventricular arrhythmias at rest, uncontrolled HTN, physical disability and mental incompetence | Treadmill (134), bicycle (21), arm ergometer (43). Treadmill was modified Balke or modified Bruce protocol.  | N/A                 | 2 pts with markedly positive stress tests were excluded from further analysis. 6 pts (3%) had a primary endpoint (death or MI). Only 1 of these 6 pts had a positive ST segment response to exercise, 5 of the 6 pts had a maximal exercise capacity of <5 METs.  | None | On multivariate analysis, the preop ECG was the only factor that was a statistically significant predictor of postop outcome. A pt with an abnormal ECG was 3.2 times more likely to die postoperatively or MI or suspected myocardial ischemia/injury than was a pt with a normal ECG. | Postop death, MI, and suspected myocardial ischemia/injury occurred more frequently in pts who had an abnormal electrocardiographic response to exercise and/or an exercise capacity of <5 METs than in pts with neither of these findings; however, none of the exercise variables was statistically significant as an independent | Small number of primary events limits analysis. Mix of treadmill (67.7%), bike (10.6%), and arm (21.7%) exercise.  |

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|  |  |               |  |     |     |  |  |     |     |   |      |   | predictor of risk.   |   |
| Leppo J, et al., 1987 (64) <a href="#">3805515</a>   | It was hypothesized that the presence of thallium redistribution would be of prime importance in detecting those pts having coronary disease who have potentially jeopardized myocardium | Prospective   | 100 underwent dipyridamole thallium scintigraphy; 69 underwent exercise testing (56, Bruce protocol), 13 arm ergometry). 27 didn't undergo exercise because of physical limitations and 4 because of scheduling conflicts. | N/A | N/A | Consecutive pts admitted for elective aortic or limb vascular surgery.   | New or medically UA, recent (4-6 mo) MI.               | N/A | N/A | Of the 89 pts who underwent vascular surgery without cardiac catheterization, 15 had a perip MI (1 fatal and 10 non-Q wave infarctions). Only the presence of either an abnormal scan (p=0.001) or thallium redistribution (p=0.001) demonstrated a significant difference. | None | Although pts with ST depression and shorter total exercise time tended to have more events, these differences were not statistically significant. No events occurred in the 12 pts who were able to perform >9 min of exercise. | From the regression analysis, the predicted probability of a cardiac event in pts not having redistribution was 2±2% (1 of 47), but in pts with redistribution it was 33±7% (14 of 42). In the second regression analysis which included the 60 pts having both exercise and scan studies, only the presence of thallium redistribution was significant at step 0. | Relatively small number of patients undergoing exercise (69, and 13 of these were arm ergometry). High event rates not seen today.                        |
| McPhail N, et al., 1988 (65) <a href="#">3336127</a> | To report on their experience with the use of exercise testing in an effort to predict cardiac complications in pts requiring arterial repair  | Observational | 110, 9 excluded. Treadmill exercise in 61 pts (Bruce protocol) and arm ergometry in 40 pts.  | N/A | N/A | Consecutive pts requiring arterial surgery who had clinical evidence of significant CAD were referred for cardiac evaluation | 9 pts with recent MI (<6 mo), UA, or CHF were excluded | N/A | N/A | Contingency table analysis showed that maximum heart rate achieved during exercise was a significant predictor of complications (MI, CHF, malignant ventricular arrhythmias and cardiac death). Of 70 pts who achieved <85% of their predicted maximum heart                | None | Of 21 pts with a positive stress test (≥1 mm ST depression) who attained <85% of their predicted maximum heart rate, 7 (33.3%) developed cardiac complications. In contrast, no complications occurred among 9 pts              | The logistic regression analysis indicates that pts who achieved a high maximal heart rate during exercise had a low probability of developing cardiac complications (p=0.040). A similar result was observed when high METs   | Unclear selection of pts ("clinical evidence of significant CAD"). Relatively small number underwent treadmill exercise. High event rates not seen today. |

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|  |  |                    |     |     |     |  |  |     |     | rate, 17 (24.3%) developed complications. Only 2 (6.6%) of 30 pts who achieved >85% maximum predicted heart rate had complications (p=0.0396). The degree of ST segment depression that occurred with exercise was NS in predicting cardiac complications. |      | with ST depression of $\geq 1$ mm who were able to achieve 85% of their predicted maximum heart rate.   | was present (p=0.033). Note: 4 METs ~25% event rate.  |  |
| Sgura FA, et al., 2000 (66) <a href="#">11014727</a> | To determine the value of preop exercise testing with a supine bicycle in predicting periop cardiovascular events and long-term outcomes in pts scheduled for vascular surgery | Consecutive series | 149 | N/A | N/A | Underwent supine exercise testing and vascular surgery | Underwent vascular surgery or coronary revascularization before exercise testing | N/A | N/A | Cardiovascular events within 30 d of surgery: death, MI, cardiac arrest; 7% had periop cardiovascular events   | None | No significant association between exercise-induced ST depression, radionuclide angiographic factors, or any clinical variable (other than age) and periop cardiovascular events or long-term mortality | The level of peak exercise achieved was associated with periop CV events with 12% occurring in low-capacity pts (<4 METs), 3% occurring in intermediate-capacity pts (4–7 METs), and none in the high capacity pts (>7 METs) (p=0.03). Long-term survival rates were substantially less in the low-workload group than in intermediate- and high-workload groups (p=0.007). | Pts were selected who were felt to be capable of exercising. Selected group of pts for whom exercise radionuclide angiography was ordered. |

AAA indicates abdominal aortic aneurysm; CABG, coronary artery bypass graft; CAD, coronary artery disease; CHF, congestive heart failure; CV, cardiovascular; ECG, echocardiogram; HR, hazard ratio; Hx, history; LV, left ventricular; MET; MI, myocardial infarction, N/A, not applicable; NS, nonsignificant; periop, perioperative; preop, preoperative; pts, patients; PVD, peripheral vascular disease; UA, unstable angina; VF, ventricular fibrillation; and VT, ventricular tachycardia.

**Data Supplement 12. Cardiopulmonary Exercise Testing (Section 5.4)**

| Study Name, Author, Year                               | Aim of Study   | Study Type         | Study Size (N) | Study Intervention Group (n) | Study Comparator Group (n) | Patient Population                 |                    | Study Intervention | Study Comparator | Endpoints   |                             |                                | P Values, OR: HR: RR & 95% CI:  | Study Limitations & Adverse Events   |
|--|--|--------------------|----------------|------------------------------|----------------------------|------------------------------------|--------------------|--------------------|------------------|---|-----------------------------|--------------------------------|---|--|
|  |  |                    |                |                              |                            | Inclusion Criteria                 | Exclusion Criteria |                    |                  | Primary Endpoint (Efficacy) and Results   | Safety Endpoint and Results | Secondary Endpoint and Results |   |  |
| Hartley RA, et al., 2012 (67) <a href="#">23001820</a> | To evaluate whether preop CPET is useful in the prediction of 30- and 90-d mortality in pts undergoing elective open AAA repair and EVAR | Prospective cohort | 415            | N/A                          | N/A                        | Pts undergoing AAA repair and CPET | None given         | N/A                | N/A              | On multivariable analysis, open repair, AT <10.2 mL/kg/min, anemia and inducible cardiac ischemia were associated with 30-d mortality. Anemia, inducible cardiac ischemia and peak VO2 <15 mL/kg/min were associated with 90-d mortality on multivariable analysis. Pts with ≥2 subthreshold CPET values were at increased risk of both 30- and 90-d mortality. | None                        | None                           | On multivariable analysis, open repair (OR: 4.92; 95 % CI: 1.55–17.00; p=0.008), AT below 10.2 mL/kg/min (OR: 6.35; 95 % CI: 1.84–29.80; p=0.007), anemia (OR: 3.27; 95 % CI: 1.04–10.50; p=0.041) and inducible cardiac ischemia (OR: 6.16; 95 % CI: 1.48–23.07; p=0.008) were associated with 30-d mortality. Anemia, inducible cardiac ischemia and peak VO2 <15 mL/kg/min (OR: 8.59; 95 % CI: 2.33–55.75; | Observational study, relatively small number of deaths (6 in EVAR group and 8 with open AAA repair at 30 d and 11 EVAR/8 open repair at 90 d), mix of EVAR and open repair |

|   |   |                    |                                       |   |   |  |  |     |     |  |      |   |  |   |
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|   |   |                    |                                       |   |   |  |  |     |     |  |      |   | p=0.005) were associated with 90-d mortality on multivariable analysis. Pts with $\geq 2$ subthreshold CPET values were at increased risk of both 30- and 90-d mortality.  |   |
| Thompson AR, et al., 2011 (68) <a href="#">21929919</a> | To assess the usefulness of CPET and the Detsky score to predict midterm mortality in AAA pts assessed for open repair. Secondary aim to compare ability of CPET and other scores to predict 30-d periop mortality. | Prospective cohort | 102                                   | 66 (deemed "fit" by CPET variables, comorbidities, and size of AAA) | 36 (deemed "unfit" by CPET variables, comorbidities, and size of AAA) | Consecutive pts undergoing AAA repair                                  | None given                                   | N/A | N/A | Midterm (30-mo) survival was predicted by the anaerobic threshold (p=0.02).  | None | None of the scoring tools were able to predict 30-d major morbidity or mortality as defined by periop complications (p>0.05)  | Midterm (30-mo) survival was predicted by the anaerobic threshold (p=0.02)   | Lack of detail on cause of death, relatively small numbers total, and deaths (1 30-day death), not clear what "cardiac events" were |
| Prentis JM, et al., 2012 (69) <a href="#">22858436</a>  | To assess the use of CPET to predict morbidity in unselected pts scheduled for elective EVAR or open AAA repair   | Observational      | 185 pts (101 EVAR and 84 open repair) | N/A   | N/A   | "Unselected" pts undergoing EVAR or open AAA repair at a single center | AT not confidently determined from CPET data | N/A | N/A | Open repair: AT was a significant independent predictor of postop complications and hospital LOS. EVAR: No independent variables were significantly predictive of major postop complications on univariate analysis. No multivariate | None | Open repair: The in-hospital mortality rate was 5 of 84 (5.9%). 3 of 27 pts (11.1%) were in the unfit group (AT<10) compared with 2 of 58 (3.4%) in the fit group (AT>10), both of whom had an AT <12 mL/min/kg. Open repair: Cardiac complications (MI, LV failure, major arrhythmias) 18.5% unfit vs. 3.5% fit, p=0.03. | Open repair: ROC curve analysis showed that 10.0 mL/min/kg was the optimal AT level to predict those at risk for increased rates of postop complications. This was sensitive (70%) and specific (86%), with good accuracy (area under the curve, 0.75; 95% CI: 0.63– | Single center. Not consecutive pts although "unselected." No mortality data.  |

|  |  |   |  |     |     |   |   |     |     |   |      |   |  |  |
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|  |  |   |  |     |     |   |   |     |     | analysis was performed.   |      |   | 0.83; p=0.001).  |  |
| Carlisle J, et al., 2007 (70) <a href="#">17440956</a> | To review whether preop fitness, measured by CPET, correlated with survival following elective open AAA repair | Observational                           | 130 (37 pts did not undergo CPET and weren't analyzed) | N/A | N/A | Pts undergoing AAA repair   | Did not undergo CPET  | N/A | N/A | Multivariable analyses indicated that survival, to both 30 d and for the total observation period, correlated best with VE/VCO2. The risk of death was greater with higher values of VE/VCO2. The RCRI was significantly associated with midterm survival, as was the AT, but to a lesser degree. | None | Unfit pts had an RCRI >1 and a VE/VCO2 of >42. Fit pts had an RCRI of 1 (and any VE/VCO2), or an RCRI >1 but a VE/VCO2 lower than 43. There were 30 unfit pts and 100 fit pts.    | Multivariable analysis of midterm (median 35 mo) survival: VE/VCO2 HR: 1.13 (CI: 1.07–1.19; p<0.001); RCRI HR: 1.76 (CI: 1.07–1.19; p=0.006); AT HR: 0.84 (CI: 0.72–0.98; p=0.033). The 2-y survival rate was 55% for unfit pts and 97% for fit pts; the absolute difference was 42% (95% CI: 18%–65%; p<0.001). | Single center, observational, unclear selection of CPET variable cutoffs                             |
| Older P, et al., 1993 (71) <a href="#">8365279</a>     | To compare the extent of cardiac failure classified by AT and postop mortality                                 | Prospective cohort                      | 187  | N/A | N/A | Pts >60 y of age scheduled for major abdominal surgery ("likely to cause a significant increase in oxygen demand, e.g., AAA resection, anterior resection of the rectum") | Could not complete CPET (4 of 191 pts)                                  | N/A | N/A | 10 CV deaths in 55 pts (18%) with AT <11 mL/kg/min vs. 1 CV death in 132 pts (0.8%) with AT of ≥11 mL/kg/min (p<0.001)  | None | 42% mortality in the 19 pts with an AT of <11 mL/min/kg and preop ischemia (h/o MI, angina or ischemia on CPET) vs. 4% mortality in the 25 pts with AT >11 and ischemia (p<0.01). | 10 CV deaths in 55 pts (18%) with AT <11 mL/kg/min vs. 1 CV death in 132 pts (0.8%) with AT of ≥11 mL/kg/min (p<0.001)   | Single center, not blinded to results (all pts with ischemic tests admitted to ICU regardless of AT) |
| Snowden CP, et al., 2010 (72) <a href="#">20134313</a> | To test the null hypothesis that CPET does not improve preop assessment of pt risk of postop                   | Prospective, single center cohort study | 171 (123 went on for operation and 48 did not; 7       | N/A | N/A | Pts planned to undergo major elective surgery (AAA repairs, aortobifem grafts, liver  | Emergency and elective colorectal, urological, or orthopedic operations | N/A | N/A | POMS on postop d 7  | None | Cardiovascular complication rate was 25% in pts with AT <10.1 mL/kg/min and 3% in those with AT   | Receiver operator curve analysis showed an optimal AT threshold level of 10.1  | Size and selected nature of the chosen pt cohort. 48 pts did not undergo planned                     |

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|  | complications when compared to a questionnaire-based assessment method   |  | pts did not achieve AT leaving 116 for analysis) |     |     | resections, pancreatic and large retroperitoneal intra-abdominal sarcoma surgery) and low subjective functional capacity based on clinical Hx |  |     |     |                    |      | >10.1 mL/kg/min (p=0.0005). Note POMS definition of CV complication: Diagnostic tests or therapy within the last 24 h for any of the following: new MI or ischemia, hypotension (requiring fluid therapy >200 mL/h or pharmacological therapy), atrial or ventricular arrhythmias, pulmonary edema, thrombotic event (requiring anticoagulation). | mL/kg/min to predict those at risk for increased rates of postop complications. This was highly sensitive (88%) and specific (79%) with high degree of accuracy (area under the curve 0.85; 95% CI: 0.78–0.91; p=0.001).  | procedure. No comment on mortality.              |
| Snowden CP, et al., 2013 (73) <a href="#">23665968</a> | To assess the relationship between cardiopulmonary fitness and age upon mortality and LOS in an unselected group of pts undergoing major hepatobiliary surgery | Single center prospective cohort study | 389  | N/A | N/A | All pts being considered for major hepatobiliary surgery (liver resection, Whipple, retroperitoneal intra-abdominal sarcoma excision)         | Major surgery not performed because of extensive malignancy, laparoscopic rather than open procedure performed, or pts did not exercise enough to reach AT | N/A | N/A | Hospital mortality | None | Critical care and hospital LOS  | Multivariate regression identified anaerobic threshold as the most significant independent predictor for postop mortality from the exercise variables in this population of major surgical pts (OR: 0.52; p=0.003; beta=-0.657). ROC analysis demonstrated an optimal anaerobic threshold level of 10 mL/min/kg with good | Limited to hepatobiliary surgery. Single center. |

|  |   |   |                                       |                  |                                     |  |  |                            |  |  |                                   |  |  |   |
|--|---|---|---------------------------------------|------------------|-------------------------------------|--|--|----------------------------|--|--|-----------------------------------|--|--|---|
|  |   |   |                                       |                  |                                     |  |  |                            |  |  |                                   |  | accuracy (area under curve =0.75; 95% CI: 0.65–0.85; p=0.0001).  |   |
| Wilson RJT, et al., 2010 (74) <a href="#">20573634</a> | To evaluate whether CPET variables and clinical data from Lee's cardiac risk index are useful predictors of all cause hospital and 90-d mortality in pts undergoing nonvascular intra-abdominal surgery | Retrospective analysis of anonymized data | 847                                   | N/A              | N/A                                 | All pts aged >55 y being considered for colorectal surgery, bladder, or kidney cancer excision who performed or attempted a CPET as part of their routine preop evaluation at the Preassessment Clinic | Pts who did not proceed to planned surgery were excluded from analysis | N/A                        | N/A  | An AT of $\leq 10.9$ mL/kg/min, a VE/VCO <sub>2</sub> of $\geq 34$ , and a Hx of ischemic heart disease were all associated with an increased relative risk for all-cause hospital mortality. The overall presence of any $\geq 1$ of the Lee's cardiac risk factors was not significantly associated with an increased risk of mortality. | None                              | None   | Nonsurvival: For AT of $\leq 10.9$ , RR: 6.8 (95% CI: 1.6–29.5); for VE/VCO <sub>2</sub> of $\geq 34$ , RR: 4.6 (95% CI: 1.4–14.8). Survival at 90 d was significantly greater in pts with an AT of $\geq 11$ (p=0.034), in pts with VE/VCO <sub>2</sub> <34 (p=0.021), and in pts without IHD (p=0.02). | Low incidence of all-cause mortality (2.1% in hospital and 4.1% at 90 d)  |
| Older P, et al., 1999 (41) <a href="#">10453862</a>    | To test a strategy of postop triage based on CPET results   | Prospective consecutive series            | 548 pts                               | 153 to ICU       | Pts sent to HDU (115) or ward (280) | Pts over 60 y of age scheduled for major surgery or <60 but had previous diagnosis of myocardial ischemia or cardiac failure   | Pts undergoing thoracic surgery  | AT <11 to ICU (28% of pts) | Pts with AT >11 with inducible ischemia or VE/VO <sub>2</sub> >35 (21%) admitted to HDU; all others (51%) admitted to general ward | 4.6% mortality in pts with AT <11  | 0.5% mortality in pts with AT >11 | None   | None given   | Confounding of CPET results and postop care, but should have improved outcomes in higher risk pts. Lack of stats. |
| Junejo MA, et al., 2012 (75) <a href="#">22696424</a>  | To evaluate the role of CPET in periop risk assessment in pts undergoing  | Single center prospective cohort study    | 94 with CPET and surgery; 2 could not | 94 in CPET group | 23 pts deemed low risk              | Pts over 65 y, younger pts with comorbidity and those likely to require complex  | None given   | N/A                        | N/A  | Death within 30 d of operation   | None                              | In-hospital deaths, LOS in ICU and high dependency unit, overall hospital stay and | AT was the only preop marker associated with postop in-hospital  | AT cutoff derived from high-risk group; small number of in-hospital   |

|  |                   |  |                                   |  |  |                          |  |  |  |  |  |                                  |  |  |
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|  | hepatic resection |  | attain AT leaving 92 for analysis |  |  | resection underwent CPET |  |  |  |  |  | longer-term survival (up to 4 y) | mortality (OR: 0.48; 95% CI: 0.25–0.94; p=0.032). ROC curve analysis identified a cut-off at 9.9 mL/kg/min that provided 100% sensitivity and 76% specificity, with a PPV of 19% (95% CI: 9%–38%) and a NPV of 100% (95% CI: 94–100). Pts with an AT $\geq$ 9.9 mL/kg/min had improved long-term survival (median duration 1,067 d) compared with pts with a lower value (p=0.038), but worse survival than those low-risk pts who did not undergo CPET (p=0.038). | deaths (4.2% in whole group); CPET data available to managing clinicians; heterogeneous group in terms of type of resection and tumor histopathology |
|--|-------------------|--|-----------------------------------|--|--|--------------------------|--|--|--|--|--|----------------------------------|--|--|

AAA indicates abdominal aortic aneurysm; AT, anaerobic threshold; CI, confidence interval; CPET, cardiopulmonary exercise stress test; EVAR, endovascular aneurysm repair; HR, hazard ratio; ICU, intensive care unit; LOS, length of stay; LV, left ventricular; MI, myocardial infarction; N/A, not applicable; NPV, net predictive value; OR, odds ratio; periop, perioperative; POMS, postoperative morbidity survey; postop, postoperative; PPV, positive predictive value; preop, preoperative; RCRI, Revised Cardiac Risk Index; ROC, receiver operating characteristic; and VE/VO<sub>2</sub>, ventilatory equivalent of oxygen.

**Data Supplement 13. Pharmacological Stress Testing (Section 5.5)**

| Study Name, Author, Year                                  | Aim of Study   | Study Type                  | Study Size (N) | Study Intervention Group (n) | Patient Population       |                    | Study Intervention | Study Comparator | Endpoints                               |   |   | P Values, OR: HR: RR & 95% CI: | Study Limitations & Adverse Events |
|---|--|-----------------------------|----------------|------------------------------|--------------------------|--------------------|--------------------|------------------|---|---|---|--------------------------------|------------------------------------|
|   |  |                             |                |                              | Inclusion Criteria       | Exclusion Criteria |                    |                  | Primary Endpoint (Efficacy) and Results | Safety Endpoint and Results   | Secondary Endpoint and Results  |                                |                                    |
| Beattie WS, et al., 2006 (76)<br><a href="#">16368798</a> | Compare SE vs. MPI in preop evaluation prior to noncardiac surgery | Meta-analysis of 68 studies | 10,049         | N/A                          | Preop noncardiac surgery | N/A                | N/A                | MI and/or death  | MI and/or death                         | LR for SE more indicative of postop cardiac event vs. TI (LR: 4.09; 95% CI: 3.21–6.56 vs. LR: 1.83; 1.59–2.10; p<0.001). This difference was attributable to fewer false negative SEs. No difference in ROC curves (SE: 0.80; 95% CI: 0.76–0.84 vs. TI: 0.75; 95% CI: 0.70–0.81). | A moderate-to-large defect, seen in 14% of pts by either method predicts a postop cardiac event (LR: 8.35; 95% CI: 5.6–12.45) | N/A                            | N/A                                |

CI indicates confidence interval; LR, likelihood ratio; MI, myocardial infarction; MPI, myocardial perfusion imaging; N/A, not applicable; postop, postoperative; preop, preoperative; ROC, receiver operating characteristic; SE, stress echocardiography; and TI, thallium imaging.

**Data Supplement 14. Radionuclide MPI (Section 5.5.2)**

| Study Name, Author, Year                                | Aim of Study                  | Study Type                   | Study Size (N) | Patient Population          |                    | Ischemia | Endpoints                               |                             |                                | P Values, OR: HR: RR & 95% CI: |
|---|-------------------------------|------------------------------|----------------|-----------------------------|--------------------|----------|---|-----------------------------|--------------------------------|--------------------------------|
|   |                               |                              |                | Inclusion Criteria          | Exclusion Criteria |          | Primary Endpoint (Efficacy) and Results | Safety Endpoint and Results | Secondary Endpoint and Results |                                |
| Eagle KA, et al., 1989 (77)<br><a href="#">8653858</a>  | Periop risk assessment by MPI | Single center, retrospective | 200            | Vascular surgery            | N/A                | 41%      | Periop events: PPV: 16%; NPV: 98%       | N/A                         | N/A                            | N/A                            |
| Younis LT, et al., 1990 (78)<br><a href="#">2353615</a> | Periop risk assessment by MPI | Single center, retrospective | 111            | Peripheral vascular disease | N/A                | 36%      | Periop events: PPV: 15%; NPV: 100%      | N/A                         | N/A                            | N/A                            |
| Hendel RC, et al., 1992 (79)<br><a href="#">1442573</a> | Periop risk assessment by MPI | Single center, retrospective | 327            | N/A                         | N/A                | 51%      | Periop events: PPV: 14%; NPV: 99%       | N/A                         | N/A                            | N/A                            |
| Lette J, et al., 1992 (80)<br><a href="#">1598869</a>   | Periop risk assessment by MPI | Single center, retrospective | 355            | N/A                         | N/A                | 45%      | Periop events: PPV: 17%; NPV: 99%       | N/A                         | N/A                            | N/A                            |

|   |  |                              |        |                          |     |     |                                    |   |   |   |
|---|--|------------------------------|--------|--------------------------|-----|-----|------------------------------------|---|---|---|
| Brown KA, et al., 1993 (81)<br><a href="#">8425993</a>    | Periop risk assessment by MPI                                      | Single center, retrospective | 231    | N/A                      | N/A | 33% | Periop events: PPV: 13%; NPV: 99%  | N/A   | N/A   | N/A   |
| Bry JD, et al., 1994 (82)<br><a href="#">8301724</a>      | Periop risk assessment by MPI                                      | Single center, retrospective | 237    | N/A                      | N/A | 46% | Periop events: PPV: 11%; NPV: 100% | N/A   | N/A   | N/A   |
| Marshall ES, et al., 1995 (83)<br><a href="#">7572662</a> | Periop risk assessment by MPI                                      | Single center, retrospective | 117    | N/A                      | N/A | 47% | Periop events: PPV: 16%; NPV: 97%  | N/A   | N/A   | N/A   |
| Stratman HG, et al., 1996 (84)<br><a href="#">8615311</a> | Periop risk assessment by MPI                                      | Single center, retrospective | 229    | Nonvascular surgery      | N/A | 29% | Periop events: PPV: 6%; NPV: 99%   | N/A   | N/A   | N/A   |
| Cohen MC, et al., 2003 (85)<br><a href="#">14569239</a>   | Periop risk assessment by MPI                                      | Single center, retrospective | 153    | N/A                      | N/A | 31% | Periop events: PPV: 4%; NPV: 100%  | N/A   | N/A   | N/A   |
| Harafuji K, et al., 2005 (86)<br><a href="#">15849442</a> | Periop risk assessment by MPI                                      | Single center, retrospective | 302    | N/A                      | N/A | 30% | Periop events: PPV: 2%; NPV: 100%  | N/A   | N/A   | N/A   |
| Beattie WS, et al., 2006 (76)<br><a href="#">16368798</a> | Compare SE vs. MPI in preop evaluation prior to noncardiac surgery | Meta-analysis of 68 studies  | 10,049 | Preop noncardiac surgery | N/A | N/A | Outcomes: MI and/or death          | There were no differences in ROC curves between SE and TI (SE: 0.80; 95% CI: 0.76–0.84 vs. TI: 0.75; 95% CI: 0.70–0.81) | A moderate-to-large defect, seen in 14% of pts, by either method predicts a postop cardiac event (LR: 8.35; 95% CI: 5.6–12.45). | LR for SE more indicative of postop cardiac event vs. TI (LR: 4.09; 95% CI: 3.21–6.56 vs. TI: 1.83; 95% CI: 1.59–2.10; p<0.001); this difference was attributable to fewer false negative SEs |

CI indicates confidence interval; LR, likelihood ratio; MPI, myocardial perfusion imaging; N/A, not available; NPV, net present value; periop, perioperative; postop, postoperative; PPV, positive predictive value; ROC, receiver operating characteristic; SE, stress echocardiography; and TI, thallium imaging.

### Data Supplement 15. Dobutamine Stress Echocardiography (Section 5.5.3)

| Study Name, Author, Year                               | Aim of Study                  | Study Type                   | Study Size (N) | Patient Population           | Events (MI/death) | Ischemia, % | Endpoints                               |                                | P Values, OR: HR: RR & 95% CI:   | Study Limitations & Adverse Events |
|--|-------------------------------|------------------------------|----------------|------------------------------|-------------------|-------------|---|--------------------------------|----------------------------------|------------------------------------|
|  |                               |                              |                |                              |                   |             | Primary Endpoint (Efficacy) and Results | Secondary Endpoint and Results |                                  |                                    |
|  |                               |                              |                | <b>Inclusion Criteria</b>    |                   |             |   |                                |                                  |                                    |
| Lane RT, et al., 1991 (87)<br><a href="#">1927965</a>  | Periop risk assessment by DSE | Single center, retrospective | 38             | Vascular and general surgery | 8%                | 50%         | PPV 16%, NPV 100%                       | N/A                            | N/A                              | N/A                                |
| Lalka SG. et al., 1992 (88)<br><a href="#">1578539</a> | Periop risk assessment by DSE | Single center, retrospective | 60             | Abdominal aortic surgery     | 15%               | 50%         | PPV 23%, NPV 93%                        | N/A                            | Event rate 29% vs. 4.6%, p=0.025 | N/A                                |

|  |  |                              |     |                                |     |     |                                   |  |     |   |
|--|--|------------------------------|-----|--------------------------------|-----|-----|-----------------------------------|--|-----|---|
| Eichelberger JP, et al., 1993 (89) <a href="#">8362778</a> | Periop risk assessment by DSE  | Single center, prospective   | 75  | Major vascular surgery         | 3%  | 36% | PPV 7%, NPV 100%                  | N/A  | N/A | N/A   |
| Langan EM, et al., 1993 (90) <a href="#">8264046</a>       | Periop risk assessment by DSE  | Single center, retrospective | 74  | Aortic surgery                 | 4%  | 24% | PPV 17%, NPV 100%                 | N/A  | N/A | Surgery deferred in 4 highly positive DSE who proceeded with CABG                   |
| Davila-Roman V, et al., 1993 (91) <a href="#">8450165</a>  | Periop risk assessment by DSE  | Single center, prospective   | 88  | Aortic and LE PVD surgery      | 2%  | 23% | PPV 10%, NPV 100%                 | Abnormal DSE associated with increased long-term event rate also (15% vs. 3%; p=0.038)   | N/A | N/A   |
| Shafritz R, et al., 1997 (92) <a href="#">9293826</a>      | Periop risk assessment by DSE, comparison to historical cohort without preop DSE             | Single center, retrospective | 42  | Aortic surgery                 | 2%  | 0%  | NPV 100%                          | No difference in overall mortality (2.3% vs. 4.4%) or cardiac mortality (0% vs. 2.9%) in those who had preop DSE testing vs. those who did not                                 | N/A | N/A   |
| Bossone, 1999 (93) <a href="#">10469973</a>                | Periop risk assessment by DSE  | Single center, prospective   | 46  | Lung-volume reduction surgery  | 2%  | 9%  | PPV 25%, NPV 100%                 | N/A  | N/A | N/A   |
| Ballal RS, et al., 1999 (94) <a href="#">10047628</a>      | Periop risk assessment by DSE  | Single center, prospective   | 233 | Major vascular surgery         | 3%  | 17% | PPV 0%, NPV 96%                   | N/A  | N/A | Surgery deferred in 8 highly positive DSE who proceeded with PCI                    |
| Das MK, et al., 2000 (95) <a href="#">10807472</a>         | Periop risk assessment by DSE  | Single center, prospective   | 530 | Nonvascular surgery            | 6%  | 40% | PPV 15%, NPV 100%                 | High risk study (defined as ischemia before 60% of age-predicted heart rate threshold) associated event rate of 43%. Incremental risk prediction over clinical characteristics | N/A | N/A   |
| Morgan PB, et al., 2002 (96) <a href="#">12198027</a>      | Periop risk assessment by DSE  | Single center, retrospective | 78  | Vascular and general surgery   | 0%  | 5%  | PPV 0, NPV 100%                   | N/A  | N/A | All 4 pts with ischemia underwent preop coronary angiography +/- PCI.               |
| Torres MR et al., 2002 (97) <a href="#">12127610</a>       | Periop risk assessment by DSE  | Single center, prospective   | 105 | Predominantly vascular surgery | 10% | 47% | PPV 18%, NPV 98%                  | N/A  | N/A | Beta-blocker therapy given on basis of DSE, 4 pts had surgery deferred for PCI/CABG |
| Labib SB, et al., 2004 (98) <a href="#">15234412</a>       | Periop risk assessment by DSE, comparison of maximal vs. submaximal achieved peak heart rate | Single center, prospective   | 429 | 1/3 vascular surgery           | 2%  | 7%  | PPV 9%, NPV 98%                   | High NPV even when peak heart rate not achieved  | N/A | N/A   |
| Raux M, et al., 2006 (99)                                  | Periop risk assessment by a  | Single center, retrospective | 143 | Abdominal aortic surgery       | N/A | N/A | NPV 93% events predominantly were | N/A  | N/A | All with abnormal DSE underwent coronary  |

|   |   |                              |     |                                   |                              |       |  |   |     |   |
|---|---|------------------------------|-----|-----------------------------------|------------------------------|-------|--|---|-----|---|
| <a href="#">16973646</a>                                | negative DSE and incidence of elevated troponin |                              |     |                                   |                              |       | nonclinical elevated troponin measures |   |     | angiogram +/- PCI prior to surgery  |
| Umphrey LG, et al., 2008 (100) <a href="#">18508373</a> | Periop risk assessment by DSE                   | Single center, retrospective | 157 | Orthotropic liver transplantation | 3.80%                        | 0%    | NPV                                    | Inability during DSE to achieve >80% of targeted heart rate associated with increased cardiac events (22% vs. 6%; p=0.01) | N/A | N/A   |
| Lerakis S, et al., 2007 (101) <a href="#">18219774</a>  | Periop risk assessment by DSE                   | Single center, retrospective | 539 | Bariatric surgery                 | 0.05% (all noncardiac death) | 1.20% | N/A                                    | N/A   | N/A | All with abnormal DSE underwent coronary angiogram +/- PCI prior to surgery |
| Nguyen P, et al., 2013 <a href="#">23974907</a>         | Periop risk assessment by DSE                   | Pooled analysis of 7 studies | 580 | Orthotropic liver transplantation | N/A                          | N/A   | PPV 37%, NPV 75%                       | N/A   | N/A | N/A   |

CABG indicates coronary artery bypass graft; DSE, dobutamine stress echocardiography; N/A, not available; NPV, net predictive value; PCI, percutaneous coronary intervention; periop, perioperative; PPV positive predictive value; preop, preoperative; and PVD, peripheral valvular disease.

#### Data Supplement 16. Preoperative Coronary Angiography (Section 5.7)

| Aim of Study                                       | Study Type | Study Size (N) | Study Intervention Group (n) | Study Comparator Group (n) | Patient Population       |                    | Study Intervention  | Study Comparator      | Endpoints                               |  | P Values, OR: HR: RR & 95% CI:    | Study Limitations & Adverse Events                           |
|--|------------|----------------|------------------------------|----------------------------|--------------------------|--------------------|---------------------|-----------------------|---|--|-----------------------------------|--|
|  |            |                |                              |                            | Inclusion Criteria       | Exclusion Criteria |                     |                       | Primary Endpoint (Efficacy) and Results | Secondary Endpoint and Results                       |                                   |  |
| Monaco et al., 2009 (102) <a href="#">19729114</a> | RCT        | 208            | 105                          | 103                        | Vascular surgery, CRI ≥2 | N/A                | Routine angiography | Selective angiography | L/T MACE (58±17 mo): p=0.01             | MACE by 30 d preop: 11.7% selective vs. 4.8% routine | L/T MACE p=0.003; 30 d MACE p=0.1 | Small sample size, unblinded; recruit/random methods unclear |

CABG indicates coronary artery bypass graft; CRI, cardiac risk index; DSE, dobutamine stress echocardiography; MACE, major adverse cardiac event; NCS, noncardiac surgery; NPV, net predictive value; PCI, percutaneous coronary intervention; PPV, positive predictive value; preop, preoperative; and RCT, randomized controlled trial.

**Data Supplement 17. Coronary Revascularization Prior to Noncardiac Surgery (Section 6.1)**

| Study Name, Author, Year                               | Aim of Study   | Study Type | Study Size (N) | Study Intervention Group (n) | Study Comparator Group (n) | Patient Population |                                      | Study Intervention              | Study Comparator | Endpoints  |                                | P Values, OR: HR: RR & 95% CI:  |
|--|--|------------|----------------|------------------------------|----------------------------|--------------------|--------------------------------------|---------------------------------|------------------|--|--------------------------------|---|
|  |  |            |                |                              |                            | Inclusion Criteria | Exclusion Criteria                   |                                 |                  | Primary Endpoint (Efficacy) and Results                          | Secondary Endpoint and Results |   |
| McFalls EO, et al., 2004 (36) <a href="#">15625331</a> | Revascularization vs. medical therapy before elective major vascular surgery | RCT        | 510            | 258                          | 252                        | Vascular surgery   | Urgent/emergency: UA; LM; EF<20%; AS | Revascularization (CABG or PCI) | Medical therapy  | Death (30 d) 3.1% (revascularization) vs. 3.4% (medical therapy) | Lost to follow up: death 2.7 y | Primary endpoint p=0.87; secondary endpoint p=0.92 (RR: 0.98; 95% CI: 0.7–1.37) |

AS indicates aortic stenosis; CABG, coronary artery bypass graft; CI, confidence interval; EF, ejection fraction; PCI, percutaneous coronary intervention; RCT, randomized controlled trial; RR, relative risk; and UA, unstable angina.

**Data Supplement 18. Timing of Elective Noncardiac Surgery in Patients With Previous PCI (Section 6.1.1)**

**Table 1. Risk of NCS Following PCI With BMS and Risk of NCS Following PCI With DES**

| Author, Year   | Study Type    | Study Size (n) | Type of Surgery (%) |              |      |         |         | PCI to NCS (d)           | MACE                               |                          | APT in Perioperative Period (%) |                             |      | Major Bleeding                           |                         | Study Limitations  | Risk of NCS in Stented Pt  |
|--|---------------|----------------|---------------------|--------------|------|---------|---------|--------------------------|------------------------------------|--------------------------|---------------------------------|-----------------------------|------|--|-------------------------|--|--|
|  |               |                | Low                 | Intermediate | High | Cardiac | Unknown |                          | Endpoints                          | (%)                      | ASA                             | P2Y <sub>12</sub> Inhibitor | DAPT | Endpoints                                | (%)                     |  |  |
| <b>Risk of NCS following PCI With BMS</b>              |               |                |                     |              |      |         |         |                          |                                    |                          |                                 |                             |      |  |                         |  |  |
| Kaluza, 2000 (103) <a href="#">10758971</a>            | Retrospective | 40             | N/A                 | 33           | 65   | 2       | N/A     | 13                       | Death, MI                          | 20, 17.5                 | 5                               | 12.5                        | 2.5  | Tx or reoperation                        | 27                      | SC, small sample size, retrospective, APT status not well described  | All MACE <2 wk after PCI, emphasizing high-risk early period   |
| Wilson, 2003 (104) <a href="#">12875757</a>            | Retrospective | 207            | N/A                 | 36           | 58   | N/A     | 6       | 1–60                     | Death, MI, ST or revascularization | 4                        | 51                              | 14                          | 26   | “Excessive” surgical site bleed, Tx      | 2, 33                   | Retrospective, SC  | All events occurred within first 6 wk  |
| Sharma AK, et al., 2004 (105) <a href="#">15390248</a> | Retrospective | 47             | N/A                 | 68           | 30   | N/A     | 2       | <21 (n=27); 21–90 (n=20) | Death or MI                        | 25 (<21 d), 15 (21–90 d) | N/A                             | 74 (<21 d), 70 (21–90 d)    | N/A  | Tx, reoperation                          | 29 (<21 d), 0 (21–90 d) | Small sample size, retrospective, APT status not well described, SC, 6/7 deaths in first 21 d considered probable ST | Study confined to early phase NCS pt. 6/7 IE in pts who discontinued DAPT. This study suggests importance of continuation of DAPT during early period. |
| Reddy, 2005  | Retrospective | 56             | 10                  | 60           | 20   | N/A     | 10      | <42                      | MI or CVD                          | 14                       | 79*                             | 32*                         | N/A  | Reoperation, Tx >2 PRBC, Hb drop >2 g/dL | 5                       | Small sample size, retrospective, APT status not well described, SC.   | All IE occurred within 42 d of PCI, emphasizing  |

|   |               |                          |     |     |     |     |     |     |  |  |       |    |                      |                                    |  |  |   |
|---|---------------|--------------------------|-----|-----|-----|-----|-----|-----|--|--|-------|----|----------------------|------------------------------------|--|--|---|
| (106)<br><a href="#">15757604</a>               |               |                          |     |     |     |     |     |     |  |  |       |    |                      | or IC, IO or RP bleed              |  | All 3 bleeding episodes were in pts receiving P2Y12 inhibitor.   | high risk early period  |
| Brichon, 2006 (107)<br><a href="#">16996274</a> | Retrospective | 32                       | N/A | 100 | N/A | N/A | N/A | <90 | ST   | 9  | 66    | 0  | 0                    | Hemothorax or RP bleed             | 10   | Small sample size, retrospective. 30% of pts received only heparin   | ST rather higher (9%) within 3 mo of stenting and lung surgery  |
| Nuttal, 2008 (108)<br><a href="#">18813036</a>  | Retrospective | 889                      | 21  | 46  | 33  | N/A | N/A | 64  | Death, MI, ST, or TLR                      | Overall 5.2; <30 d 10.5; 30-90 d 3.8; 90-365 d 2.8 | 64.5† |    | Need for non-PRBC tx | 5                                  | Retrospective, APT status not well described, SC | This study emphasizes that risk is highest very early after PCI  |   |
| <b>Risk of NCS Following PCI With DES</b>       |               |                          |     |     |     |     |     |     |  |  |       |    |                      |                                    |  |  |   |
| Compton, 2006 (109)<br><a href="#">17056330</a> | Retrospective | 38                       | 31  | 35  | 15  | N/A | 19  | 260 | MI   | 0  | 83    | 40 | *†                   | Postop Tx                          | 3  | Small sample size, retrospective, APT status not well described, SC  | MACE is low with NCS performed late after PCI   |
| Brotman, 2007 (110)<br><a href="#">18081175</a> | Retrospective | 114                      | 52  | 42  | 6   | N/A | N/A | 236 | MI, ST, or death                           | 1.8  | 1.8   | 0  | 21                   | Reoperation, IC or RP bleed        | 0.9  | Retrospective, SC  | MACE is low with NCS performed late after PCI   |
| Conroy, 2007 (111)<br><a href="#">18084986</a>  | Retrospective | 24 (42)                  | N/A | N/A | N/A | N/A | N/A | N/A | Ischemia on ECG, troponin elevation, or ST | 7  | N/A   | 50 | N/A                  | Surgical site bleed or reoperation | 2.4  | Small sample size, retrospective, APT status not well described, SC. MACE and bleeding EP not well defined | IE: 3/14 pts who discontinued DAPT to ASA alone had ST. 4/4 with alternate anticoagulant or IV APT had no ST, suggesting value of DAPT to prevent IE. |
| Rhee, 2008 (112)<br><a href="#">18475013</a>    | Retrospective | 141                      | N/A | 96  | N/A | N/A | N/A | 228 | ST   | 5  | 5     | 0  | 0                    | N/A                                | N/A  | Retrospective, SC, bleeding endpoint not well defined  | IE: >7 d of P2Y12 inhibitor discontinuation and use of Taxus stent was associated with ST   |
| Godet, 2008 (113)<br><a href="#">18310674</a>   | Retrospective | 96                       | N/A | 26  | 74  | N/A | N/A | 425 | Troponin elevation, ST                     | 12, 2  | 70    | 38 | N/A                  | N/A                                | N/A  | Small sample size, APT status and bleeding endpoints not well described, SC                                | The risk of a serious complication, i.e., ST, was relatively low (2%)   |
| Rabbits, 2008 (114)<br><a href="#">18813037</a> | Retrospective | 520 (400 <1 y, 120 >1 y) | 18  | 56  | 25  | N/A | N/A | 204 | Death, MI, ST or revascularization         | 5.4 (6 <1 y, 3.3 >1 y)                             | 70    | 33 | *†                   | Surgical site, excessive bleed'    | 1  | Retrospective, SC, APT not well described  | IE: Trend to lower IE rate if NCS >1 y after PCI  |
| Chia, 2010 (115)<br><a href="#">20609638</a>    | Retrospective | 710                      | N/A | N/A | N/A | N/A | N/A | 348 | MI or ST                                   | 1.5  | 14    | 9  | 18                   | N/A                                | N/A  | Retrospective, bleeding endpoint not well defined, questionnaire-based                                     | IE: The low IE rate may have been due to late NCS plus questionnaire method, i.e.,  |

|  |                                     |           |     |      |     |     |     |      |  |        |     |     |     |  |      |  |   |
|--|-------------------------------------|-----------|-----|------|-----|-----|-----|------|--|--------|-----|-----|-----|--|------|--|---|
| Anwarudin, 2009 (116) <a href="#">19539259</a> | Retrospective                       | 481 (606) | 5.6 | 55.6 | 20  | 22  | N/A | 390  | Primary ST (definite and moderate probability); secondary death, nonfatal MI, ST | 2; 9   | 15  | 1   | 21  | N/A  | N/A  | Retrospective, bleeding endpoint not well defined, SC            | underreporting<br>Risk of MACE higher if NCS <30 d after PCI but some level persisted for 2-3 y after PCI |
| Assali, 2009 (117) <a href="#">19626693</a>    | Retrospective                       | 78        | N/A | 81   | 19  | N/A | N/A | 414  | MI, ST, or death   | 7.7    | 18  | 42  | 21  | Hb drop >2 g/dL  | 16.7 | Small sample size, retrospective, SC                             | Most MACE occurred <1 wk after NCS and there was no difference in MACE between 6-12 mo vs. >12 mo         |
| Berger, 2010 (118) <a href="#">20850090</a>    | Prospective registry, retrospective | 206       | N/A | 76   | 20  | N/A | 4   | 179  | Death, MI, or ST   | 1.9    | N/A | N/A | N/A | N/A  | N/A  | APT status and bleeding endpoint not well described              | Most IEs occur within 1 <sup>st</sup> wk after NCS  |
| Gandhi, 2011 (119) <a href="#">20824750</a>    | Retrospective                       | 135 (191) | 23  | 62   | 15  | N/A | N/A | 547  | Death, ST, or MI   | 0.5; 2 | 54  | 30  | N/A | Bleeding with hypotension, blood loss >500cc, or >2 Tx | 6    | Retrospective, SC, APT status not well defined                   | Low risk of IE when NCS performed relatively late after PCI   |
| Brilaki, 2011 (120) <a href="#">21315220</a>   | Retrospective                       | 164       | 100 | N/A  | N/A | N/A | N/A | <365 | Death, MI or ST  | 0.6    | N/A | N/A | N/A | N/A  | N/A  | Retrospective, APT status and bleeding endpoint not well defined | Low risk of events in low risk NCS  |

\*All studies were retrospective analyses.

†Rates of individual or dual APT not provided.

APT indicates antiplatelet therapy; ASA, aspirin; BMS, bare-metal stent; CVD, cardiovascular disease; DAPT, dual antiplatelet therapy; ECG, echocardiogram; Hb, hemoglobin; IC, intracranial; IE, ischemic events; IO, intraocular; IV, intravenous; MACE, major adverse coronary event; MI, myocardial infarction; N/A, not applicable; NCS, noncardiac surgery; PCI, percutaneous coronary intervention; postop, postoperative; PRBC, packed red blood cell; pt, patient; RP, retroperitoneal; rx, therapy; SC, single center; and ST, stent thrombosis; and Tx, transfusion.

**Table 2. Risk of Noncardiac Surgery Following BMS or DES**

| Author, Year                             | Study Type    | Study Size (n) |     | Type of Surgery (%) |              |      |         | PCI to NCS (d) | MACE             |         |         | APT in Periop Period (%) |                                |      | Major bleeding |     | Study Limitations            | Risk of NCS in Stented Pt  |  |
|--|---------------|----------------|-----|---------------------|--------------|------|---------|----------------|------------------|---------|---------|--------------------------|--------------------------------|------|----------------|-----|------------------------------|--|--|
|  |               | BMS            | DES | Low                 | Intermediate | High | Unknown |                | Endpoint         | BMS (%) | DES (%) | ASA                      | P2Y <sub>12</sub> Inhibitor    | DAPT | EP             | (%) |                              |  |  |
| Kim, 2008 (121) <a href="#">17346821</a> | Retrospective | 101            | 138 | N/A                 | N/A          | N/A  | N/A     | N/A            | Death, ST, or MI | 0       | 2.2     |                          | N/A                            | N/A  | N/A            | N/A | N/A                          | Retrospective, SC, APT status and bleeding definition not well described | Limited study but showed low rate of IE for both BMS and DES |
| Schouten, 2007 (122)                     | Retrospective | 93             | 99  | 12                  | 60           | 23   | 5       | <730           | MI or death      | 2       | 3       |                          | 53 (either single or dual APT) |      | N/A            | N/A | Small SC, retrospective, APT | IE: APT interruption was associated with higher                          |  |

|  |  |              |            |        |        |        |     |                        |  |   |   |                                       |         |                                    |                          |  |   |   |
|--|--|--------------|------------|--------|--------|--------|-----|------------------------|--|---|---|---------------------------------------|---------|------------------------------------|--------------------------|--|---|---|
| <a href="#">17207733</a>                         |  |              |            |        |        |        |     |                        |  |   |   |                                       |         |                                    |                          | use, IE, and bleeding not well defined       | MACE (5.5% vs. 0.0%; p=0.023). No difference in MACE between BMS and DES  |   |
| Van Kuijk, 2009 (123) <a href="#">19840567</a>   | Retrospective                                | 174          | 376        | 33; 31 | 51; 47 | 15; 22 | N/A | BMS 1314; DES 511      | D, MI, ST, or revascularization                                  | 6   | 13  | 91*; 70*                              | 9†; 30‡ | Severe; moderate                   | 10; 8                    | Retrospective, APT status not well described | Early NCS (<30 d) in either group was associated with increased MACE (overall p<0.001). Bleeding complications significantly higher with DAPT in both groups. |   |
| Cruden, 2010 (124) <a href="#">20442357</a>      | Retrospective                                | 1,383        | 570        | 19     | 71     | 10     | N/A | BMS 503; DES 371       | Primary in-hospital death + IE; secondary in-hospital death + MI | Primary 13.3; secondary 1.3   | Primary 14.6; secondary 1.9   | N/A                                   | N/A     | N/A                                | N/A                      | N/A  | Retrospective, APT status and bleeding endpoint not well described  | No significant difference in MACE risk in BMS vs. DES. MACE higher if NCS <6 wk           |
| Albaladejo, 2011 (125) <a href="#">21791513</a>  | Prospective registry; retrospective analysis | 623          | 367        | 20     | 40     | 26     | 14  | >80% were after 6 mo   | MI, ST, HF, CS, SA, or stroke                                    | 10.9†   |   | N/A                                   | N/A     | N/A                                | Major                    | 9.5  | APT status not well described   | IE and bleeding relatively high despite relatively long time between PCI and NCS          |
| Brancati, 2011 (126) <a href="#">21297198</a>    | Retrospective                                | 70           | 31         | 26     | 65     | 9      | 0   | 288                    | Death, MI, ST, or revascularization                              | 6   |   | 39 (either ASA or P2Y <sub>12</sub> ) | 49      | Need for Tx or surgical hemostasis | BMS 14%, DES 6%          | Retrospective, SC                            | Similar IE and bleeding for both groups   |   |
| Tokushige, 2012 (127) <a href="#">22396582</a>   | Prospective registry; retrospective analysis | 1,103        | 1295       | N/A    | N/A    | N/A    | N/A | <42d BMS 4.4% DES 1.9% | Death, MI, ST 30 d with 2 groups: <42 after PCI; >42 d after PCI | 3.5   | 2.9   | 17.8                                  | 0.6     | 27                                 | Moderate, severe (GUSTO) | BMS 3.2%, DES 2.1%                           | Retrospective   | IE and bleed risk low for both BMS and DES. >95% in each group had NCS >42 d after stent. |
| Wijeyesundera, 2012 (1) <a href="#">22893606</a> | Retrospective                                | 1820‡ (<2 y) | 905 (<2 y) | 0§     | 85.9   | 14.1   | 0   | Range: 1–3,650         | Death, ACS, revascularization by 30 d after surgery              | 6.7(<45 d), 2.6 (45–180 d), 2.9 (181–365 d), 1.7 (366–730 d), 0 (731–3,650 d) | 20 (<45 d), 3.8 (45–180 d), 1.1 (181–365 d), 1.6 (366–730 d), 1.5 (731–3,650 d) | N/A                                   | N/A     | N/A                                | N/A                      | N/A  | Retrospective, administrative data base   | First 45 d high-risk period; DES risk low and equal to intermediate risk surgery by 180 d |

Small study defined as <100 patients

\*Percentage of patients taking both ASA and P2Y<sub>12</sub> inhibitor not provided.

†Rates of individual or dual APT not provided.

‡Total number of patients in Wijeyesundera study was 8116; 2725 patients underwent stenting <2 y.

§Total procedures=7,998; 2,725 <2 y after stent implantation.

ASA indicates aspirin; APT, anti-platelet therapy; BMS, bare-metal stent; DAPT, dual anti-platelet therapy; DES, drug-eluting stent; GUSTO, Global Utilization of Streptokinase and Tissue Plasminogen Activator for Occluded Coronary Arteries; IE, ischemic events; MACE, major adverse cardiac events; MI, myocardial infarction; n, subgroup; N/A, not available; NCS, noncardiac surgery; PCI, percutaneous coronary intervention; periop, perioperative; postop, postoperative; pt, patient; SC, single center; ST, stent thrombosis; TLR, target lesion revascularization; TVR, target vessel revascularization; and Tx, transfusion.

### Data Supplement 19. Perioperative Beta-Blocker Therapy (Section 6.2.1)

Please see the complete Evidence Review Committee's Systematic Review Report for more information (128). The following few tables/figures are provided for ease of use and may contain data from Poldermans studies which were included in the scope of the systematic review.

**Table 1. Summary of Included Studies**

| Study (Year)   | N   | Inclusion Criteria   | Exclusion Criteria  | Types of Surgery  | Long-Term Preoperative Beta-Blocker Therapy | Participant Characteristics   |
|--|-----|--|---|---|---|---|
| <b>Randomized Controlled Trials</b>                  |     |  |   |   |   |   |
| Mangano et al. (1996) (129) <a href="#">8929262</a>  | 200 | Known CAD or ≥2 risk factors (≥65 y of age, hypertension, current smoker, elevated cholesterol level, diabetes mellitus)   | Pacemaker dependency, resting ECG abnormalities (left bundle-branch block, marked ST-T abnormalities)   | Elective vascular (41%), intra-abdominal (21%), orthopedic (14%), neurosurgical (9%), or other (16%) procedures | 13%   | Mean age 67.5 y, 39% with known CAD   |
| Jakobsen et al. (1997) (130) <a href="#">9327317</a> | 100 | Pts undergoing thoracotomy for lung resection with no known current or previous cardiovascular disease   | NR  | Intrathoracic (100%) procedures   | NR  | 66% males, mean age 60.4 y  |
| Bayliff et al. (1999) (131) <a href="#">10086546</a> | 99  | Pts >18 y of age undergoing major thoracic operation   | Prior beta-blocker use, asthma, HF, heart block, supraventricular tachyarrhythmias, prior specific drug use (digoxin, quinidine, procainamide, amiodarone, diltiazem, verapamil)                                  | Intrathoracic (100%) procedures   | 0%  | 62% males, mean age 62.5 y, 6% with prior MI, 5% with current angina                        |
| DECREASE-I (1999) (132) <a href="#">10588963</a>     | 112 | Pts with ≥1 cardiac risk factor (>70 y of age, angina; prior MI, HF, diabetes mellitus, limited exercise capacity, ventricular arrhythmias) and positive result on dobutamine stress echocardiography. | Prior beta-blocker use, asthma, very high-risk dobutamine stress echocardiography result (extensive wall-motion abnormalities, strong evidence of left main or severe 3-vessel CAD)                               | Major vascular (100%) procedures  | 0%  | 87% males, mean age 67.5 y, 100% with known CAD, 52% with prior MI, 32% with current angina |
| Raby et al. (1999) (133) <a href="#">10071990</a>    | 26  | Pts with preoperative myocardial ischemia detected by 24-h ECG monitoring performed within 1–12 d before surgery   | Baseline ST-T abnormalities on ECG that preclude accurate interpretation of ECG monitoring for ischemia   | Major vascular (100%) procedures  | 35%   | 46% males, mean age 68.1 y, 38% with prior MI or current angina                             |
| Zaugg et al. (1999)* (134) <a href="#">10598610</a>  | 43  | Pts ≥65 y of age   | Prior beta-blocker use, other prior drugs (beta-adrenergic agonists, glucocorticoids, anticonvulsants), heart block, rhythm other than sinus on ECG, HF, bronchospasm, systemic infection, neurological disorders | Intra-abdominal (81%), orthopedic (7%), and other (12%) procedures  | 0%  | 40% males, mean age 74.6 y, 37% with known CAD  |
| Urban et al.   | 107 | Pts 50 to 80 y of age undergoing elective  | Specific ECG abnormalities (heart block,  | Orthopedic (100%) procedures  | 28%   | Mean age 69.5 y, 17% with prior MI, 31% with  |

|   |       |  |   |  |    |   |
|---|-------|--|---|--|----|---|
| (2000) (135)<br><a href="#">10825304</a>              |       | total knee arthroplasty with known CAD or ≥1 risk factor (≥65 y of age, hypertension, current smoker, elevated cholesterol level, diabetes mellitus)   | bundle-branch block, atrial arrhythmias, LV hypertrophy with repolarization abnormalities), LVEF <30%, symptomatic mitral or aortic valvular disease, bronchospasm        |  |    | current angina  |
| POBBLE (2005) (136)<br><a href="#">15874923</a>       | 103   | Pts undergoing major elective infrarenal vascular surgery under general anesthesia   | Prior MI in past 2 y, unstable angina, positive dobutamine stress test, prior beta-blocker use, asthma, aortic stenosis, heart rate ≤45 beats/min, systolic BP <100 mm Hg | Major vascular procedures (100%)   | 0% | 78% males, median age 73 y  |
| DIPOM (2006) (137)<br><a href="#">16793810</a>        | 921   | Pts with diabetes mellitus >39 y of age undergoing noncardiac surgery with expected duration >1 h  | Long-term beta-blocker use, conditions indicating beta blocker treatment, severe HF, heart block  | Orthopedic (33%), intra-abdominal (28%), neurosurgical (8%), vascular (7%), gynecological (5%), and other (19%) procedures | 0% | 59% males, mean age 64.9 y, 8% with prior MI, 11% with current angina |
| Lai et al. (2006) (138)<br><a href="#">16687084</a>   | 60    | Pts ≥65 y of age undergoing esophagectomy for esophageal cancer with no known prior CAD  | Prior beta-blocker use, heart rate ≤55 beats/min, systolic BP ≤100 mm Hg, heart block   | Intrathoracic (100%) procedures  | 0% | 82% males, median ages 66 (beta blocker arm) and 67 (control arm),    |
| MaVS (2006) (139)<br><a href="#">17070177</a>         | 496   | Pts (ASA-PS Class ≤3) undergoing major vascular (abdominal aortic repair, infra-inguinal, or axillo-femoral bypass) surgery  | Long-term beta-blocker use, current amiodarone use, reactive airways disease, HF, heart block   | Major vascular (100%) procedures   | 0% | 76% males, mean age 66.1 y, 14% with prior MI, 9% with current angina |
| Neary et al. (2006) (140)<br><a href="#">16764198</a> | 38    | Pts undergoing emergency surgery with ≥1 of the following criteria: CAD, cerebrovascular disease (prior stroke or TIA), ≥2 minor risk criteria (≥65 y of age, hypertension, smoker, diabetes mellitus, hypercholesterolemia)   | Prior beta-blocker use, heart rate <55 beats/min, heart block, chronic obstructive airway disease, asthma, cardiovascular collapse, uncorrected hypovolemia               | Intra-abdominal (29%), amputation (24%), major vascular (21%), orthopedic (16%), and other (10%) procedures                | 0% | NR  |
| BBSA (2007) (141)<br><a href="#">17585213</a>         | 219   | Pts undergoing surgery with spinal anesthesia with known CAD or ≥2 risk factors (≥65 y of age, hypertension, current smoker, elevated cholesterol level, diabetes mellitus)  | Prior beta-blocker use, significant HF, heart block, severe asthma, left bundle-branch block  | Orthopedic (67%), urologic (25%), and other (8%) procedures  | 0% | 55% males, mean age 70.0 y, 8% with prior MI, 6% with current angina  |
| POISE-1 (2008) (142)<br><a href="#">18479744</a>      | 8,351 | Pts ≥45 y of age and ≥1 of the following criteria: CAD, PVD, stroke, hospitalization for HF within past 3 y, major vascular surgery, or ≥3 minor risk factors (HF, TIA, diabetes mellitus, renal insufficiency, age >70 y, nonelective surgery, intrathoracic surgery, or intraperitoneal surgery) | Prior beta-blocker use, verapamil use, heart rate <50 beats/min, heart block, asthma, CABG surgery in previous 5 y with no subsequent ischemia, low-risk surgery          | Vascular (41%), intraperitoneal (22%), orthopedic (21%), and other (16%) procedures  | 0% | 63% males, mean age 69.0 y, 43% with known CAD                        |
| Yang et al. (2008) (143)<br><a href="#">18953854</a>  | 102   | Pts ≥45 y of age with ≥1 of the following criteria: CAD, PVD, stroke, hospitalization for HF in prior 3 y, or ≥3 minor risk factors (HF, diabetes mellitus, ≥65 y of age, hypertension, hypercholesterolemia,  | Prior beta-blocker use, heart rate <50 beats/min, cardiac pacemaker, heart block, asthma, chronic obstructive pulmonary disease   | Intra-abdominal and intrathoracic procedures   | 0% | 59% males, mean age 71.0 y  |

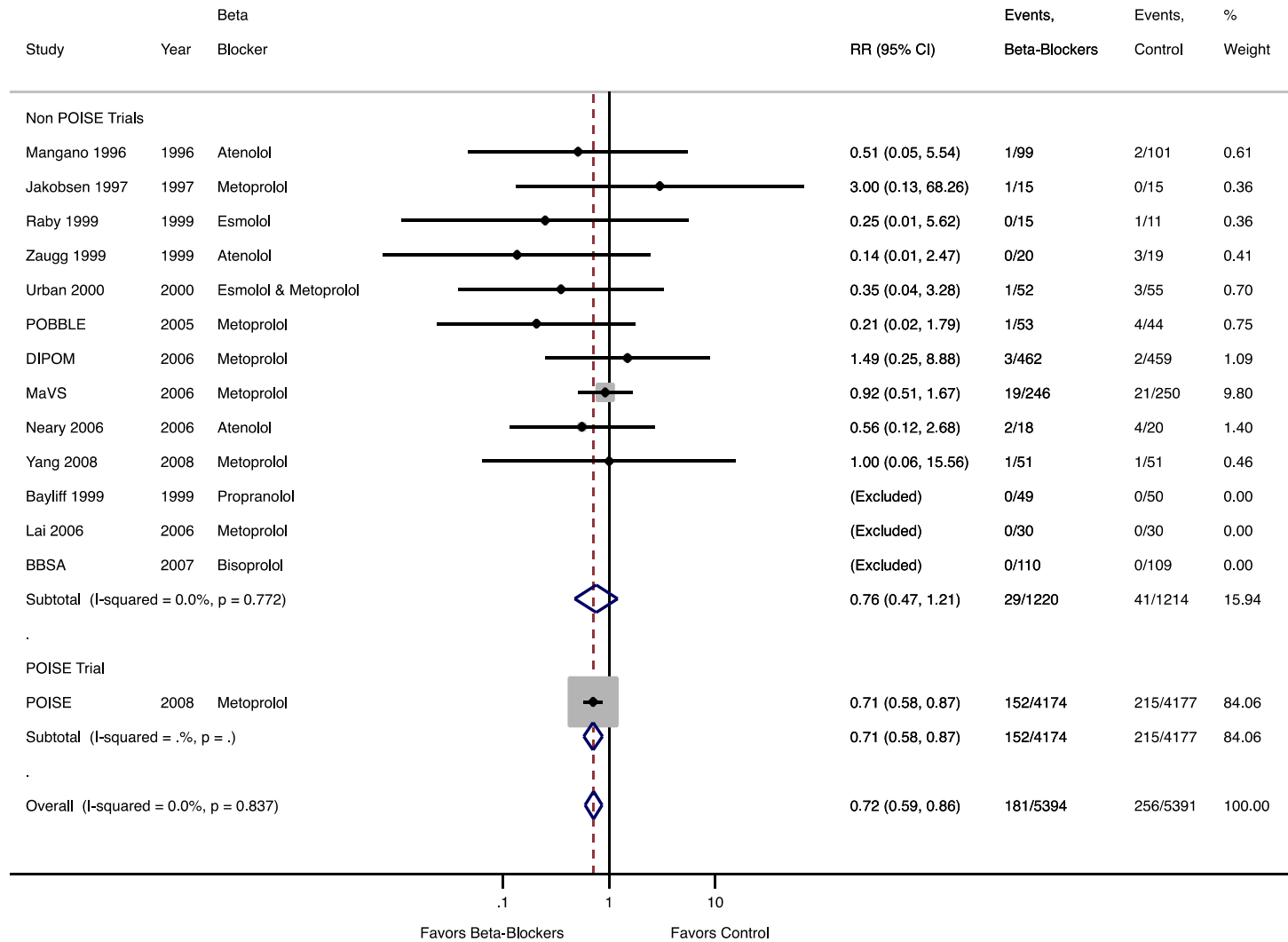
|   |       |  |  |  |     |   |
|---|-------|--|--|--|-----|---|
|   |       | smoker, intrathoracic surgery, or intraperitoneal surgery)   |  |  |     |   |
| DECREASE-IV (2009) (144)<br><a href="#">19474688</a>    | 1,066 | Pts ≥40 y of age undergoing elective noncardiovascular surgery with an estimated 1%–6% perioperative cardiovascular risk | Current use, or contraindication to use, of beta blockers or statins | General surgical (39%), urologic (19%), orthopedic (16%), ear-nose-throat (12%), and other surgical (14%) procedures | 0%  | 60% males, mean age 65.4 y, 6% with current angina, 5% with previous MI |
| <b>Cohort Studies</b>                                   |       |  |  |  |     |   |
| Matyal et al. (2008)† (145)<br><a href="#">18503921</a> | 348   | Pts undergoing supra- and infrainguinal vascular surgery   | NR   | Major vascular (100%) procedures   | 0%† | 60% males   |

\*Information on 2 of the study arms (preoperative/postoperative atenolol *versus* no beta-blocker therapy). The third study arm (intraoperative atenolol) did not meet the review definition for eligible perioperative beta-blockade.

†Only data on the subgroup of 348 pts who were not previously receiving preoperative long-term beta-blocker therapy.

ASA-PS indicates American Society of Anesthesiologists Physical Status; BBSA, Beta Blocker in Spinal Anesthesia; BP, blood pressure; CABG, coronary artery bypass graft; CAD, coronary artery disease; DECREASE, Dutch Echocardiographic Cardiac Risk Evaluation Applying Stress Echocardiography; DIPOM, Diabetic Postoperative Mortality and Morbidity; ECG, electrocardiogram; HF, heart failure; LV, left ventricular; LVEF, left ventricular ejection fraction; MaVS, Metoprolol After Vascular Surgery; MI, myocardial infarction; NR, not reported; pts, patients; POBBLE, Perioperative Beta Blockage; POISE, Perioperative Ischemic Study Evaluation; PVD, peripheral vascular disease; and TIA, transient ischemic attack.

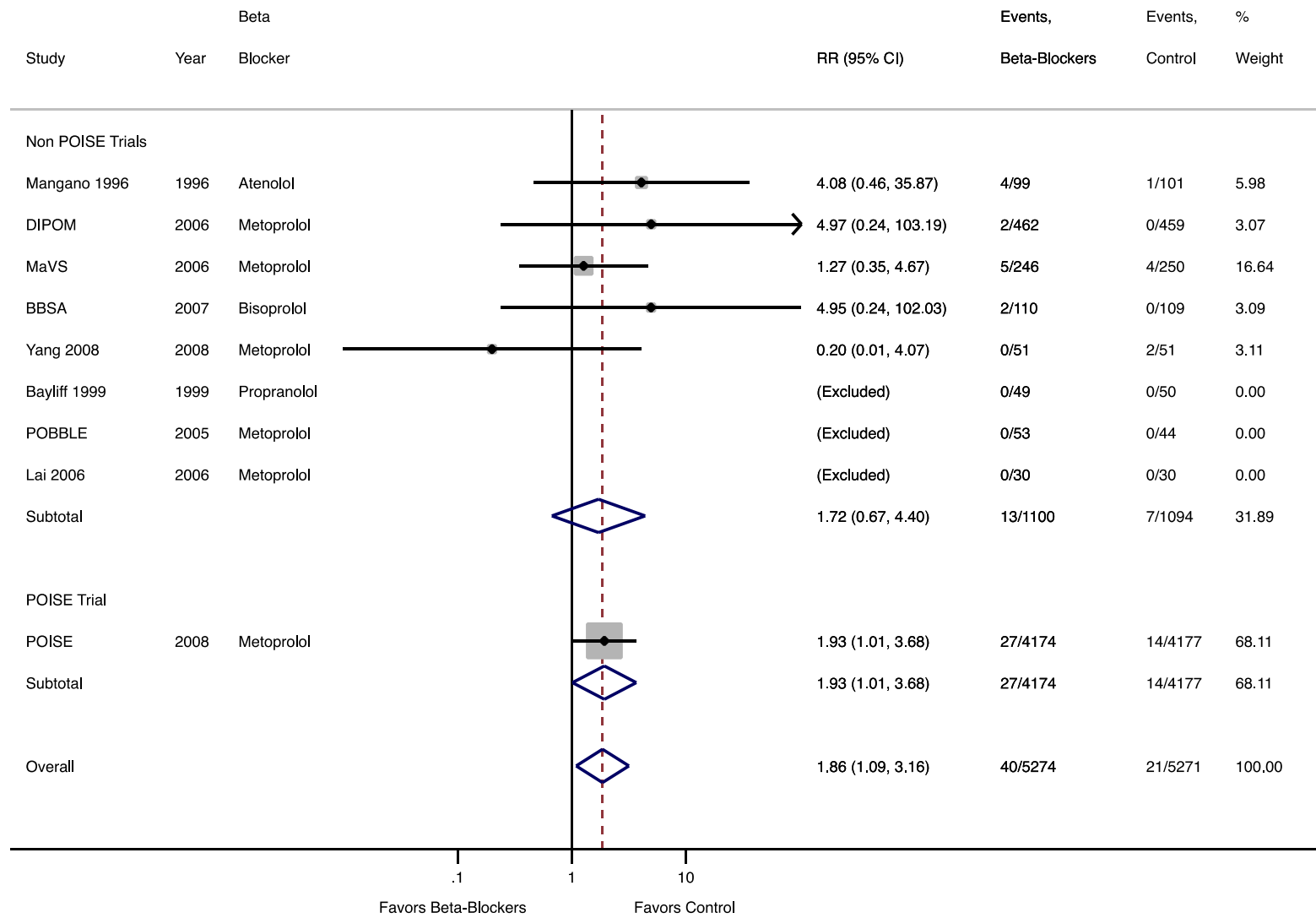
**Figure 1. Effect of Perioperative Beta Blockade on In-Hospital or 30-Day Nonfatal MI in RCTs, With Members of the DECREASE Family of Trials Excluded**



Effect of perioperative beta blockade on in-hospital or 30-day nonfatal MI, within subgroups defined by the POISE-1 trial versus other trials. The pooled effect is expressed as a pooled RR with associated 95% CI. The solid black diamonds represent point estimates in individual RCTs. The area of each gray square correlates with its contribution toward the pooled summary estimates. Horizontal lines denote 95% CIs. Estimates to the left of the line of unity (i.e., RR: 1) indicate superior clinical outcomes (i.e., fewer nonfatal MIs) with beta blockade (“Favors Beta-Blockers”), whereas estimates to the right of the line of unity indicate superior clinical outcomes with control (“Favors Control”). The blue diamonds represent the pooled estimates for all studies (RR: 0.72; 95% CI: 0.59–0.86), as well as the POISE-1 trial (RR: 0.70; 95% CI: 0.57–0.86) and the subgroup of other trials (RR: 0.76; 95% CI: 0.47–1.21). Statistical heterogeneity, as measured by the I<sup>2</sup> statistic, was 0% for the overall analysis.

BBSA indicates Beta Blocker in Spinal Anesthesia; CI, confidence interval; DECREASE, Dutch Echocardiographic Cardiac Risk Evaluation Applying Stress Echocardiography; DIPOM, Diabetic Postoperative Mortality and Morbidity; MaVS, Metoprolol After Vascular Surgery; MI, myocardial infarction; POBBLE, Perioperative Beta Blockade; POISE, Perioperative Ischemic Evaluation Study; RCT, randomized controlled trial; and RR, relative risk.

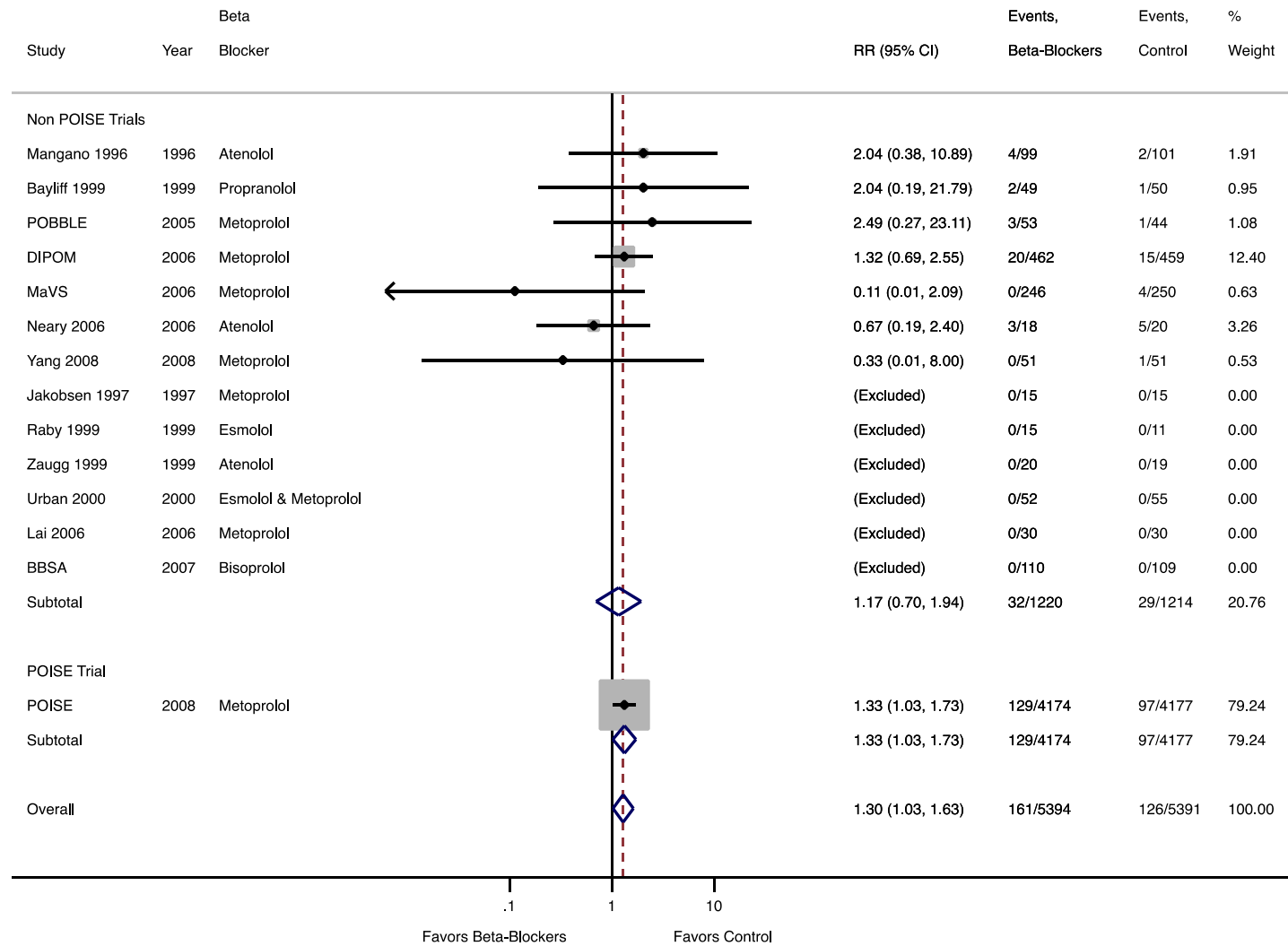
**Figure 2. Effect of Perioperative Beta Blockade on In-Hospital or 30-Day Nonfatal Stroke in RCTs, With Members of the DECREASE Family of Trials Excluded**



Effect of perioperative beta blockade on in-hospital or 30-day nonfatal stroke, within subgroups defined by the POISE-1 trial versus other trials. The pooled effect is expressed as a pooled RR with associated 95% CI. The solid black diamonds represent point estimates in individual RCTs. The area of each gray square correlates with its contribution toward the pooled summary estimates. Horizontal lines denote 95% CIs. Estimates to the left of the line of unity (i.e., RR: 1) indicate superior clinical outcomes (i.e., fewer nonfatal strokes) with beta blockade (“Favors Beta-Blockers”), whereas estimates to the right of the line of unity indicate superior clinical outcomes with control (“Favors Control”). The blue diamonds represent the pooled estimates for all studies (RR: 1.86; 95% CI: 1.09–3.16), as well as the POISE-1 trial (RR: 1.93; 95% CI: 1.01–3.68) and the subgroup of other trials (RR: 1.72; 95% CI: 0.67–4.40). Statistical heterogeneity, as measured by the  $I^2$  statistic, was 0% for the overall analysis.

BBSA indicates Beta Blocker in Spinal Anesthesia; CI, confidence interval; DECREASE, Dutch Echocardiographic Cardiac Risk Evaluation Applying Stress Echocardiography; DIPOM, Diabetic Postoperative Mortality and Morbidity; MaVS, Metoprolol After Vascular Surgery; POBBLE, Perioperative Beta Blockade; POISE, Perioperative Ischemic Evaluation Study; RCT, randomized controlled trial; and RR, relative risk.

**Figure 3. Effect of Perioperative Beta Blockade on In-Hospital or 30-Day Mortality in RCTs, With Members of the DECREASE Family of Trials Excluded**



Effect of perioperative beta blockade on in-hospital or 30-day mortality rate, within subgroups defined by POISE-1 trial versus other trials. The pooled effect is expressed as a pooled RR with associated 95% CI. The solid black diamonds represent point estimates in individual RCTs. The area of each gray square correlates with its contribution toward the pooled summary estimates. Horizontal lines denote 95% CIs. Estimates to the left of the line of unity (i.e., RR: 1) indicate superior clinical outcomes (i.e., fewer deaths) with beta blockade (“*Favors Beta-Blockers*”), whereas estimates to the right of the line of unity indicate superior clinical outcomes with control (“*Favors Control*”). The blue diamonds represent the pooled estimates for all studies (RR: 1.30; 95% CI: 1.03–1.63), as well as the POISE-1 trial (RR: 1.33; 95% CI: 1.03–1.73) and the subgroup of other trials (RR: 1.17; 95% CI: 0.70–1.94). Statistical heterogeneity, as measured by the  $I^2$  statistic, was 0% for the overall analysis.

BBSA indicates Beta Blocker in Spinal Anesthesia; CI, confidence interval; DECREASE, Dutch Echocardiographic Cardiac Risk Evaluation Applying Stress Echocardiography; DIPOM, Diabetic Postoperative Mortality and Morbidity; MaVS, Metoprolol After Vascular Surgery; POBBLE, Perioperative Beta Blockade; POISE, Perioperative Ischemic Evaluation Study; RCT, randomized controlled trial; and RR, relative risk.

**Data Supplement 20. Perioperative Statin Therapy (Section 6.2.2)**

| Study Name, Author, Year                                | Aim of Study   | Study Type   | Study Intervention (n)                        | Study Comparator Group (n)             | Patient Population  |  | Endpoints   |                             |                                | P Values, OR: HR: RR: & 95% CI:  | Study Limitations & Adverse Events               |
|---|--|--|---|--|---|--|---|-----------------------------|--------------------------------|--|--|
|   |  |  |   |  | Inclusion Criteria  | Exclusion Criteria   | Primary Endpoint (Efficacy) and Results   | Safety Endpoint and Results | Secondary Endpoint and Results |  |  |
| Sanders RD, et al., 2013 (146) <a href="#">23824754</a> | Meta-analysis  | Meta-analysis  | Meta-analysis                                 | Meta-analysis                          | Meta-analysis   | Meta-analysis  | Meta-analysis   | Meta-analysis               | Meta-analysis                  | Meta-analysis  | Meta-analysis                                    |
| Raju MG, et al., 2013 (147) <a href="#">23670940</a>    | Impact of statin therapy on 0-d all-cause mortality, AF, and nonfatal MI   | Retrospective cohort of pts undergoing intermediate-risk noncardiac, nonvascular surgery | Statin use                                    | No statin use                          | All pts undergoing ACC/AHA intermediate-risk noncardiovascular surgeries during the study period                                  | N/A  | Decreased composite endpoint of 30-d all-cause mortality, AF, and nonfatal MI after adjusting for baseline characteristics  | N/A                         | All-cause mortality reduced    | OR: 0.54; 95% CI: 0.30–0.97; p=0.039. All-cause mortality p=0.0002.  | Retrospective cohort                             |
| Lau WC, et al., 2013 (148) <a href="#">23535525</a>     | Evaluated the benefits of adding ASA to beta blocker and statin (ABBS), with/without ACEI on postop outcome in high-risk pts undergoing major vascular surgery | Retrospective review   | Statin, beta blocker and ASA use              | No recorded use of combination therapy | Consecutive pts undergoing elective vascular surgery  | Pts with emergent and traumatic vascular procedures, peripheral digit or distal limb amputation, or venous procedures  | 30-d and 12-mo mortality and survival status, MI was 3-fold lower in ABBS±ACEI (n=513) as compared with non-ABBS±ACEI (n=306). The 12-mo mortality was 8-fold lower in ABBS±ACEI as compared non-ABBS±ACEI (5.9% vs. 37.5%) | N/A                         | N/A                            | MI OR 0.31(95% CI: 0.15–0.61; p=0.001) in ABBS±ACEI (n=513) vs. non-ABBS±ACEI (n=306). 12-mo mortality HR: 0.13 (95% CI: 0.08–0.20; p<0.0001) in ABBS±ACEI vs. non-ABBS±ACEI | Retrospective , but reviews a real world pattern |
| Durazzo AE, et al., 2004 (149) <a href="#">15111846</a> | To analyze the effect of atorvastatin compared with placebo on the occurrence of a 6-mo composite of cardiovascular events after vascular surgery              | RCT  | 20 mg by mouth atorvastatin for 45 d (55 pts) | Placebo (50 pts)                       | Pts scheduled to undergo elective noncardiac arterial vascular surgery, defined as aortic, femoropopliteal and carotid procedures | Severe hepatic or renal disease, pregnancy or breast-feeding; current or previous use of drugs to treat dyslipidemia; recent cardiovascular event, such as stroke, MI, or UA; serious infectious disease, malignancy | Less death from cardiac cause, nonfatal MI, UA, and stroke with active treatment  | None                        | None                           | 0.03   | Small size                                       |

ACC indicates American College of Cardiology; ACEI, angiotensin-converting enzyme inhibitor; AF, atrial fibrillation; AHA, American Heart Association; ASA, aspirin; BB, beta-blocker; and MI, myocardial infarction; N/A, not available; postop, postoperative; pt, patient; RCT, randomized controlled trial; and UA, unstable angina.

**Data Supplement 21. Alpha-2 Agonists (Section 6.2.3)**

| Study Name, Author, Year                                 | Aim of Study   | Study Type  | Study Intervention (n)   | Study Comparator Group (n)   | Patient Population  |  | Study Intervention | Study Comparator | Endpoints  |  |                                | P Values, OR: HR: RR: & 95% CI:  | Study Limitations & Adverse Events   |
|--|--|---|--|--|---|--|--------------------|------------------|--|--|--------------------------------|--|--|
|  |  |   |  |  | Inclusion Criteria  | Exclusion Criteria   |                    |                  | Primary Endpoint (Efficacy) and Results  | Safety Endpoint and Results  | Secondary Endpoint and Results |  |  |
| Oliver MF, et al., 1999 (150) <a href="#">10519497</a>   | To evaluate the impact of the alpha-2 adrenergic agonist, mivazerol, on rates of MI or cardiac death in pts with known CHD undergoing noncardiac surgery | A double-blind randomized placebo-controlled trial was conducted in 61 European centers | Mivazerol, 4.0 mcg/kg, was given during the first 10 min followed by a constant rate infusion. Infusion was started 20 min before the induction of anesthesia and continued for 72 h postoperatively | 0.9% saline solution started 20 min before the induction of anesthesia | Pts with known CHD and those at high risk for CHD were eligible for the trial. All were scheduled to have noncardiac surgery estimated to last for at least 1 h and to have postsurgical hospitalization of at least 4 d. | UA, MI in the past 14 d, uninterpretable ECG Q-waves, cardiogenic shock, prescribed alpha agonist, severe hepatic disorders, emergency surgery, pregnant or nursing women or women aged <45 y without adequate contraception | N/A                | N/A              | Results presented relate to the 1,897 pts with known previous CHD. Preplanned subgroup analysis based on tests of heterogeneity. Primary endpoint was the incidence of acute MI or death during the intra- and postop hospitalization period (up to 30 d after surgery). 10.4% decrease in the primary endpoint (MI or death) and a 37% reduction in all-cause death. Secondary endpoints relate to the period of 30 d (follow-up visit) included HF, life-threatening arrhythmias, and UA | Hypotension was defined as a decrease in systolic BP of ≥20% below the baseline figure. In 10.5% (150) of mivazerol group pts and 9.4% (134) of placebo group pts, the infusion had to be stopped prematurely: of these, 62% were because of adverse events, such as hypotension, brady- or tachycardia, cardiac arrest, or organ failure; 19% (of the 62%) had to be withdrawn from the trial | NS                             | Cardiac deaths: MI endpoint 95% CI: 0.25–0.96 (p=0.037); for all surgeries 95% CI: 0.67–1.18 (p=NS); for vascular surgery 95% CI: 0.45–0.98 (p=0.03) | Overall study negative, positive results presented from CHD pts (not those pts with only risk factors) |
| Stuhmeier KD, et al., 1996 (151) <a href="#">8873539</a> | To evaluate the effects clonidine (n=145) or placebo (n=152) on the incidence of periop myocardial ischemic episodes, MI,                                | Randomized double-blind study design  | 2 mcg/kg-1 oral clonidine (145 pts)  | Oral placebo (15 pts)  | Pts undergoing nonemergent vascular surgery who were not taking clonidine   | Chronic myocardial ischemia, preop digitalis or chronic clonidine medication, AF, left or right BBB, and second-degree or greater atrioventricular-nodal block in the preop ECG  | N/A                | N/A              | Myocardial IEs reduced, no change in MI and cardiac death  | More fluid given to clonidine group to treat hypotension   | N/A                            | Reduced the incidence of periop myocardial IEs from 39% (59 of 152) to 24% (35 of 145) (p<0.01)  | Size   |

|   |  |   |                                  |                                 |  |  |   |                        |  |     |     |   |      |
|---|--|---|----------------------------------|---------------------------------|--|--|---|------------------------|--|-----|-----|---|------|
|   | and cardiac death  |   |                                  |                                 |  |  |   |                        |  |     |     |   |      |
| Wallace AW, et al., 2004 (152) <a href="#">15277909</a> | To test the hypothesis that prophylactic clonidine reduces the incidence of periop myocardial ischemia and postop death in pts undergoing noncardiac surgery | Prospective, double-blinded, clinical trial | 125 pts with CAD or risk factors | 65 pts with CAD or risk factors | Definite CAD, peripheral arterial disease, and previous vascular surgery or 2 cardiac risk factors | UA, uninterpretable ECG, preop alpha blocker use, symptomatic AS; systolic BP <100 mmHg; and refusal or inability to give informed consent | 0.2 mg oral tablet of clonidine 1 h before surgery and a 7.0 cm <sup>2</sup> transdermal patch of clonidine | Placebo pill and patch | 30-d mortality reduced, 2-y mortality reduced, decreased IEs | N/A | N/A | p=0.035 for 30-d mortality, p=0.048 for 2-y mortality, p=0.01 for IEs | Size |

AF indicates atrial fibrillation; AS, aortic stenosis; BBB, bundle branch block; BP, blood pressure; CAD, coronary artery disease; CHD indicates coronary heart disease; ECG, electrocardiogram; IE, ischemic episode; MI, myocardial infarction; N/A, not available; NS, nonsignificant; periop, perioperative; postop, postoperative; preop, preoperative; and UA, unstable angina.

#### Data Supplement 22. Perioperative Calcium Channel Blockers (Section 6.2.4)

| Study Name, Author, Year                                      | Aim of Study   | Study Type  | Study Intervention                        | Study Comparator Group    | Patient Population   |  | Endpoints  |                             |                                   | P Values, OR: HR: RR: & 95% CI:   | Study Limitations & Adverse Events     |
|---|--|---|---|---------------------------|--|--|--|-----------------------------|-----------------------------------|---|--|
|   |  |   |   |                           | Inclusion Criteria   | Exclusion Criteria   | Primary Endpoint (efficacy and results)                                    | Safety Endpoint and Results | Secondary Endpoint and Results    |   |  |
| Wijeyesundera DN, et al., 2003 (153) <a href="#">12933374</a> | To evaluate the impact of CCBs on death, MI, supraventricular tachycardia, and major morbid events               | Meta-analysis RCT evaluating CCBs during noncardiac surgery | CCB, 11 studies with 1,107 pts            | Placebo                   | Published RCTs that evaluated CCBs (administered immediately preoperatively, intraoperatively, or postoperatively within 48 h) during noncardiac surgery, and reported any of the following outcomes: death, MI, ischemia, or supraventricular tachycardia | Studies exclusively recruited prior organ transplant recipients, individuals younger than 18 y of age, pts who had already developed supraventricular tachycardia, or pts undergoing surgery for subarachnoid hemorrhage | Mortality not decreased, ischemia and supraventricular tachycardia reduced | Trend toward hypotension    | Combined endpoint of MI and death | RR: 0.49 (95% CI: 0.3–0.8) for ischemia; RR: 0.52 (95% CI: 0.37–0.72) for supraventricular tachycardia; RR: 0.35 (95% CI 0.15–0.86) | Meta-analysis, different types of CCBs |
| Kashimoto S, et al., 2007 (154) <a href="#">17321926</a>      | To assess whether nicorandil reduces the likelihood of cardiac events during and after intermediate risk surgery | Multicenter randomized trial                                | Nicoradil intraoperatively during surgery | Standard therapy, 237 pts | Intermediate cardiac risk pts having intermediate cardiac risk surgery   | N/A  | N/A  | p=0.02; 95% CI: 0.03–0.76   | N/A                               | 95% CI: 0.03–0.76   | Size, limited report                   |

CCB indicates calcium channel blocker; MI, myocardial infarction; N/A, not available; pts, patients; RCT, randomized controlled trial; and RR, relative risk.

### Data Supplement 23. Angiotensin-Converting Enzyme Inhibitors (Section 6.2.5)

| Study Name, Author, Year                             | Aim of Study  | Study Type                | Study Intervention | Study Comparator Group | Patient Population  |                                 | Endpoints   |                             |  | P Values, OR: HR: RR: & 95% CI:  | Study Limitations & Adverse Events        |
|--|---|---------------------------|--------------------|------------------------|---|---------------------------------|---|-----------------------------|--|--|---|
|  |   |                           |                    |                        | Inclusion Criteria  | Exclusion Criteria              | Primary Endpoint (Efficacy) and Results   | Safety Endpoint and Results | Secondary Endpoint and Results   |  |   |
| Turan A, et al., 2012 (155) <a href="#">22253266</a> | To evaluate the association of ACEI therapy with periop respiratory morbidity in adult noncardiac surgical pts, 30-d mortality secondary endpoint | Retrospective, controlled | ACEI               | No ACEI                | 79,228 adult general surgical pts treated at the Cleveland Clinic main campus hospital between 2005 and 2009. Pts who received only general anesthesia were included. | 30-d follow up data unavailable | The observed incidence of experiencing ≥1 intraoperative respiratory morbidity was 3.6% (n=360) for pts who took ACEI and 2.7% (n=1814) for pts who did not. The observed incidence of the collapsed postop respiratory morbidity was 4.2% (n=412) and 3.1% (n=2053) in pts who did and did not take ACEIs. | N/A                         | No significant association was found between ACEI use and any of the secondary outcomes, including 30-d mortality and the composite of in-hospital morbidity and mortality | Secondary endpoint: 30-d mortality (OR: 0.93; 95% CI: 0.73–1.19), ACEI vs. non-ACEI p=0.56; composite of in-hospital morbidity and mortality (OR: 1.06; 95% CI: 0.97–1.15) | Retrospective chart review to obtain data |

ACEI indicates angiotensin-converting enzyme inhibitors; N/A, not available; periop, perioperative; and pt, patient.

### Data Supplement 24. Antiplatelet Agents (Section 6.2.6)

Table 1. Risk of Bleeding on Single or Dual Antiplatelet Therapy With Noncardiac Surgery

| Study Name, Author, Year                               | Patients on DAPT at Time of NCS | DAPT Patients With Bleeding | DAPT Patients With Bleeding (%) | Patients on Single APT at Time of NCS | Single APT Patients With Bleeding | Single APT Patients With Bleeding (%) | Study Limitations                                   |
|--|---------------------------------|-----------------------------|---------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|---|
| Kaluza GL, et al., 2000 (103) <a href="#">10758971</a> | 1                               | 1                           | 100                             | N/A                                   | N/A                               | N/A                                   | Small*, retrospective, SC, APT status not described |
| Wilson SH, et al., 2003 (104) <a href="#">12875757</a> | 54                              | 1                           | 1.85                            | 134                                   | 1                                 | 0.7                                   | Retrospective, SC                                   |

|   |     |    |      |     |    |     |   |
|---|-----|----|------|-----|----|-----|---|
| Brotman DJ, et al., 2007 (110) <a href="#">18081175</a>   | 24  | 1  | 4    | 2   | 0  | 0   | Retrospective, SC                       |
| Assali A, et al., 2009 (117) <a href="#">19626693</a>     | 17  | 3  | 17.6 | 47  | 7  | 15  | Small, retrospective, SC                |
| Van Kuijk JP, et al., 2009 (123) <a href="#">19840567</a> | 128 | 27 | 21   | 421 | 17 | 4   | Retrospective, APT status not described |
| Total   | 224 | 33 | 14.7 | 604 | 25 | 4.1 | N/A                                     |

\*Small= <100 patients

APT indicates antiplatelet therapy; DAPT, dual antiplatelet therapy; N/A, not applicable; NCS, noncardiac surgery; pt, patient; and SC, single center.

**Table 2. Value of APT during NCS with BMS\***

| Author, Year                               | Study Size | Type of Surgery (%) |              |      |         | PCI to NCS (d)                 | MACE                                |  | APT in Periop Period (%) |                             |      | Major Bleeding  |   | Study Limitations             | Value/Risk of APT  |
|--|------------|---------------------|--------------|------|---------|--------------------------------|-------------------------------------|--|--------------------------|-----------------------------|------|---|---|-------------------------------|--|
|  |            | Low                 | Intermediate | High | Unknown |                                | Endpoint                            | (%)  | ASA                      | P2Y <sub>12</sub> Inhibitor | DAPT | Endpoint  | (%)   |                               |  |
| Wilson, 2003 (12) <a href="#">12875757</a> | 207        | 0                   | 36           | 58   | 6       | 1-60                           | Death, MI, ST, or revascularization | 4  | 51                       | 14                          | 26   | "Excessive" surgical site bleed<br><br>Tx                         | 2<br><br>33<br>No APT: 38.5%<br>ASA: 31.7%<br>DAPT: 42.6% | Retrospective, SC             | IE: unclear<br><br>Bleeding: no excessive bleeding with ASA or DAPT                                |
| Sharma, 2004 (13) <a href="#">15390248</a> | 47         | 0                   | 68           | 30   | 2       | <21 (n=27)<br><br>21-90 (n=20) | Death or MI                         | 25 (<21 d)<br>Death: ASA 5%,<br>DAPT 85.7%<br><br>15 (21-90 d) | N/A                      | 74<br><br>70                | N/A  | Tx<br><br>Reoperation   | 29<br><br>0   | Small, retrospective, SC      | IE: Suggestive of need for DAPT <21 d after PCI<br><br>Bleeding: No excess with DAPT vs. ASA alone |
| Reddy, 2005 (14) <a href="#">15757604</a>  | 56         | 10                  | 60           | 20   | 10      | <42                            | MI or CVD<br><br>ST                 | 14<br><br>8.9 (3/5 on DAPT)                                    | 79*                      | 32*                         | N/A  | Reoperation, Tx<br>>2 PRBC, Hb drop >2 g/dL or IC, IO or RP bleed | 3 (2 DAPT, 1 P2Y <sub>12</sub> inhibitor only)            | Small, retrospective          | IE: unclear<br><br>Bleeding: unclear   |
| Nuttal,                                    | 899        | 21                  | 46           | 33   | 0       | 64                             | Death, MI, ST or                    | Overall 5.2; <30 d   | 64.5†                    |                             |      | Need for  | 5   | SC, retrospective, APT status | IE: APT may be better than no APT,   |

|  |  |  |  |  |  |  |     |   |  |            |  |                         |  |
|--|--|--|--|--|--|--|-----|---|--|------------|--|-------------------------|--|
| 2008<br>(16)<br><a href="#">18813036</a> |  |  |  |  |  |  | TLR | 10.5; 30–90 d 3.8;<br>90–365 d 2.8  |  | nonPRBC tx |  | not well defined at NCS | but SAPT vs. DAPT no difference<br><br>Bleeding: unclear |
|  |  |  |  |  |  |  |     | MACE: no APT after<br>PCI 20 (4/20); ASA<br>3.8 (3/79); P2Y <sub>12</sub> 2.9<br>(1/35); DAPT 3.7<br>(28/752) |  |            |  |                         |  |

\*All studies were retrospective analyses.

†Rates of individual or dual APT not provided.

APT indicates antiplatelet therapy; ASA, aspirin; BMS, bare-metal stent; CVD, cardiovascular disease; DAPT, dual antiplatelet therapy; Hb, hemoglobin; IC, intracranial; IE, ischemic event; IO, intraocular; MACE, major adverse cardiac event; MI, myocardial infarction; N/A, not available; NCS, noncardiac surgery; PCI, percutaneous coronary intervention; periop, perioperative; PRBC, packed red blood cells; RP, retroperitoneal; SAPT, single antiplatelet therapy; SC, single center; ST, stent thrombosis; TLR, target lesion revascularization; and Tx, transfusion.

**Table 3. Value of APT during NCS With DES\***

| Study, Author                                  | Study Size (n)              | Type of Surgery (%) |              |      |         | PCI to NCS (d) | MACE  |   | APT in Periop Period (%) |                             |      | Major Bleeding                                       |     | Study Limitations  | Value/Risk of APT  |
|--|-----------------------------|---------------------|--------------|------|---------|----------------|---|---|--------------------------|-----------------------------|------|--|-----|--|--|
|  |                             | Low                 | Intermediate | High | Cardiac |                | Endpoint                                      | (%)   | ASA                      | P2Y <sub>12</sub> inhibitor | DAPT | Endpoint   | (%) |  |  |
| Brotman, 2007 (18) <a href="#">18081175</a>    | 114                         | 52                  | 42           | 6    |         | 236            | MI, ST, or death                              | 1.8   | 1.8                      | 0                           | 21   | Reoperation or IC or RP bleed                        | 0.9 | Retrospective, SC  | IE: In low- and intermediate-risk NCS late after PCI, lack of APT does not adversely impact IE   |
| Rhee, 2008 (20) <a href="#">18475013</a>       | 141                         | N/A                 | 96           | N/A  | 4       | 228            | ST  | 5 for >7 d of P2Y <sub>12</sub> discontinuation (OR: 12.8; p=0.027) | 5                        | 0                           | 0    | N/A  | N/A | Retrospective, SC, bleeding endpoint not well defined                | IE: Suggests value of DAPT or SAPT to prevent IE   |
| Godet, 2008 (21) <a href="#">18310674</a>      | 96                          | N/A                 | 26           | 74   | N/A     | 425            | Troponin elevation<br><br>ST                  | 12<br><br>2   | 70                       | 38                          | N/A  | N/A<br><br>26% of pts received LMWH in periop period | N/A | Retrospective, APT not well described, SC, bleeding not well defined | IE: IE uncommon late after PCI   |
| Rabbitts, 2008 (22) <a href="#">18813037</a>   | 520<br><1 y=400<br>>1 y=120 | 18                  | 56           | 25   | N/A     | 204            | Death, MI, ST, or revascularization           | 5.4 (<1 y =6, >1 y =3.3)  | 70                       | 33                          | *    | Surgical site 'excessive bleed'                      | 1   | Retrospective, APT not well defined, SC                              | IE: Continued P2Y <sub>12</sub> associated with MACE in univariate but not multivariate analysis; time after PCI most important factor |
| Anwaruddin, 2009 (25) <a href="#">19539259</a> | 481 (606)                   | 5.6                 | 55.6         | 20   | 22      | 390            | Primary: ST (definite + moderate probability) | 2   | 15                       | 1                           | 21   | N/A  | N/A | Retrospective, SC, bleeding endpoint not well defined                | IE: At a mean of slightly >1 y use or nonuse of ASA or clopidogrel was not related to MACE   |

|   |    |     |    |    |     |     |  |   |    |    |    |                 |      |                          |  |
|---|----|-----|----|----|-----|-----|--|---|----|----|----|-----------------|------|--------------------------|--|
|   |    |     |    |    |     |     | Secondary:<br>death, non-fatal<br>MI, ST | 9   |    |    |    |                 |      |                          |  |
| Assali,<br>2009<br>(26)<br><a href="#">19626693</a> | 78 | N/A | 81 | 19 | N/A | 414 | MI, ST, or cardiac<br>death              | 7.7<br><br>MACE according<br>to APT use: no<br>APT 10 (2/20);<br>ASA or<br>clopidogrel 3.9<br>(2/51); DAPT<br>11.8 (2/17) | 18 | 42 | 21 | Hb drop > 2g/dL | 16.7 | Retrospective, small, SC | Suggestion that one APT is better<br>than none, but DAPT not better than<br>SAPT |

\*All studies were retrospective analyses.

APT, antiplatelet therapy; ASA, aspirin; DAPT, dual antiplatelet therapy; DES, drug-eluting stent; Hb, hemoglobin; IC, intracranial; IE, ischemic events; MI, myocardial infarction; LMWH, low-molecular-weight heparin; MACE, major adverse cardiac events; n, subgroup of N; N/A, not available; NCS, noncardiac surgery; OR, odds ratio; PCI, percutaneous coronary intervention; periop, perioperative; RP, retroperitoneal; SAPT, single antiplatelet therapy; SC, single center; and ST, stent thrombosis.

**Table 4. Value of APT During NCS With BMS or DES\***

| Author   | Study Size |     | Type of Surgery (%) |                   |                   |         | PCI to<br>NCS (d)       | MACE   | APT in Periop Period (%)               |                                       |                  | Major Bleeding |                    | Study Limitations   | Value/Risk of APT        |  |  |
|--|------------|-----|---------------------|-------------------|-------------------|---------|-------------------------|--|--|---------------------------------------|------------------|----------------|--------------------|---|--------------------------|--|--|
|  | BMS        | DES | Low                 | Intermediate      | High              | Cardiac |                         |  | Endpoint                               | BMS (%)                               | DES (%)          | ASA            | P2Y12<br>inhibitor |   |                          | DAPT   | Endpoint   |
| Van Kuijk,<br>2009<br>(31)<br><a href="#">19840567</a> | 174        | 376 | BMS 33;<br>DES 31   | BMS 51; DES<br>47 | BMS 15;<br>DES 22 | N/A     | BMS: 1,314;<br>DES: 511 | Death, MI, ST, or<br>revascularization   | 6                                      | 13                                    | BMS 91*; DES 70* |                | BMS 9†;<br>DES 30† | Severe:<br>death, IC,<br>reoperation,<br>or Tx of >4<br>units<br><br>Moderate :<br>Tx of 1–3<br>units | Severe 10;<br>moderate 8 | Retrospective, APT not<br>well described   | Bleeding<br>complications<br>significantly higher<br>with DAPT in both<br>groups   |
| Cruden,<br>2010<br>(5)<br><a href="#">20442357</a>     | 1,383      | 570 | 19                  | 71                | 10                | N/A     | BMS: 503;<br>DES: 371   | Primary: in-hospital<br>death or IE;<br>secondary: in-<br>hospital death or MI | Primary:<br>13.3;<br>Secondary:<br>1.3 | Primary:<br>14.6;<br>Secondary<br>1.9 | N/A              | N/A            | N/A                | N/A   | N/A                      | Retrospective, APT not<br>well described,<br>bleeding endpoint not<br>well defined | IE: No difference<br>between SAPT and<br>DAPT for pts with<br>MACE; SAPT 45%<br>and DAPT 55%<br><br>Bleeding: significantly<br>worse (p<0.001) with<br>DAPT (21%) than |

|  |        |        |      |      |     |      |                  |                                 |       |     |     |     |     |       |                      |  |   |
|--|--------|--------|------|------|-----|------|------------------|---------------------------------|-------|-----|-----|-----|-----|-------|----------------------|--|---|
|  |        |        |      |      |     |      |                  |                                 |       |     |     |     |     |       |                      | SAPT (4%)  |   |
| Albaladejo, 2011 (32) <a href="#">21791513</a> | 623    | 367    | 20   | 40   | 26  | 14   | TT               | MI, ST, HF, CS, SA, or stroke   | 10.9† |     | N/A | N/A | N/A | Major | 9.5‡                 | Retrospective, APT not well defined  | IE: By multivariate analysis, discontinuation of all APT increased MACE risk (OR: 2.11; CI: 1.04–6.55; p=0.04). Bleeding: no difference between APT and no APT during NCS; SAPT vs. DAPT not described. |
| Tokushige, 2012 (127) <a href="#">22396582</a> | 1,103  | 1,295  | N/A  | N/A  | N/A | N/A1 | N/A              | Death, MI, or ST 30 d after NCS | 3.5   | 2.9 | N/A | N/A | N/A | N/A   | BMS: 3.2%; DES: 2.1% | Retrospective, use of APT based on hospital charts   | IE (p=0.0005): No APT 2.3% (26/1088); SAPT: 1.1% (5/416); DAPT: 4.9% (28/534)<br><br>Bleeding (p=0.047): no APT 2.4% (27/104); SAPT: 1.6% (7/403); DAPT: 4.0% (22/490)                                  |
| Hawn, 2013 (156) <a href="#">24101118</a>      | 21,986 | 20,003 | 37.5 | 29.5 | 33  | N/A  | 730 (52.2% <1 y) | Death, MI, revascularization    | 5.1   | 4.3 | N/A | N/A | N/A | N/A   | N/A                  | Retrospective, use of administrative database, APT analysis very small (n=369); APT cessation analysis limited to NCS >6 wk after stenting | MACE w/ APT cessation OR: 0.86 (95%CI: 0.6–1.29)  |

\*All studies were retrospective analyses. The Tokushige study used data from a prospective registry. In the Hawn study, surgical risk was classified as “low” for operations of the eye, ear, skin, and other, “intermediate” for genitourinary and musculoskeletal, and “high” for digestive, respiratory, vascular, and nervous system.

†Rates of individual or dual APT not provided.

APT indicates antiplatelet therapy; ASA, aspirin; BMS, bare-metal stent; CABG, coronary artery bypass graft; CI, confidence interval; DES, drug-eluting stent; HF, heart failure; IC, intracranial; IE, ischemic event; MACE, major adverse cardiac event; MI, myocardial infarction; N/A, not available; NCS, noncardiac surgery; OR, odds ratio; PCI, percutaneous coronary intervention; periop, perioperative; pt, patient; SAPT, single antiplatelet therapy; ST, stent thrombosis; and Tx, transfusion.

Data Supplement 25. Management of Postoperative Arrhythmias and Conduction Disorders (Section 6.3)

| Study Name, Author, Year                                 | Aim of Study  | Study Type            | Study Size (N) | Study Intervention Group (n) | Study Comparator Group (n) | Patient Population   |  | Study Intervention | Study Comparator | Endpoints  |   | P Values, OR: HR: RR: & 95% CI: | Study Limitations & Adverse Events   |
|--|---|-----------------------|----------------|------------------------------|----------------------------|--|--|--------------------|------------------|--|---|---------------------------------|--|
|  |   |                       |                |                              |                            | Inclusion Criteria   | Exclusion Criteria   |                    |                  | Primary Endpoint (Efficacy) and Results  | Secondary Endpoint and Results  |                                 |  |
| Polanczyk CA, et al., 1998 (157) <a href="#">9729180</a> | To determine the incidence, clinical correlates, and effect on LOS of periop SVA in pts having major noncardiac surgery | Prospective SC cohort | 4,181          | 4,181                        | N/A                        | Pts ≥50 y of age who had major, nonemergency, noncardiac procedures and were in sinus rhythm at the preop evaluation | N/A  | N/A                | N/A              | Periop SVA occurred in 7.6% of pts (2.0% during surgery)   | Male sex (OR: 1.3; 95% CI: 1.0–1.7); age >70 (OR: 1.3; CI: 1.0–1.7), valve disease (OR: 2.1; CI: 1.2–3.6), hx of SVA (OR: 3.4; CI: 2.4–4.8), asthma (OR: 2.0; CI: 1.3–3.1), CHF (OR: 1.7; CI: 1.1–2.7), PACs (OR: 2.1; CI: 1.3–3.4), intrathoracic procedure (OR: 9.2; CI: 6.7–13) were independent predictors of risk of SVA | N/A                             | Did not separate AF from other SVA, nor break out intrathoracic procedures |
| Amar D, et al., 2002 (158) <a href="#">12198031</a>      | To determine incidence and outcomes of ventricular arrhythmia after lung resection                                      | Prospective SC cohort | 412            | 412                          | N/A                        | Pts undergoing lung resection at a single center 1994-1999   | Rhythm other than sinus, receiving AADs, high grade AV block, hemodynamically unstable after | N/A                | N/A              | NSVT occurred in 15% of pts, no sustained VT or cancer. Postop AF predictive of NSVT (OR: 2.6; CI: 1.4–4.8; p=0.002) | Periop NSVT had no impact on outcome  | N/A                             | Only included lung resection pts   |

|   |  |  |     |     |     |   |  |                                     |         |  |   |   |   |
|---|--|--|-----|-----|-----|---|--|-------------------------------------|---------|--|---|---|---|
|   |  |  |     |     |     |   | surgery  |                                     |         |  |   |   |   |
| Bayliff CD, et al., 1999 (131) <a href="#">10086546</a> | To determine whether propranolol decreases risk of postop arrhythmia in noncardiac thoracic surgery pts      | Prospective randomized double blind placebo controlled trial | 99  | 49  | 50  | Pts undergoing major noncardiac thoracic surgery                    | Hx of CHF or asthma                                  | Propranolol 10 mg every 6 h for 5 d | Placebo | Treated arrhythmia occurred in 6% of propranolol treated pts and 20% of placebo pts                        | N/A   | p=0.07  | Small size, mixed arrhythmias and included sinus tachycardia in outcome |
| Roselli EE, et al., 2005 (159) <a href="#">16077410</a> | To determine incidence and predictors of AF after lung cancer resection                                      | Retrospective observational cohort                           | 604 | 604 | N/A | Consecutive pts undergoing lung cancer resection at CCF 1998–2002   | Persistent AF, lung transplant, prior lung resection | N/A                                 | N/A     | Postop AF in 19% peaking d 2   | Male sex (p=0.009), older age (p<0.0001), Hx PAF (p=0.0004), CHF (p=0.006), and right pneumonectomy predicted postop AF | N/A   | Retrospective, outcomes not assessed                                    |
| Amar D, et al., 2002 (2) (160) <a href="#">11818768</a> | To determine incidence and predictors of AF after major noncardiac thoracic surgery                          | Prospective observational SC cohort                          | 527 | 527 | N/A | All pts undergoing major thoracic surgery 1990–1999 in sinus rhythm | AF or on AADs  | N/A                                 | N/A     | Postop AF occurred in 15%; age, preop heart rate, and postop pneumonia or respiratory failure predicted AF | N/A   | Age OR: 2.5 (CI: 1.7–3.4; p<0.0001); heart rate >74, OR: 2.3 (95% CI: 1.4–3.8; p<0.0007); pneumonia OR: 3.2 (95% CI: 1.5–6.7; p<0.0021) | Limited to noncardiac thoracic surgery                                  |
| Amar D, et al., 2005 (161) <a href="#">16304294</a>     | To determine whether statin use is associated with lower risk of postop AF after noncardiac thoracic surgery | Prospective observational SC cohort                          | 131 | 131 | N/A | Pts undergoing major lung or esophageal surgery age ≥60             | AF or taking AADs or steroids                        | N/A                                 | N/A     | Postop AF in 29%, peak at 70 h; statin use associated with lower risk of AF, but unrelated to CRP or IL-6  | N/A   | Statin use OR: 0.38 (p=0.025)   | Small size, limited to noncardiac thoracic surgery                      |
| Amar D, et al., 2012 (162) <a href="#">22841166</a>     | To determine whether BNP levels are associated with POAF after noncardiac thoracic surgery                   | Prospective observational SC cohort                          | 415 | 415 | N/A | Pts undergoing major lung or esophageal surgery age ≥60             | AF or taking AADs or steroids                        | N/A                                 | N/A     | POAF in 16%; age, male sex, BNP>30 predicted POAF  | N/A   | Age OR: 1.28 per 5 y (95% CI: 1.01–1.61; p=0.04); male OR: 2.16 (95% CI: 1.12–4.17; p=0.02); BNP>30                                     | Small size, limited to noncardiac thoracic surgery                      |

|   |   |  |         |                 |                     |  |  |                      |                   |  |     |   |  |
|---|---|--|---------|-----------------|---------------------|--|--|----------------------|-------------------|--|-----|---|--|
|   |   |  |         |                 |                     |  |  |                      |                   |  |     | pg/mL OR: 4.52 (95% CI: 2.19–9.32; p<0.0001)  |  |
| Balsler JR, et al., 1998 (163) <a href="#">9821992</a>    | To compare outcome of post-SVA pts treated with beta blocker vs. CCB                      | Prospective RCT  | 63      | Esmolol -28     | Diltiazem -27       | Pts in ICU with postop SVA   | Shock, preop permanent SVA               | Esmolol IV           | Diltiazem IV      | Conversion to sinus: Esmolol 59% vs. Diltiazem 33%   | N/A | p<0.05  | Small sample size, limited to surgical pts in the ICU                  |
| Bhave PD, et al., 2012 (1) (164) <a href="#">23194493</a> | To define the incidence of POAF and its impact on outcomes after major noncardiac surgery | Retrospective review of administrative data from 375 hospitals over 1 y period | 370,447 | 370,447         | N/A                 | Pts >18 y of age undergoing noncardiac surgery in 1 of 375 hospitals in database in 2008 | N/A                                      | N/A                  | N/A               | POAF in 3%. Older age and CHF predictive. Black race, statin. ACE-I/ARB use protective. Mortality, LOS, and cost higher for POAF group | N/A | Mortality adjusted OR: 1.68 (95% CI: 1.52–1.86; p<0.001); LOS +37% (95% CI: 34%–41%; p<0.001); cost +5,900 (95% CI: 5,400–6,400; p<0.001) | Administrative data  |
| Bhave PD, et al., 2012 (165) <a href="#">21907173</a>     | To examine association of statin use with POAF after noncardiac surgery                   | Retrospective cohort   | 370,447 | 79,871 (statin) | 290,576 (no statin) | Pts >18 y of age undergoing noncardiac surgery in 1 of 375 hospitals in database in 2008 | N/A                                      | Periop statin used   | No periop statin  | POAF 2.6% in statin users vs. 3.0% in nonstatin users  | N/A | Adjusted OR: 0.74 (CI: 0.57–0.95; p=0.021)  | Administrative data, retrospective nonrandomized design                |
| Borgeat A, et al., 1991 (166) <a href="#">1903918</a>     | To compare use of IV flecainide vs. IV digoxin to prevent POAF                            | RCT  | 30      | 15              | 15                  | Pts undergoing noncardiac thoracic surgery   | N/A                                      | IV flecainide periop | IV digoxin periop | POAF 7% (flecainide) vs. 47% (digoxin)   | N/A | p<0.05  | Very small study, IV use only, digoxin is poor comparator, not blinded |
| Brathwaite D, et al., 1998 (167) <a href="#">9726731</a>  | To evaluate incidence and outcomes of POAF after noncardiac nonthoracic surgery           | Prospective observational SC cohort  | 462     | 462             | N/A                 | Consecutive pts admitted to surgical ICU after noncardiac-nonthoracic surgery            | Thoracic surgery or chest tube insertion | N/A                  | N/A               | POAF in 10.2%. Mortality with POAF 23% vs. 4% without POAF; LOS 8 d vs. 2 d  | N/A | p<0.05 for both   | Limited to surgical ICU pts, clustered analysis of atrial arrhythmias  |
| Cardinale D, et al., 1999 (168) <a href="#">10585066</a>  | To evaluate incidence and outcomes of POAF after lung cancer surgery                      | Prospective observational SC cohort  | 233     | 233             | N/A                 | Consecutive pts undergoing surgery for lung cancer                                       | Preop AF or AAD use                      | N/A                  | N/A               | POAF in 12%. No difference in mortality or LOS   | N/A | p=NS  | SC, single type of thoracic surgery                                    |
| Christians KK,  | To estimate   | Retrospective  | 13,696  | 13,696          | N/A                 | All pts  | Preop AF,                                | N/A                  | N/A               | POAF in 0.37%. 30-   | N/A | N/A   | Retrospective  |

|  |  |                               |        |        |     |   |  |   |         |   |   |  |   |
|--|--|-------------------------------|--------|--------|-----|---|--|---|---------|---|---|--|---|
| et al., 2001 (169) <a href="#">11839344</a>              | incidence of POAF in large cohort of pts undergoing noncardiac nonthoracic surgery | SC cohort                     |        |        |     | undergoing any noncardiac nonthoracic surgery over 2 y period in SC               | thoracic surgery, PE   |   |         | d mortality 12% in POAF Group.  |   |  | design, use of ICD-9 code for diagnosis of POAF, limited statistical analysis |
| Ojima T, et al., 2013 (170) <a href="#">23674202</a>     | To evaluate incidence and outcomes of POAF after esophageal surgery                | N/A                           | 207    | 207    | N/A | Consecutive pts undergoing transthoracic esophagectomy over 6 y by single surgeon | Preop AF, concomitant lung/laryngeal surgery, palliative surgery | N/A                                       | N/A     | POAF in 9.2% associated with use of ileocolon conduit and postop heart rate >100  | N/A   | ileocolon use adjusted OR: 13.6 (p=0.0023); heart rate >100 beats/min adjusted OR: 18.4 (p=0.0004)   | SC, single surgeon, single type of surgery                                    |
| Oniatis M, et al., 2010 (171) <a href="#">20667313</a>   | To determine risk factors for POAF in pts undergoing lung cancer surgery           | Interrogation of STS database | 13,906 | 13,906 | N/A | Consecutive pts entered into STS database 2002–2008 for lung cancer surgery       | N/A  | N/A                                       | N/A     | POAF in 12.6%; predictors include pneumonectomy, older age, bilobectomy, male sex, higher cancer stage; black race protective | 30-d mortality higher in POAF (5.6% vs. 1.6%, p<0.0001); LOS longer in POAF (8 d vs. 5 d; p<0.0001) | Pneumonectomy OR: 2.04 (CI: 1.58–2.64; p<0.0001); age OR: 1.81 per 10 y (CI: 1.69–1.93; p<0.0001); bilobectomy OR: 1.67 (CI: 1.30–2.14; p<0.0001); male sex OR: 1.60 (CI: 1.40–1.83; p<0.0001), clinical stage II+ OR: 1.28 (CI: 1.07–1.52; p=0.006), black race OR: 0.62 (CI: 0.45–0.85; p=0.003) | N/A   |
| Polanczyk CA, et al., 1998 (157) <a href="#">9729180</a> | To determine incidence and predictors of SVA after noncardiac surgery              | Prospective SC cohort         | 4,181  | 4,181  | N/A | Pts ≥50 undergoing nonemergent noncardiac surgery                                 | Rhythm other than sinus  | N/A                                       | N/A     | SVA in 7.6%   | Older age, male sex, valvular disease, CHF, type of surgery were predictors                         | N/A  | N/A   |
| Riber LP, et al., 2012 (172) <a href="#">22516832</a>    | To determine whether periop amiodarone reduces POAF                                | RCT                           | 254    | 122    | 120 | Pts >18 y of age undergoing lobectomy for lung cancer                             | Preop AF, heart rate <40 beats/min, LQT, hypotension             | Amio 300 mg IV then 600 mg by mouth twice | Placebo | Time to AF (9% vs. 32)  | Time to symptomatic AF (3% vs. 10%)   | p=0.001 × 2  | N/A   |

|   |   |                                     |       |       |     |   |  |   |            |  |   |        |                                 |  |
|---|---|-------------------------------------|-------|-------|-----|---|--|---|------------|--|---|--------|---------------------------------|--|
|   | after lung cancer surgery   |                                     |       |       |     |   |  | daily for 5 d                                     |            |  |   |        |                                 |  |
| Tisdale JE, et al., 2009 (173) <a href="#">19699916</a>         | To determine whether periop amiodarone reduces POAF after pulmonary resection   | RCT                                 | 130   | 65    | 65  | Adult pts undergoing lung resection   | Preop AF, heart rate <50 beats/min, on AAD, LQT, hypotension | Amio IV load 24 h then 400 mg twice daily for 6 d | Usual care | POAF requiring treatment (13.8% vs. 32.3%) | LOS   | p=0.02 | No placebo control, not blinded |  |
| Tisdale JE, et al., 2010 (174) <a href="#">20381077</a>         | To determine whether periop amiodarone reduces risk of POAF after esophagectomy | RCT                                 | 80    | 40    | 40  | Adult pts undergoing esophagectomy  | Preop AF, heart rate <50 beats/min, on AAD, LQT, hypotension | Amio IV for 96 h                                  | Usual care | POAF requiring treatment (15% vs. 40%)     | LOS   | p=0.02 | No placebo control, not blinded |  |
| Vaporciyan AA, et al., 2004 (173, 175) <a href="#">15001907</a> | To determine risk factors for POAF in pts undergoing thoracic surgery           | Prospective SC observational cohort | 2,588 | 2,588 | N/A | Adult pts undergoing resection of lung, esophagus, chest wall, or mediastinal mass >5-y period at MD Anderson | N/A  | N/A   | N/A        | POAF in 12.3%                              | Male sex, older age, more extensive resection were significant predictors | N/A    | N/A                             |  |

AAD indicates antiarrhythmic drug; ACE-I/ARB, Angiotensin-converting enzyme/ angiotensin receptor blockers; AF, atrial fibrillation; AV, atrioventricular; BNP, B-type natriuretic peptide; CCB, calcium channel blocker; CCF, congestive cardiac failure; CHF, congestive heart failure; CI, confidence interval; CRP, c-reactive protein; HR, hazard ratio; Hx, history; ICD-9, international classification of diseases ninth revision; ICU, intensive care unit; IL, interleukin; IV, intravenous; LOS, length of stay; LQT, Long QT Syndrome; n, subgroup of N; N/A, not applicable; NS, not significant; NSVT, nonsustained ventricular tachycardia; OR, odds ratio; PAC, premature atrial contraction; PAF, paroxysmal atrial fibrillation; PE, pulmonary embolism; STS, Society of Thoracic Surgeons; SVA, supraventricular arrhythmia; SVT, supraventricular tachycardia; periop, perioperative; POAF, post-operative atrial fibrillation; postop, postoperative; preop, preoperative; pts, patients; and PE, pulmonary embolism; RCT, randomized controlled trial; SC, single center; and VT, ventricular tachycardia.

#### Data Supplement 26. Perioperative Management of Patients With CIEDs (Section 6.4)

| Study Name, Author, Year                             | Aim of Study   | Study Type                                     | Study Size (N) | Study Intervention Group (n) | Study Comparator Group (n) | Patient Population                         |                                    | Study Intervention                                  | Study Comparator | Endpoints                                    |  |                                | P Values, OR: HR: RR & 95% CI: | Study Limitations & Adverse Events    |
|--|--|--|----------------|------------------------------|----------------------------|--|------------------------------------|---|------------------|--|--|--------------------------------|--------------------------------|---------------------------------------|
|  |  |  |                |                              |                            | Inclusion Criteria                         | Exclusion Criteria                 |   |                  | Primary Endpoint (efficacy) and Results      | Safety Endpoint and Results                                  | Secondary Endpoint and Results |                                |                                       |
| Cheng A, et al., 2008 (176) <a href="#">18307631</a> | To determine the frequency of PPM or ICD malfunction | Prospective observational single-center cohort | 92             | 92                           | N/A                        | Adult pts with PPM or ICD >1 mo undergoing | Unwilling to give informed consent | All pts' CIEDs programmed to detect tachyarrhythmia | None             | EMI seen in 5 PPMs and no ICDs; no permanent | No major device malfunctions; 1 pacemaker near ERI reset; no | N/A                            | N/A                            | Small sample size, observational only |

|  |  |  |     |     |     |  |             |  |   |   |   |     |  |  |
|--|--|--|-----|-----|-----|--|-------------|--|---|---|---|-----|--|--|
|  | from periprocedural electrocautery   |  |     |     |     | noncardiac surgery or endoscopy with electrocautery or ultrasound                              |             | and interrogated before and after surgery  |   | damage to any device  | complications related to CIED   |     |  |  |
| Fiek M, et al., 2004 (177) <a href="#">15009852</a>    | Evaluate prevalence of EMI in pts with ICD undergoing noncardiac surgery   | Prospective observational single-center cohort | 33  | N/A | N/A | Pts undergoing surgery with ICD  | None        | None   | None  | No EMI detected   | No adverse effects on ICD   | N/A | N/A  | Retrospective observational design   |
| Hauser RG, et al., 2004 (178) <a href="#">15851191</a> | To review reports of deaths to FDA associated with ICD failure to determine cause  | Retrospective observational                    | 212 | N/A | N/A | Deaths associated with ICD failure reported to FDA database 1996–2003                          | N/A         | N/A  | N/A   | 11 deaths occurred in pts with tachytherapies turned off —3 documented to have been inactivated prior to elective surgery | N/A   | N/A | N/A  | Study relies upon voluntary reporting of events to FDA, so likely underestimates incidence |
| Mahlow WJ, et al., 2013 (179) <a href="#">23252749</a> | To determine whether an institutional protocol for periop CIED management would be associated with a reduction in the amount of device reprogramming without increase in complications | Retrospective single-center cohort             | 379 | 197 | 179 | Consecutive pts undergoing surgery requiring anesthesia before and after new PACED-OP protocol | None stated | PACED-OP institutional protocol, which standardized recommendations for periop CIED management | CIED pts undergoing surgery before protocol started | Percent of pts needing preop reprogramming—decreased from 42%–16%   | No major adverse events in either group. 3% preintervention vs. 2.2% postinterventions required adjusting sensing or output | N/A | OR 0.26 [0.15–0.44]; p<0.001 (efficacy) HR/OR 0.55–1.1; p>0.1 (safety) | No randomization, not performed prospectively  |
| Matzke TJ, et al., 2006 (180) <a href="#">16970697</a> | Evaluate effect of electrocautery during dermatological surgery on   | Retrospective single-center cohort             | 186 | N/A | N/A | Consecutive pts with CIEDs undergoing dermatologic surgery with                                | None        | None   | None  | No CIED malfunction   | No adverse effects related to CIED  | N/A | N/A  | Retrospective observational design   |

|   |   |  |    |     |     |  |                                 |      |      |  |                          |   |     |   |
|---|---|--|----|-----|-----|--|---------------------------------|------|------|--|--------------------------|---|-----|---|
|   | CIEDs   |  |    |     |     | electrocautery<br>2001–2004  |                                 |      |      |  |                          |   |     |   |
| Pili-Fluory, et al.,<br>2008<br>(181)<br><a href="#">18272014</a> | To evaluate the periop outcome of pacemaker pts undergoing noncardiac surgery | Prospective observational single-center cohort | 65 | N/A | N/A | All adult pacemaker pts undergoing noncardiac surgery or procedures under general or regional anesthesia | Age <18 y, unwilling to consent | None | None | No EMI described, no adverse events related to PPM | No pacemaker malfunction | 11% of pts had some pre-op problem with pacemaker requiring reprogramming | N/A | Small sample size, observational only, not all devices interrogated, not programmed to detect EMI |

CIED indicates cardiac implantable electronic device; EMI, Electromagnetic interference; ERI, elective replacement interval; FDA, Food and Drug Administration; ICD, implantable cardioverter-defibrillator; N/A, not available; OR, odds ratio; PACED-OP, Program for All-Inclusive Care of the Elderly-Outpatient; periop, perioperative; PPM, permanent pacemaker; and pts, patients.

### Data Supplement 27. Choice of Anesthetic Technique and Agent (Section 7.1)

| Study Name, Author, Year   | Aim of Study  | Study Type                              | Study Size (N) | Study Intervention Group (n) | Study Comparator Group (n) | Patient Population  |                    | Study Intervention   | Study Comparator   | Endpoints  |                             |   | P Values, OR: HR: RR & 95% CI:  | Study Limitations & Adverse Events               |
|--|---|---|----------------|------------------------------|----------------------------|---|--------------------|----------------------|--------------------|--|-----------------------------|---|---|--|
|  |   |   |                |                              |                            | Inclusion Criteria  | Exclusion Criteria |                      |                    | Primary Endpoint (efficacy) and Results  | Safety Endpoint and Results | Secondary Endpoint and Results  |   |  |
| Barbosa FT, et al.,<br>2013<br>(182)<br><a href="#">23897485</a> | Effect of epidural /spinal anesthesia for lower limb revascularization compared with other types of anesthesia (general anesthesia) | Meta-analysis of RCTs (Cochrane review) | 696            | 417                          | 279                        | Adults (≥18 y) undergoing lower limb revascularization with neuraxial anesthesia (spinal or epidural) | N/A                | Neuraxial anesthesia | General anesthesia | No definitive difference mortality, stroke, MI, nerve dysfunction, lower limb amputation | N/A                         | Reduction in pneumonia. Otherwise no difference in-hospital stay, postop cognitive dysfunction, postop wound infection, postop anesthesia recovery room issues (nausea/vomiting/tremor/supplemental oxygen dependence/hypotension/HTN/dysrhythmia), pt satisfaction, pain | OR: 0.37 favoring decrease in pneumonia in pts receiving neuraxial anesthesia (95% CI: 0.15–0.89) | Risk of pneumonia was only analyzed in 2 studies |

|  |   |                        |     |                     |                    |   |  |   |   |  |     |  |   |                           |
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|  |   |                        |     |                     |                    |   |  |   |   |  |     | score, transfusions, urinary retention, claudication distance, postop rest pain in limb.   |   |                           |
| Park WY, et al., 2001 (183) <a href="#">11573049</a> | Test whether epidural anesthesia and postop epidural analgesia decrease morbidity and mortality after intra-abdominal surgical procedures | Randomized, controlled | 984 | 489                 | 495                | ≥21 y old and undergoing abdominal aortic surgery, gastric surgery, biliary surgery, or colon surgery | <21 y old, female, ASA Class I/II/V, confused, emergency, MI within past 6 mo, abdominal procedure within past 3 mo, any prior abdominal aortic surgery, receiving chemotherapy or immunosuppressives other than steroids, tracheostomy, preop intubation, hypersensitivity to drugs, contraindication to epidural, surgeon/anesthesiologist preference for one anesthetic | Epidural and general anesthesia plus postop epidural morphine | General anesthesia plus postop systemic opioids | Death, MI, CHF, persistent VT, complete AV block, severe hypotension, cardiac arrest, PE, respiratory failure, cerebral event, renal failure; Decrease incidence of MI, respiratory failure and stroke in subgroup of pts who underwent abdominal aortic procedures with epidural. Otherwise no difference in primary or secondary endpoints in combined group of abdominal surgery pts. | N/A | Pneumonia, sepsis, GI bleed, new angina, epidural hematoma, respiratory depression, respiratory arrest, reoperation for complications. For results see primary endpoint heading. | p 0.03 for MI favoring aortic surgery pts with epidural | Gender-specific study     |
| Norris EJ, et al.,                                   | Determine effect of epidural  | Randomized, controlled | 168 | Neuraxial intraop + | GA+ PCA postop =37 | Pts undergoing abdominal aortic   | Procedure requiring aortic   | See aforementioned  | GA + PCA  | No difference in   | N/A | No difference in medical costs,  | N/A   | Underpowered study; study |

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| 2001<br>(184)<br><a href="#">11684971</a>                            | anesthesia+<br>general<br>anesthesia vs.<br>general<br>anesthesia +<br>intravenous<br>opioid                         |  |     | PCA postop<br>=39;<br>Neuraxial +<br>GA+<br>epidural<br>postop =46,<br>GA +<br>epidural<br>postop =38 |  | reconstructive<br>surgery  | cross clamp,<br>contraindicatio<br>n to epidural<br>anesthesia,<br>previous<br>surgery or<br>severe<br>deformity of<br>thoracolumbar<br>spine, opioid<br>dependence,<br>major surgery<br>within 14 d<br>prior, pt<br>refusal,<br>neurologic<br>disease<br>affecting thorax<br>or lower | ed groups   |  | LOS  |     | hospital mortality,<br>major cardiac<br>morbidity  |  | halted due to<br>ethical<br>concerns;<br>monitoring<br>committee<br>terminated pt<br>recruitment  |
| Guarracino F, et al.,<br>2006<br>(185)<br><a href="#">16884976</a>   | Determine if<br>volatile<br>anesthetics were<br>associated with<br>a decrease in<br>myocardial<br>damage             | Multicenter,<br>randomized,<br>controlled  | 112 | 57 who<br>received<br>desflurane<br>(volatile<br>anesthetic)  | 55 pts who<br>received<br>propofol<br>(total IV<br>anesthetic) | Off-pump<br>coronary artery<br>bypass pts  | MI within 6 wk<br>of surgery,<br>valvular<br>insufficiency,<br>acute CHF,<br>additional<br>surgeries<br>during<br>hospitalization,<br>illicit drug use<br>within 1 mo of<br>surgery,<br>unusual<br>response to an<br>anesthetic  | Volatile<br>anesthetic<br>administration                  | Propofol<br>anesthetic<br>administration | Myocardial<br>damage as<br>measured by<br>postop cTnI.<br>Volatile<br>anesthetic<br>was<br>associated<br>with a<br>significant<br>reduction in<br>median peak<br>cTnI<br>(p<0.001) | N/A | Prolonged<br>hospitalization<br>increased in total<br>intravenous<br>anesthesia group<br>(p=0.005) | p<0.001<br>favoring<br>volatile<br>anesthetics<br>for lower<br>postop cTnI<br>as a<br>surrogate<br>for<br>decreased<br>myocardial<br>damage;<br>p=0.005<br>favoring<br>volatile<br>anesthetics<br>for reduced<br>hospitalizati<br>on | Used biomarker<br>release as an<br>indicator for<br>myocardial<br>injury; other<br>data such as<br>incidence of<br>postop AF not<br>collected |
| Zangrillo<br>A, et al.,<br>2011<br>(186)<br><a href="#">21872490</a> | Compare the<br>effects of total<br>intravenous<br>anesthesia to<br>sevoflurane on<br>postop cTnI after<br>noncardiac | Single center,<br>randomized,<br>controlled.<br>Blinded to all<br>study<br>personnel<br>other than | 88  | 44 pts<br>receiving<br>sevoflurane  | 44 pts<br>received<br>propofol<br>(TIVA)                       | Pts undergoing<br>elective lung<br>surgery pts or<br>peripheral<br>revascularization | Unusual prior<br>anesthetic<br>response;<br>current use of<br>sulfonylurea<br>theophylline, or<br>allopurinol  | Volatile<br>anesthetic<br>(sevoflurane)<br>administration | TIVA<br>(propofol)                       | Myocardial<br>damage as<br>measured<br>postop cTnI;<br>no statistical<br>difference<br>between   | N/A | N/A  | p=0.6  | Pt hx was not<br>extensively<br>taken, so may<br>not have looked<br>at a highly "at<br>risk" group for<br>myocardial                          |

|  |  |   |                      |   |  |  |     |  |                 |   |     |     |  |  |
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|  | surgery  | anesthesiologists who did not participate in the analysis |                      |   |  |  |     |  |                 | volatile anesthetic group and TIVA group                                    |     |     |  | ischemia, thus diminishing the potential to detect a difference if it did exist. No pt in the study had a periop MI or ischemia. Small sample of pts. Underpowered.  |
| Landoni G, et al., 2009 (187) <a href="#">23439516</a> | To evaluate the effects of volatile anesthetics in myocardial protection in noncardiac surgery | Meta-analysis of randomized trials                        | 79 trials, 6,219 pts | 3,451 pts receiving either desflurane or sevoflurane (volatile anesthetics) | 2,768 pts receiving TIVA                               | Pts undergoing noncardiac surgery  | N/A | Volatile anesthetic (sevoflurane or desflurane) administration | TIVA (propofol) | Periop MI and death; no primary endpoint was observed in any of the studies | N/A | N/A | No infarctions or deaths reported in any of the studies examined in either the volatile anesthetic pts or the TIVA pts | No author reported any postop MI or death in their study populations. No report of any significant cardiac event in any study. Authors of the meta-analysis reported difficulty conducting meta-analysis because no author reported pt outcome. Poor quality studies. All studies were single center design. |
| Conzen PF, et al., 2003 (188) <a href="#">14508313</a> | To evaluate the myocardial protective effects of sevoflurane in pts undergoing OFF PUMP CABG   | Randomized, controlled                                    | 20                   | 10 pts undergoing OPCAB ≤2 vessel) receiving sevoflurane                    | 10 pts undergoing OPCAB (≤2 vessel) receiving propofol | Pts with unusual anesthetic response, experimental drug use, severe comorbid disease, prior coronary surgery, EF<30%, sulfonylurea use | N/A | Volatile anesthetic (sevoflurane) administration               | TIVA (propofol) | cTNI; significantly lower in pts receiving volatile anesthetics vs. TIVA    | N/A | N/A | Significantly higher troponin I levels in TIVA pts (p=0.009)   | No deaths, no transmural MI in either group; underpowered to detect clinical cardiac events  |

|  |   |                          |   |  |                                 |     |     |  |                 |   |     |  |   |   |
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| Landoni G, et al., 2007 (189) <a href="#">17678775</a> | To evaluate whether or not the cardioprotective effects of volatile anesthetics translate into decreased morbidity and mortality in cardiac surgery pts | Meta-analysis of RCTs    | 1,922 pts                                       | 979 pts with CAB receiving volatile anesthetic (desflurane or sevoflurane) | 874 pts with CAB receiving TIVA | N/A | N/A | Volatile anesthetic (sevoflurane or desflurane) administration | TIVA (propofol) | In-hospital MI, in-hospital mortality. Volatile anesthetics were associated with significant reductions in MI (2.4% vs. 5.1%), all-cause mortality (0.4% vs. 1.6%)  | N/A | Peak cardiac troponin release, inotrope use, time on mechanical ventilation, ICU LOS, hospital LOS. Volatile anesthetics associated with significant decreased peak troponin release (p=0.001), ICU stay (p=0.001), time to hospital discharge (p=0.005) | Volatile anesthetic reduction in MI p=0.008; volatile anesthetic reduction in mortality p=0.02                          | Definition of MI as per author; suboptimal RCTs included in the study   |
| Bignami, et al., 2013 (190) <a href="#">22819469</a>   | Investigate the cardioprotective properties of isoflurane vs. any comparator in terms of MI and all-cause mortality                                     | Meta-analysis of 37 RCTs | 3,539 pts (both cardiac and noncardiac surgery) | N/A  | N/A                             | N/A | N/A | N/A  | N/A             | Isoflurane reduced mortality in high-quality studies and showed a trend toward reduction in mortality when compared with propofol. Rates of overall mortality and MI were the same when all studies (high quality and otherwise) were considered. | N/A | N/A  | p=0.4 for a reduction in mortality p=0.05 for reduction in mortality for isoflurane when propofol was the control group | Important study to demonstrate isoflurane is comparable to other anesthetic drugs with better pharmacokinetic profiles but higher cost and lower potency in terms of incidence of periop MI and death. The studies included had small sample sizes, marked heterogeneity regarding surgery/MI/ length of follow-up. Only 10 of 37 studies had a low risk of bias. |

ASA indicates American Society of Anesthesiologists; AV, atrioventricular; CAB, coronary artery bypass; CHF, congestive heart failure; CI, confidence interval; cTnI, cardiac troponin I; EF, ejection fraction; GA, general anesthesia; GI, gastrointestinal; HTN, hypertension; Hx, history; ICU, intensive care unit; LOS, length of stay; MI, myocardial infarction; OPCAB, off-pump coronary artery bypass; N/A, not applicable; OR, odds ratio; PCA, patient-controlled analgesia; PE, pulmonary embolism; postop, postoperative; preop, preoperative; pt, patient; pts, patients; RCT, randomized controlled trial; TIVA, total intravenous anesthesia; and VT, ventricular tachycardia.

### Data Supplement 28. Perioperative Pain Management (Section 7.2)

| Study Name, Author, Year                                 | Aim of Study  | Study Type   | Study Size (N)                                | Study Intervention Group (n) | Study Comparator Group (n)         | Patient Population  |                              | Study Intervention | Study Comparator     | Endpoints   |                             |  | P Values, OR: HR: RR & 95% CI:   | Study Limitations & Adverse Events   |
|--|---|--|---|------------------------------|------------------------------------|---|------------------------------|--------------------|----------------------|---|-----------------------------|--|--|--|
|  |   |  |   |                              |                                    | Inclusion Criteria  | Exclusion Criteria           |                    |                      | Primary Endpoint (efficacy) and Results   | Safety Endpoint and Results | Secondary Endpoint and Results   |  |  |
| Nishimori M, et al., 2012 (191) <a href="#">22786494</a> | Assess benefits and harms of epidural analgesia compared with opioid-based analgesia for adult pts undergoing elective abdominal aortic surgery | Meta-analysis of RCTs  | 15 eligible trials out of 53 trials; 1297 pts | 633 pts with epidurals       | 664 pts receiving systemic opioids | RCTs comparing postop epidural analgesia and postop systemic opioid based analgesia for elective abdominal aortic surgery | N/A                          | N/A                | N/A                  | All cause death, cardiac death, MI, angina, ischemia, arrhythmia, CHF, severe hypotension; respiratory, GI, cerebrovascular, renal, DVT/PE  | N/A                         | Extubation time, pain scores, bowel motility, functionality, ICU stay length, hospital stay length | Event rate of MI was reduced by epidural analgesia (RR: 0.52, CI: 0.29–0.93); no difference in angina, ischemia, CHF, arrhythmia, heart block) | N/A  |
| Wu CL, et al., 2003 (192) <a href="#">12945019</a>       | Assess effects of postop epidural analgesia compared with no postop epidural  | Retrospective review of random sample of Medicare beneficiaries who underwent total hip arthroplasty | 23,136  | 2,591 with postop epidural   | 20,545 without epidural            | Medicare pts undergoing total hip arthroplasty  | N/A                          | Postop epidural    | No postop epidural   | No difference between groups regarding mortality and morbidity: Acute MI, angina, dysrhythmias, HF, pneumonia, PE, DVT, sepsis, acute renal failure, acute cerebrovascular events, paralytic ileus. | N/A                         | N/A  | N/A  | Database designed for billing and administration, not clinical outcomes research |
| Matot I, et al., 2003                                    | Assess risk of preop cardiac  | Randomized controlled,   | 68  | 34                           | 34                                 | ≥60 y old with traumatic hip  | Pts with contraindication to | Preop epidural     | Standard pain relief | Increased preop cardiac events:   | N/A                         | Postop cardiac   | Preop cardiac  | Unblinded study; only 1  |

|   |   |  |        |                |                   |   |   |   |   |   |     |  |  |   |
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| (193)<br><a href="#">12502992</a>                       | events in pts with hip fracture who receive preop epidural (local anesthetic + opioid) vs. conventional (opioid) treatment                | unblinded  |        |                |                   | fracture, known or high risk CAD  | epidural, allergy to study drugs, LBBB, ?10 h from time of injury to presentation to ED; acute coronary syndrome at presentation  |   | with opioids                                    | combined cardiac death, MI, UA, CHF, new onset AF (20 events vs. 0 events in epidural group)  |     | events are higher in the standard care group. No difference in postop PE, pneumonia  | events p=0.01  | dose of meperidine; used IM opioid instead of PCA (IV administration) |
| Park WY, et al., 2001 (183)<br><a href="#">11573049</a> | Test whether epidural anesthesia and postop epidural analgesia decrease morbidity and mortality after intra-abdominal surgical procedures | Randomized, controlled   | 984    | 489            | 495               | ≥21 y old and undergoing abdominal aortic surgery, gastric surgery, biliary surgery, or colon surgery | <21 y old, female, ASA Class I/II/IV, confused, emergency, MI within past 6 mo, abdominal procedure within past 3 mo, any prior abdominal aortic surgery, receiving chemotherapy or immunosuppresses other than steroids, tracheostomy, preop intubation, hypersensitivity to drugs, contraindication to epidural, surgeon/anesthesiologist preference for 1 anesthetic | Epidural and general anesthesia plus postop epidural morphine | General anesthesia plus postop systemic opioids | Death, MI, CHF, persistent Vtach, complete AV block, severe hypotension, cardiac arrest, PE, respiratory failure, cerebral event, renal failure; Decrease incidence of MI, respiratory failure and stroke in subgroup of pts who underwent abdominal aortic procedures with epidural. Otherwise no difference in primary or secondary endpoints in combined group of abdominal surgery pts. | N/A | Pneumonia, sepsis, GI bleed, new angina, epidural hematoma, respiratory depression, respiratory arrest, reoperation for complications. For results see primary endpoint heading. | p0.03 for MI favoring aortic surgery pts with epidural | Gender-specific study   |
| Liu LL, et al., 2012 (50)<br><a href="#">12133011</a>   | Determine if there is an association between NSAID use and postop MI  | Retrospective EMR from large orthopedic hospital (Hospital for Special | 10,873 | 9,831 (NSAIDs) | 1,042 (no NSAIDs) | Pts undergoing total hip arthroplasty at a single center  | N/A   | NSAID administration  | No NSAID administration                         | No increase in postop MI with NSAID use   | N/A | N/A  | RR: 0.95, 95% CI: 0.5-1.8                              | Single center, healthy population? (mortality 0%)                     |

Surgery, NY)  
Propensity-  
matched  
controls

AF indicates atrial fibrillation; CAD, coronary artery disease; CHF, congestive heart failure; CI, confidence interval; DVT, deep vein thrombosis; ED, emergency department; EMR, electronic medical records; GI, gastrointestinal; HF, heart failure; ICU, intensive care unit; IV, intravenous; LBBB, left bundle-branch block; MI, myocardial infarction; N/A, not applicable; NSAID, nonsteroidal anti-inflammatory drugs; PCA, patient-controlled analgesia; PE, pulmonary embolism; postop, postoperative; pt, patient; pts, patients; preop, preoperative; RCT, randomized controlled trial; RR, relative risk; and UA, unstable angina.

### Data Supplement 29. Prophylactic Intraoperative Nitroglycerin (Section 7.3)

| Study Name, Author, Year                                | Aim of Study   | Study Type   | Study Size (N) | Study Intervention Group (n) | Study Comparator Group (n) | Patient Population  |   | Study Intervention   | Study Comparator        | Endpoints   |                             |                                | P Values, OR: HR: RR & 95% CI:   | Study Limitations & Adverse Events                               |
|---|--|--|----------------|------------------------------|----------------------------|---|---|--|-------------------------|---|-----------------------------|--------------------------------|--|--|
|   |  |  |                |                              |                            | Inclusion Criteria  | Exclusion Criteria  |  |                         | Primary Endpoint (efficacy) and Results                             | Safety Endpoint and Results | Secondary Endpoint and Results |  |  |
| Dodds TM, et al., 1993 (194) <a href="#">8466005</a>    | To determine the effect of prophylactic NTG on the incidence of myocardial ischemia in pts with either documented CAD or a high likelihood of clinically silent CAD who undergo noncardiac surgery | Randomized, placebo-controlled; unblinded to anesthesiologists, blinded to cardiologist reading the Holter monitor | 45             | 23                           | 22                         | Hx of MI, angina, >70% narrowing of an epicardial artery, those undergoing vascular surgery for atherosclerotic disease | LBBB, WPW, nonsinus rhythm, pre-existing ST depression ≥1mm | NTG 0.9 mcg/kg/min titrated to maintain heart rate and systolic BP within 20% baseline; continued until 30 min following surgery | Placebo infusion        | Myocardial ischemia as detected by Holter monitor                   | N/A                         | N/A                            | No difference in ischemia between pts receiving IV NTG or placebo, p=0.93; 7/23 controls, 7/22 NTG pts | Only 1 dosage of NTG; anesthesiologists were unblinded           |
| Fusciardi J, et al., 1986 (195) <a href="#">3085552</a> | To determine if NTG infusion during airway instrumentation decreased the incidence of myocardial ischemia in pts with chronic  | Randomized   | 46             | 20                           | 26                         | Angina  | LBBB, MI within prior 6 mo                                  | NTG 0.9 mcg/kg/min   | Fentanyl infusion alone | Myocardial ischemia as detected by 1mm ST depression on ECG lead V; | N/A                         | N/A                            | Reduced ischemia in pts receiving NTG (p<0.05)   | Unblinded, no placebo control; small study; rudimentary analysis |

|  |  |                                |    |   |    |               |                                      |                    |         |  |     |     |   |  |
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|  | stable angina  |                                |    |   |    |               |                                      |                    |         | PCWP>18  |     |     |   |  |
| Thomson IR, et al., 1984 (196) <a href="#">6435481</a> | To determine the effect of prophylactic NTG on the incidence of intraoperative myocardial ischemia in pts with CAD undergoing CABG | Randomized, placebo controlled | 20 | 9 | 11 | Elective CABG | Abnormal leads II and V5 at baseline | NTG 0.5 mcg/kg/min | Placebo | Myocardial ischemia as detected by 1mm ST segment depression | N/A | N/A | No significant difference in incidence of ischemia between the two groups | Randomized study population was not balanced with regard to treatment arms: Nitroglycerin group received significantly more bypass grafts, suggesting a higher burden of CAD which may increase the incidence of ischemia; beta blocker withheld the night before surgery in both groups |

BP indicates blood pressure; CABG, coronary artery bypass graft; CAD, coronary artery disease; ECG, electrocardiogram; HR, hazard ratio; hx, history; IV, intravenous; LBBB, left bundle-branch block; MI, myocardial infarction; N/A, not applicable; NTG, nitroglycerin; PCWP, pulmonary capillary wedge pressure; pts, patients; ST, stent thrombosis; and WPW, Wolff-Parkinson-White.

### Data Supplement 30. Maintenance of Body Temperature (Section 7.5)

| Study Name, Author, Year                              | Aim of Study  | Study Type                                | Study Size (N) | Study Intervention Group (n) | Study Comparator Group (n) | Patient Population   |                    | Study Intervention | Study Comparator  | Endpoints   |                             |  | P Values, OR: HR: RR & 95% CI:   | Study Limitations & Adverse Events  |
|---|---|---|----------------|------------------------------|----------------------------|--|--------------------|--------------------|---|---|-----------------------------|--|--|---|
|   |   |   |                |                              |                            | Inclusion Criteria   | Exclusion Criteria |                    |   | Primary Endpoint (efficacy) and Results   | Safety Endpoint and Results | Secondary Endpoint and Results                                 |  |   |
| Sumer BD, et al., 2009 (197) <a href="#">19620590</a> | To determine if intraoperative hypothermia correlates with periop complications | Retrospective medical record chart review | 136            | None                         | None                       | Any pt undergoing head and neck surgery for tumors that required a free flap | None               | None               | Pts with temp ≤35 degrees Celsius vs. pts with temp >35 Celsius as measured by urinary catheter | Correlation of intraoperative hypothermia with postop complications (within 3 wk of surgery): Pneumonia, wound infections, other infections; flap loss, hematoma, fistula, wound breakdown, CSF leak, cardiac | N/A                         | Correlation of other study variables with postop complications | OR: 5.12; 95% CI: 1.317–19.917; p=0.002. Examining only local wound complications and infectious complications yielded same results (OR: 5.075; CI: 1.363–18.896). | Retrospective review from single institution; no documentation of periop antibiotic administration, smoking Hx, vasopressor use or preop radiation to the head and neck |

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|  |   |   |     |     |     |   |  |  |  | complications, donor site breakdown, DVT, death; This study showed that hypothermia was independently associated with a significant increase in postop complications in pts undergoing head and neck cancer surgery                 |     |   |  |   |
| Kurz A, et al., 1996 (198) <a href="#">8606715</a>   | To determine if intraoperative hypothermia increases the susceptibility to surgical wound infection and increases hospitalization | Randomized, double-blind                  | 400 | 96  | 104 | 18–80 y of age undergoing elective colorectal resection for cancer or inflammatory bowel disease                                    | Corticosteroid or immunosuppressive therapy within 4 wk of surgery; recent fever or infection; bowel obstruction; malnutrition (albumin <3.3 g/dL, wbc<2500 cell/mL; >20% weight loss) | Fluid warmer activation; forced-air cover at 40 degrees Celsius to maintain core temp near 36.5 degrees Celsius (tympanic membrane temp) | No fluid warming; forced air warmer at ambient temperature to 34.5 degrees Celsius | Postop wound infections increased in hypothermia group (6/104 in normothermia group vs. 18/96 in hypothermia group); d of hospitalization increased in hypothermia group (12 d in normothermia group vs. 14.7 in hypothermia group) | N/A | Collagen deposition increased, d to first solid food decreased, d to suture removal decreased in normothermia group | p value for infection =0.009; OR: 4.9 (1.7–14.5)   | Pts with hypothermia required more blood transfusion which may have confounded the results; smokers had a very high rate of complications, but were evenly distributed between the 2 groups |
| Frank SM, et al., 1997 (199) <a href="#">9087467</a> | To assess the relationship between body temperature and cardiac morbidity during the periop period                                | Randomized; cardiac outcomes double-blind | 300 | 142 | 158 | ≥60 y of age undergoing peripheral vascular, abdominal, or thoracic surgery AND admitted to the ICU and had CAD or high risk of CAD | LBBB, LVH with strain, digitalis effect paced, preop hyper/ hypothermia, Raynaud, thyroid disorders  | Upper or lower body forced air warmer full body warmer first 2 h postop adjusted to maintain temp at or near 37 degrees Celsius          | No forced air warmer   | Cardiac events (MI, UA, ischemia, arrest within 24 h postop); Significant increase in ECG event and morbid cardiac event (ischemia/UA, arrest, infarction) in hypothermic group   | N/A | No difference in intraoperative cardiac events  | Major cardiac event p=0.02; ECG event p=0.02; no significant difference in postop ischemia | Low overall incidence in postop ischemia (7%)   |

|  |  |   |       |     |     |  |                                     |  |                                   |  |     |     |   |  |
|--|--|---|-------|-----|-----|--|-------------------------------------|--|-----------------------------------|--|-----|-----|---|--|
| Nguyen HP, et al., 2010 (200) <a href="#">20571361</a> | To determine if periop hypothermia increased SAH-related cardiac abnormalities | Randomized; cardiac outcomes double-blind | 1,000 | 499 | 501 | Pts with subarachnoid hemorrhage who undergo cerebral aneurysm surgery | Intubated at the time of enrollment | Hypothermia (esophageal temp 33 degrees Celsius) | Normothermia 36.5 degrees Celsius | No increased incidence of any single or composite cardiovascular event as defined intraoperatively and postoperatively: hypo/HTN unintended, vasopressor use, ischemia or infarction, cardiogenic shock, CHEF, pulmonary edema, VF, VT, CPR, pacemaker placement, angioplasty and stenting. Hypothermia does not increase the incidence of cardiovascular events, at least in pts with a low preop risk of CAD | N/A | N/A | Any cardiovascular event p=0.11, OR: 1.24 (CI: 0.96–1.61) | Post hoc study; low incidence of many of the cardiovascular events |
|--|--|---|-------|-----|-----|--|-------------------------------------|--|-----------------------------------|--|-----|-----|---|--|

CAD, coronary artery disease; CPR, cardio-pulmonary resuscitation; CHEF, contour-clamped homogeneous electric field gel; CI, confidence interval; CSF, cerebrospinal fluid; DVT, deep vein thrombosis; ECG, electrocardiogram; hx, history; HTN, hypertension; ICU, intensive care unit; LBBB, left bundle-branch block; LVH, left ventricular hypertrophy; MI, myocardial infarction; periop, perioperative; postop, postoperative; preop, preoperative; pt, patient; pts, patients; UA, unstable angina; VF, ventricular fibrillation; and VT, ventricular tachycardia.

**Data Supplement 31. Perioperative Use of Pulmonary Artery Catheters (Section 7.7)**

| Study Name, Author, Year                                 | Aim of Study   | Study Type  | Study Size (N) | Study Intervention Group (n) | Study Comparator Group (n) | Patient Population   |   | Study Intervention                              | Study Comparator   | Endpoints  |                             |  | P Values, OR: HR: RR & 95% CI:                       | Study Limitations & Adverse Events   |
|--|--|-------------|----------------|------------------------------|----------------------------|--|---|---|--|--|-----------------------------|--|--|--|
|  |  |             |                |                              |                            | Inclusion Criteria   | Exclusion Criteria  |   |  | Primary Endpoint (efficacy) and Results  | Safety Endpoint and Results | Secondary Endpoint and Results                                 |  |  |
| Sandham JD, et al., 2003 (201) <a href="#">12510037</a>  | RCT of PAC use in high-risk surgical pts   | Prospective | 1,994          | 997                          | 997                        | ASA Class III/IV risk, ≥60 y old, scheduled for urgent or elective abdominal, thoracic, vascular or hip fracture surgery | N/A   | PAC use   | No PAC use, although a central venous catheter was permitted | In-hospital mortality  | N/A                         | 6 mo mortality, 12 mo mortality, and in-hospital morbidity     | In-hospital mortality (p=0.93)                       | Increased incidence of pulmonary embolism in the PA catheter arm, 8 vs. 0, p=0.004 |
| Valentine RJ, et al., 1998 (202) <a href="#">9510275</a> | RCT of PAC in aortic surgery   | Prospective | 120            | 120                          | 60                         | Pts undergoing elective abdominal aortic reconstruction  | MI w/in 3 mo, CABG within 6 wk, severe aortic/mitral valve disease, overt CHF                                       | PAC use and presurgery hemodynamic optimization | No PAC and hydration   | MI, arrhythmias, CHF, acute renal failure, CVA, graft thrombosis, pulmonary insufficiency, death | N/A                         | Duration of ventilation, ICU stay length, hospital stay length | All p=NS for MI, pulmonary insufficiency, CVA, death | Underpowered   |
| Bender JS, et al., 1997 (203) <a href="#">9339929</a>    | RCT of PAC in major elective vascular surgery (infra-renal aortic reconstruction or lower limb revasc) | Prospective | 104            | 51                           | 53                         | Major elective vascular surgery  | Suprarenal cross-clamp, MI w/in 3 mo or UA, overt CHF, CABG within 6 wk, symptomatic aortic or mitral valve disease | PAC use   | Radial artery catheter                                       | Not defined (a lot of morbidity outcomes)  | N/A                         | N/A  | Postop complications no different between groups     | Underpowered   |

ASA indicates American Society of Anesthesiologists; CABG, coronary artery bypass graft; CHF, congestive heart failure; CVA, cerebrovascular accident; ICU, intensive care unit; MI, myocardial infarction; N/A, not applicable; NS, nonsignificant; PAC, pulmonary-artery catheter; pts, patients; postop, postoperative; RCT, randomized controlled trial; revasc, revascularization; and UA, unstable angina.

**Data Supplement 32. Surveillance and Management for Perioperative MI (Section 8.1)**

| Study Name, Author, Year                                  | Aim of Study  | Study Type    | Study Size (N) | Study Intervention Group (n)              | Study Comparator Group (n) | Patient Population  |   | Study Intervention | Study Comparator | Endpoints                               |                             |   | P Values, OR: HR: RR & 95% CI:                                    | Study Limitations & Adverse Events |
|---|---|---------------|----------------|---|----------------------------|---|---|--------------------|------------------|---|-----------------------------|---|---|------------------------------------|
|   |   |               |                |   |                            | Inclusion Criteria  | Exclusion Criteria  |                    |                  | Primary Endpoint (efficacy) and Results | Safety Endpoint and Results | Secondary Endpoint and Results                    |   |                                    |
| Garcia S, et al., 2013 (204) <a href="#">22975335</a>     | ECG and TnI postop prognosis  | Retrospective | 337            | N/A                                       | N/A                        | Pts undergoing vascular surgery                               | Incomplete data, amputations, low-risk procedures   | N/A                | ECG & TnI        | HR for mortality with abnormal ECG/TnI  | N/A                         | N/A   | ECG & TnI NS for 30-d mortality                                   | Retrospective                      |
| Van Waes JA, et al., 2013 (205) <a href="#">23667270</a>  | TnT and postop prognosis  | Prospective   | 2,232          | TnT drawn on POD 1,2,3                    | N/A                        | Intermediate- and high-risk surgery pts (hospital stay >24 h) | Lost to follow up within 30 d   | N/A                | TnT              | HR for mortality with TnI elevation     | N/A                         | Mortality 3% MI (universal definition) 0.6%       | HR: 2.4 TnI: 0.07 -0.59 ug/L, p<0.01 and 4.2 for TnI ≥0.6; p<0.01 | N/A                                |
| Shroff GR, et al., 2012 (206) <a href="#">22286592</a>    | TnI and postop prognosis  | Retrospective | 376            | TnI drawn q8 h x 3 after arriving from OR | N/A                        | Renal and renal/pancreas transplant pts                       | None  | N/A                | TnI              | HR for mortality with TnI elevation     | N/A                         | 25% abnormal TnI, 8 in-hospital cardiac events    | HR: 4.6 TnI >1 ng/mL (95% CI: 2.04–14.6)                          | Retrospective                      |
| Devereaux PJ, et al., 2012 (207) <a href="#">22706835</a> | TnT and postop prognosis  | Prospective   | 15,133         | TnT 6–12 h postop and POD 1,2,3           | N/A                        | Noncardiac surgery >44 y old, and had an overnight stay       | Outpt surgery or declined consent   | N/A                | TnT              | In-hospital mortality                   | N/A                         | Mortality 1.9% MI                                 | N/A   | N/A                                |
| Beattie WS, et al., 2012 (208) <a href="#">22961610</a>   | Compare TnI ordered on a clinical basis vs. regularly scheduled post-op | Retrospective | 51,791         | TnI                                       | N/A                        | Moderate to high-risk noncardiac surgery pts                  | Same day surgery, cardiac surgery, transplantation, eye surgery, and duplicate procedures | N/A                | N/A              | In-hospital mortality                   | N/A                         | 2.1% 30-d mortality, 11.1% TnI elevated >0.7 mc/L | HR: 6.5 (5.4 7.9) for mortality with TnI >0.7                     | N/A                                |
| Redfern G, et   | Troponin  | Meta-         | 2,195          | TnI drawn                                 | N/A                        | Pts   | N/A   | N/A                | N/A              | 30-d mortality                          | N/A                         | N/A   | OR: 5.0;  | N/A                                |

|  |  |                   |       |                      |     |  |                    |     |     |  |     |  |   |   |
|--|--|-------------------|-------|----------------------|-----|--|--------------------|-----|-----|--|-----|--|---|---|
| al., 2011<br>(209)<br><a href="#">21564046</a>                     | and 30-d<br>and 180-d<br>outcomes<br>in pts<br>undergoing<br>vascular<br>surgery | analysis          |       |                      |     | undergoing<br>vascular<br>surgery  |                    |     |     |  |     |  | 95% CI:<br>2.9–8.8.<br>30 d<br>mortality<br>with<br>elevated<br>Tnl |   |
| Nagele P, et<br>al., 2011<br>(210)<br><a href="#">20886662</a>     | Tnl and<br>Postop MI<br>and death  | Retrospective     | 378   | Tnl elevated         | N/A | Head and<br>neck cancer<br>surgery and<br>had Tnl<br>measured  | No Tnl<br>measured | N/A | N/A | 30-d mortality   | N/A | 57 pts (15%)<br>had elevated<br>Tnl, 10 pts<br>(2.6%) had<br>MI                              | OR: 5.8<br>(0.8–42)<br>30-d<br>mortality                            | N/A   |
| Levy M, et al.,<br>2011<br>(211)<br><a href="#">21336095</a>       | Tnl and<br>postop<br>death   | Meta-<br>analysis | 3,318 | Troponin<br>elevated | N/A | Troponin<br>measured   | Poor studies       | N/A | N/A | OR: 3.4 (95%<br>CI: 2.2–5.2)<br>30-d mortality   | N/A | 5% had<br>periop MI. 30-<br>d mortality<br>11.6% with<br>periop MI and<br>2.2% without<br>MI | N/A   | Significant<br>heterogeneity<br>in group<br>(I <sup>2</sup> =56%) |
| Devereaux PJ,<br>et al., 2011<br>(212)<br><a href="#">21502650</a> | Tnl and<br>postop<br>events  | Prospective       | 8,351 | Troponin<br>elevated | N/A | Noncardiac<br>surgery >44 y<br>old, and had<br>an overnight<br>stay and at-<br>risk for<br>cardiovascular<br>disease | N/A                | N/A | N/A | 1.7% had<br>symptomatic<br>MI, 3.3% had<br>asymptomatic<br>MI, and 8.3%<br>had isolated<br>troponin rise | N/A | HR: for death<br>4.76 with<br>symptomatic<br>MI and 4.0<br>for<br>asymptomatic<br>MI         | N/A   | N/A   |
| McFalls EO, et<br>al., 2008<br>(213)<br><a href="#">18245121</a>   | Tnl and<br>events  | Prospective       | 377   | TNI ≥0.1<br>ug/L     | N/A | CARP Trial<br>and samples<br>stored  | N/A                | N/A | N/A | 30-d mortality<br>9 (p=NS), 1 y<br>mortality<br>significantly<br>higher 20%<br>vs. 4.7%)                 | N/A | N/A  | N/A   | N/A   |

CARP indicates Coronary Artery Revascularization Prophylaxis; CI, confidence interval; DVT, deep vein thrombosis; ECG, electrocardiogram; HR, hazard ratio; MI, myocardial infarction; N/A, not applicable; NS, nonsignificant; POD, postoperative day; pts, patients; Tnl, troponin I; TnT, troponin T I.

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